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Abstract	<p>In this paper a framework for visual patterns recognition of higher dimensionality is discussed. In the training stage, the input prototype patterns are used to construct a multidimensional array—a tensor—whose each dimension corresponds to a different dimension of the input data. This tensor is then decomposed into a lower-dimensional subspace based on the best rank tensor decomposition. Such decomposition allows extraction of the lower-dimensional features which well represent a given training class and exhibit high discriminative properties among different pattern classes. In the testing stage, a pattern is projected onto the computed tensor subspaces and a best fitted class is provided. The method presented in this paper, as well as the software platform, is an extension of our previous work. The conducted experiments on groups of visual patterns show high accuracy and fast response time.</p>	

Visual Pattern Recognition Framework Based on the Best Rank Tensor Decomposition

B. Cyganek

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2 sionality is discussed. In the training stage, the input prototype patterns are used to
3 construct a multidimensional array—a tensor—whose each dimension corresponds
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11 experiments on groups of visual patterns show high accuracy and fast response time.

12 1 Introduction

13 Recognition of patterns in different types of visual signals belongs to difficult com-
14 puter tasks. Most problematic is high dimensionality of input data, as well as
15 development of the methods of extraction of the features (a model) which well
16 represent a given class of patterns and are sufficiently discriminative among the
17 other. There are also some additional constraints superimposed on patter recognition
18 methods, such as real-time operation or special platforms or conditions of operation.
19 When analyzing different types of visual signals it becomes evident that a difficulty
20 also comes from specific properties of different groups of images. For instance, the
21 surveillance video may not be of well quality and the objects might be only partially
22 seen and with high noise. On the other hand, medical images, such as radiograph
23 images, may be of low contrast. All these scenarios cause research and engineering
24 problems when designing pattern recognition systems. Frequently, additional expert
25 knowledge is included into a design which results in highly specialized visual pat-
26 tern recognition systems which are specialized in recognizing only specific types of
27 objects. In this respect achievements in other disciplines frequently are of help.

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1

In this paper a method of pattern recognition in visual data is discussed with special stress on medical and biometric images and videos. The presented method is based on the best rank tensor decomposition and extends its version presented in our previous publication [4]. In this group of method, object recognition is accomplished by comparing distances of the lower-dimensional features obtained by projecting a test pattern into the best rank tensor subspaces of different pattern classes. The method was tested on the maxillary radiograph images and showed high accuracy and fast computation time. In this paper we follow this presentation and show additional aspects of the method. Specifically, the new pattern recognition framework was modified as compared to the method presented in [4]. In [4] it was proposed to select one of the best fitted prototypes for comparison. On the other hand, in this paper a modified version is proposed which account for impact of all prototype patterns which influence is averaged, as will be discussed. Also, the experimental results were extended onto the face recognition problem which builds into the biometric recognition framework.

The rest of this paper is organized as follows. In Sect. 2 the problem of pattern recognition with decompositions of pattern tensors is presented. Specifically, Sect. 2.1 presents an overview of pattern representation in the framework of best-rank prototype pattern decomposition, whereas in Sect. 2.2 pattern classification with the best rank tensor decomposition is discussed. Section 3 presents implementation details of the best rank tensor decomposition. In Sect. 4, the experimental results are presented and discussed. Finally, the paper ends with conclusions, presented in Sect. 5, as well as bibliography.

2 Pattern Recognition with the Pattern Tensor Decompositions

Recently, multidimensional arrays of data, called tensors, were proposed for pattern recognition. These, especially well fit into the problem of pattern recognition in visual signals due to a direct representation of each of the dimensions of the input signal.

Even more important are the methods of analyzing tensor content. In this respect a number of tensor decomposition methods were proposed [5, 10–12, 16]. In this respect the three decomposition methods are as follows.

1. The Higher-Order Singular Value Decomposition (HOSVD) [11].
2. The best rank-1 [12].
3. The best rank- (R_1, R_2, \dots, R_K) approximations [12, 16].

First of the above, the HOSVD can be used to build the orthogonal space for pattern recognition [14]. Its variant operating on tensors obtained from the geometrically deformed prototype patterns is discussed in [5]. However, HOSVD is not well suitable for data reduction. Although there is a truncated version HOSVD, its results lead to excessive errors. Thus, usually a truncated HOSVD is treated only as a coarse approximation or it can serve as an initialization method for other decompositions.

In terms of dimensionality reduction, better results can be obtained with the best rank-1 decomposition [12]. However, the best rank- (R_1, R_2, \dots, R_K) approximation offers much better behavior in terms of pattern representation in lower-dimensional subspaces, as shown by de Lathauwer [12], as well as other researchers, such as Wang and Ahuja [16]. In this paper we follow this approach, discussing its properties and a method of pattern recognition, as well as providing an experimental and software framework for pattern recognition with the best rank tensor decomposition.

2.1 Pattern Representation in the Framework of Best-Rank Prototype Pattern Decomposition

As alluded to previously, the best-rank tensor prototype tensor decomposition allows best trade-off between data compression and recognition accuracy. The only control parameters of the method are requested new rank values for each of the dimensions of the prototype pattern tensor. These, in turn, can be determined experimentally or with one of the heuristic methods usually based on signal energy analysis [5, 13]. In this section, a brief introduction to multilinear analysis and best-rank tensor decomposition is presented. More information on tensors and different types of their decompositions can be found in literature [3, 5, 10, 11].

For further discussion, a tensor $\mathcal{T} \in \mathfrak{R}^{N_1 \times N_2 \times \dots \times N_K}$ can be seen as a K -dimensional cube of data, in which each dimension correspond to a different factor of the input data space. With this definition, the j -mode vector of the K -th order tensor is a vector obtained from elements of \mathcal{T} by varying only one its index n_j while keeping all other indices fixed. Further, if from the tensor \mathcal{T} the matrix $\mathbf{T}_{(j)}$ is created, where

$$\mathbf{T}_{(j)} \in \mathfrak{R}^{N_j \times (N_1 N_2 \dots N_{j-1} N_{j+1} \dots N_K)}, \quad (1)$$

then columns of $\mathbf{T}_{(j)}$ are j -mode vectors of \mathcal{T} . Also, $\mathbf{T}_{(j)}$ is a matrix representation of the tensor \mathcal{T} , called a j -mode tensor flattening. The j -th index becomes a row index of $\mathbf{T}_{(j)}$, whereas its column index is a product of all the rest $K-1$ indices. An analysis of sufficient computer representations of (1) are discussed in many publications, for instance in [5].

Further important concept is a p -mode product of a tensor $\mathcal{T} \in \mathfrak{R}^{N_1 \times N_2 \times \dots \times N_K}$ with a matrix $\mathbf{M} \in \mathfrak{R}^{Q \times N_p}$. A result of this operation is the tensor $\mathcal{S} \in \mathfrak{R}^{N_1 \times N_2 \times \dots \times N_{p-1} \times Q \times N_{p+1} \times \dots \times N_K}$ whose elements are obtained based on the following scheme:

$$\mathcal{S}_{n_1 n_2 \dots n_{p-1} q n_{p+1} \dots n_K} = (\mathcal{T} \times_p \mathbf{M})_{n_1 n_2 \dots n_{p-1} q n_{p+1} \dots n_K} = \sum_{n_p=1}^{N_p} t_{n_1 n_2 \dots n_{p-1} n_p n_{p+1} \dots n_K} m_{q n_p}. \quad (2)$$

As was shown, the p -mode product can be equivalently represented in terms of the flattened versions of the tensors $\mathbf{T}_{(p)}$ and $\mathbf{S}_{(p)}$. That is, if the following holds

$$\mathcal{S} = \mathcal{T} \times_p \mathbf{M}, \quad (3)$$

101 then we have the following

$$\mathbf{S}_{(p)} = \mathbf{M}\mathbf{T}_{(p)} \quad (4)$$

102 In some computations, it is more efficient to represent the tensor and matrix product
103 given in (2) in an equivalent representation based on the p-mode tensor flattening
104 and the Kronecker product. That is,

$$\mathcal{T} = \mathcal{Z} \times_1 \mathbf{S}_1 \times_2 \mathbf{S}_2 \dots \times_K \mathbf{S}_K, \quad (5)$$

105 can be equivalently represented as follows

$$\mathbf{T}_{(n)} = \mathbf{S}_n \mathbf{Z}_{(n)} [\mathbf{S}_{n+1} \otimes \mathbf{S}_{n+2} \otimes \dots \otimes \mathbf{S}_K \otimes \mathbf{S}_1 \otimes \mathbf{S}_2 \otimes \dots \otimes \mathbf{S}_{n-1}]^T, \quad (6)$$

106 where \otimes denotes the Kronecker product between the matrices.

107 Equipped with the above concepts, the best rank- (R_1, R_2, \dots, R_K) decomposition
108 of a tensor $\mathcal{T} \in \mathfrak{R}^{N_1 \times N_2 \times \dots \times N_K}$ can be defined as a problem of computing a tensor
109 $\tilde{\mathcal{T}}$, which is characteristic of the ranks $rank_1(\tilde{\mathcal{T}}) = R_1, rank_2(\tilde{\mathcal{T}}) = R_2, \dots,$
110 $rank_K(\tilde{\mathcal{T}}) = R_K$, and which as close as possible approximates to the input tensor
111 \mathcal{T} [11, 12], that is the following functional should be minimized:

$$E(\tilde{\mathcal{T}}) = \|\tilde{\mathcal{T}} - \mathcal{T}\|_F^2, \quad (7)$$

112 where $\|\cdot\|_F$ denotes the Frobenius norm. It can be shown that the approximated tensor
113 $\tilde{\mathcal{T}}$ conveys as much of the “energy”, in the sense of the squared entries of a tensor, as
114 the original tensor \mathcal{T} , under the requested rank constraints. A value of E is called the
115 reconstruction error. Figure 1 depicts the best rank- (R_1, R_2, R_3) decomposition of a
116 3D tensor $\mathcal{T} \in \mathfrak{R}^{N_1 \times N_2 \times N_3}$. However, contrary to the rank definition of the matrices,
117 there are different rank definitions for tensors. For more discussion see [5, 11].

118 It can be also easily observed that the assumed rank conditions mean that the
119 approximation tensor $\tilde{\mathcal{T}}$ can be decomposed as follows

$$\tilde{\mathcal{T}} = \mathcal{Z} \times_1 \mathbf{S}_1 \times_2 \mathbf{S}_2 \dots \times_K \mathbf{S}_K, \quad (8)$$

120 Each of the matrices $\mathbf{S}_1 \in \mathfrak{R}^{N_1 \times R_1}$, $\mathbf{S}_2 \in \mathfrak{R}^{N_2 \times R_2}$, \dots , and $\mathbf{S}_K \in \mathfrak{R}^{N_K \times R_K}$ in (8) has
121 orthonormal columns. The number of columns for \mathbf{S}_i is given by R_i .

122 The core tensor $\mathcal{Z} \in \mathfrak{R}^{R_1 \times R_2 \times \dots \times R_K}$ is of dimensions R_1, R_2, \dots, R_K . It can be
123 computed from the original tensor \mathcal{T} as follows

$$\mathcal{Z} = \mathcal{T} \times_1 \mathbf{S}_1^T \times_2 \mathbf{S}_2^T \dots \times_K \mathbf{S}_K^T. \quad (9)$$

124 Summarizing, to find the best rank- (R_1, R_2, \dots, R_K) approximation of \mathcal{T} it is
125 sufficient to determine only a set of \mathbf{S}_i in (8), and then \mathcal{Z} is computed from Eq. (9).

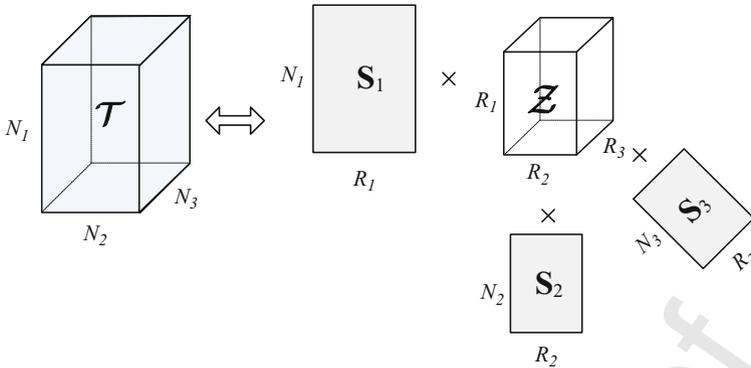


Fig. 1 Schematic representation of the best rank- (R_1, R_2, R_3) decomposition of a 3D tensor

Further analysis is constrained exclusively to 3D tensors, such as the one shown in Fig. 1. It can be seen that this decomposition leads to a significant data reduction. The compression C ratio can be expressed as follows:

$$C = (R_1 R_2 R_3 + N_1 R_1 + N_2 R_2 + N_3 R_3) / (N_1 N_2 N_3). \quad (10)$$

As alluded to previously, the only control parameters of the method are the ranks R_1 , R_2 , and R_3 . A trade-off can be achieved between the compression ratio C in (10) with respect to the approximation error expressed in Eq. (7). This influences also pattern recognition accuracy, as will be discussed.

2.2 Pattern Classification with the Best Rank Tensor Decomposition

The already described, the subspace obtained after the best rank decomposition can be used to generate specific features of an image \mathbf{X} , which can be then used for pattern recognition [16]. The features are obtained by projecting the image \mathbf{X} of dimensions $N_1 \times N_2$ into the space spanned by the two matrices \mathbf{S}_1 and \mathbf{S}_2 in accordance with (9). However, at first the pattern \mathbf{X} needs to be represented in an equivalent tensor form \mathcal{X} which is of dimensions $N_1 \times N_2 \times 1$. Then, the feature tensor \mathcal{F} of dimensions $R_1 \times R_2 \times 1$ is obtained by projecting \mathcal{X} onto the space spanned by \mathbf{S}_1 and \mathbf{S}_2 , as follows

$$\mathcal{F}_{\mathbf{X}} = \mathcal{X} \times_1 \mathbf{S}_1^T \times_2 \mathbf{S}_2^T \quad (11)$$

Tensor \mathcal{T} contains is constructed out of the available training patterns. However, the method can work depending on a number of available training patterns, starting from only one exemplar, as will be discussed. Hence, in our framework the following two scenarios were evaluated, depending on the available number of training patterns:

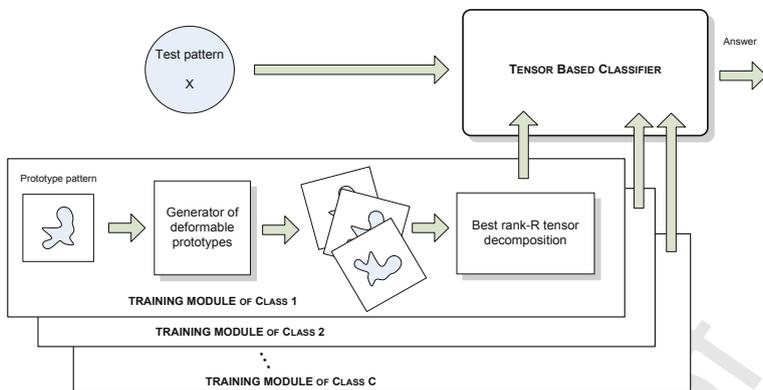


Fig. 2 The process of the 3D pattern tensor generation by geometrical warping of the prototype pattern

- 147 1. A set of prototype patterns \mathbf{P}_i of the same object is available. These are used to
 148 form the input tensor \mathcal{T} .
 149 2. If only one prototype \mathbf{P} is available, its different appearances \mathbf{P}_i are generated by
 150 geometrical warping of the available pattern. This process is visualized in Fig. 2.

151 As a result the patterns form a 3D tensor after the best-rank decomposition spans the
 152 space representing that class. In the case of multiple classes, a 3D tensor is built for
 153 each of the classes separately.

154 The next step after the best rank- (R_1, R_2, \dots, R_K) decomposition consist of
 155 building features from each of the prototype patterns \mathbf{P}_i from the tensor \mathcal{T} . These
 156 are computed as follows

$$\mathcal{F}_i = \mathcal{P}_i \times_1 \mathbf{S}_1^T \times_2 \mathbf{S}_2^T, \quad (12)$$

157 where \mathcal{P}_i denotes an $N_1 \times N_2 \times 1$ tensor representation of the pattern \mathbf{P}_i . In the
 158 same way features are computed for the tensor \mathcal{P}_X created from the test pattern
 159 \mathbf{P}_X . It is interesting to notice that dimensions of the computed in this way features
 160 are much less than dimensions of the original patterns due to data compression
 161 expressed by (10). However, they represent the two-dimensional dominating spaces
 162 in each dimension independently. Thus, their discriminative properties are usually
 163 high despite low-dimensional representation.

164 Finally, a quantitative measure of the fitness of the test pattern \mathbf{P}_X to the prototypes
 165 of a class c is computed based on the following formula

$$\rho_c = \frac{1}{N_3} \sum_{i=1}^{N_3} \left\| \mathcal{F}_X - \mathcal{F}_i^{(c)} \right\|_F. \quad (13)$$

166 In a case of a multi-class classification scheme, a best fit class c^* is chosen which
 167 minimizes its fitness measure, as follows

$$c^* = \arg \min_{1 \leq c \leq C} (\rho_c). \quad (14)$$

168 Figure 3 depicts the described process of multi-class pattern recognition from the
 169 best-rank decomposition of the prototype pattern tensor.

170 As alluded to previously, the training parameters are the chosen rank values of R_1 ,
 171 R_2 , and R_3 in (8). In our experiments these are usually determined experimentally, al-
 172 though they can be also chosen after analyzing signal energy level in the decomposed
 173 tensor. However, especially interesting is the case of $R_3 = 1$ which means that the
 174 third dimension of the pattern tensor, which reflects a number of training patterns,
 175 will be compressed to one the most prominent example. Such strategy frequently
 176 leads to superior results, as will be presented in the experimental part.

177 3 Implementation of the Best Rank Tensor Decomposition

178 Computation of the best rank- (R_1, R_2, \dots, R_K) decomposition of tensors, given by
 179 Eqs. (8) and (9), can be done with the Alternating Least-Squares (ALS) method,
 180 as proposed by Lathauwer et al. [11, 12]. In each step of this method only one of
 181 the matrices \mathbf{S}_k is optimized, whereas other are kept fixed [1, 5]. The main concept
 182 of this approach is to express the quadratic expression in the components of the
 183 unknown matrix \mathbf{S}_k with orthogonal columns with other matrices kept fixed. That is,
 184 the following problem is solved

$$\max_{\mathbf{S}_i} \{\Psi(\mathbf{S}_i)\} = \max_{\mathbf{S}_i} \|\mathcal{T} \times_1 \mathbf{S}_1^T \times_2 \mathbf{S}_2^T \dots \times_K \mathbf{S}_K^T\|^2. \quad (15)$$

185 Columns of \mathbf{S}_i can be obtained finding the orthonormal basis of the dominating
 186 subspace of the column space of the approximating matrix $\hat{\mathbf{S}}_i$. As already men-
 187 tioned, in each step only one matrix \mathbf{S}_i is computed, while other are kept fixed. Such
 188 procedure—called the Higher-Order Orthogonal Iteration (HOOD)—is repeated un-
 189 til the stopping condition is fulfilled or a maximal number of iterations is reached
 190 [5, 12]. The pseudo-code of the algorithm is presented in Fig. 4.

191 In the above algorithm, the function $svds(\hat{\mathbf{S}}, R)$ returns the R left leading singular
 192 vectors of a matrix $\hat{\mathbf{S}}$. These vectors are orthogonal. Frequently the matrix $\hat{\mathbf{S}}_k$ has much
 193 more columns c than rows r . In such a case more efficient becomes computation of
 194 the $svds$ from the product $\hat{\mathbf{S}}_k \hat{\mathbf{S}}_k^T$, instead of the matrix $\hat{\mathbf{S}}_k$ using the fact that if a matrix
 195 $\mathbf{M} = \mathbf{S}\mathbf{V}\mathbf{D}^T$, then $\mathbf{M}\mathbf{M}^T = \mathbf{S}\mathbf{V}^2\mathbf{S}^T$.

196 Initialization of the matrices in the algorithm in Fig. 4 can be done with the prior
 197 HOSVD decomposition. Although such strategy does not guarantee the optimal
 198 solution, in practice usually it leads to good results [12]. However, HOSVD is
 199 computationally demanding, so for larger problems Wang and Ahuja propose to
 200 initialize \mathbf{S}_k , either with constant values, or with the uniformly distributed random
 201 numbers. These strategies when applied to image processing tasks gave almost the
 202 same results as initialization with the HOSVD [16]. Such an initialization method is
 203 also recommended in the paper by Chen and Saad [1]. In our software framework,
 204 accessible from [7], we also follow this way and initialize \mathbf{S}_k with uniform random
 205 generator.

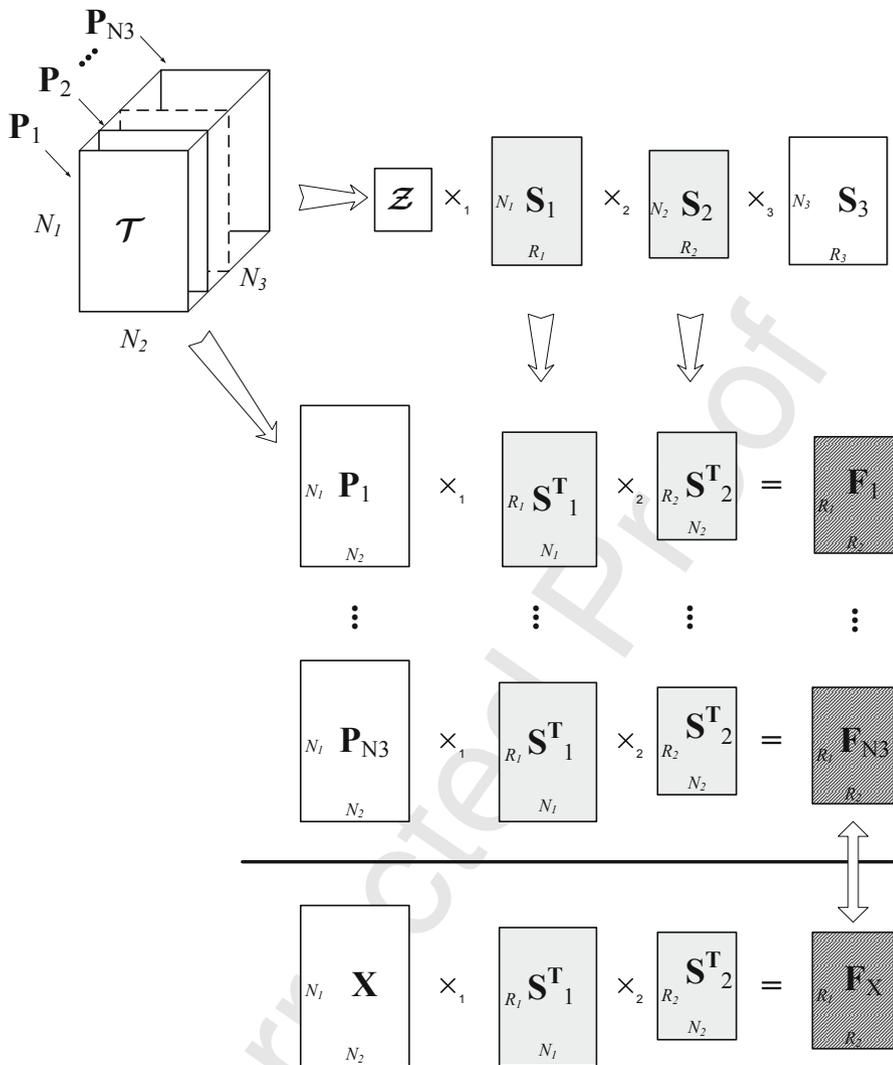


Fig. 3 Pattern recognition scheme with the best rank- (R_1, R_2, \dots, R_K) decomposition of a tensor composed from the prototype patterns $\mathbf{P}_1, \mathbf{P}_2, \dots, \mathbf{P}_{N_3}$ of a single class. Decomposition of the pattern tensor provides the lower-dimensional subspaces given by the column orthogonal matrices $\mathbf{S}_1, \mathbf{S}_2$, and \mathbf{S}_3 . Prototype features are obtained by projecting each prototype patterns onto the space spanned by the matrices \mathbf{S}_1 and \mathbf{S}_2 . Features of the test pattern \mathbf{X} are finally compared with the prototype features. The procedure is repeated for each class and the class with the best match of features is returned by the classifier

206 The above HOOI procedure has been implemented in our software framework,
 207 as described in [5]. The implementation utilizes C++ classes with basic data types
 208 defined as template parameters, as shown in Fig. 5. This allows time and memory

1. Set a number of ranks R_1, R_2, \dots, R_K .
Set accuracy threshold e_{thresh}
2. Initialize the mode matrices with random values

$$\mathbf{S}_k^{(0)} \in \mathfrak{R}^{N_k \times R_k} \text{ for } 1 \leq k \leq K$$

$$t = 0$$
3. Do:
4. For each k in range ($1 \leq k \leq K$), do:

$$\hat{\mathbf{S}}_k^{(t+1)} = \mathbf{T}_{(k)} \left[\underbrace{\mathbf{S}_{k-1}^{(t)} \otimes \mathbf{S}_{k-2}^{(t)} \otimes \dots \otimes \mathbf{S}_1^{(t)}}_{F_1} \otimes \underbrace{\mathbf{S}_p^{(t+1)} \otimes \dots \otimes \mathbf{S}_{k+1}^{(t+1)}}_{F_2} \right]$$

$$\mathbf{S}_k^{(t+1)} = \text{svd}(\hat{\mathbf{S}}_k^{(t+1)}, R_k)$$

$$\mathbf{Z}_{t+1} = \mathcal{T} \times_1 \mathbf{S}_1^{(t+1)\top} \times_2 \mathbf{S}_2^{(t+1)\top} \dots \times_p \mathbf{S}_p^{(t+1)\top}$$

$$e = \left| \|\mathbf{Z}_{t+1}\|^2 - \|\mathbf{Z}_t\|^2 \right|$$

while ($e > e_{thresh}$);

3. Output: a set of matrices \mathbf{S}_k .

Fig. 4 A procedure for computation of the best rank- (R_1, R_2, \dots, R_K) tensor decomposition

209 savings by using the fixed point representation of data instead of the floating point. In
 210 the presented experiments the 12.12 fixed point representation showed to be sufficient
 211 (each data is stored on 3 bytes instead of 8, needed in the case of the floating point
 212 representation).

213 The *Best_Rank_R-DecompFor*, shown in Fig. 5, is the main class for the best-rank
 214 tensor decomposition. It is derived from the *TensorAlgebraFor* class which imple-
 215 ments all basic operations on tensors, such as the p -mode multiplications, discussed in
 216 the previous section. Tensors, are represented by objects of the class *TFlatTensorFor*
 217 which represents tensors in the flattened form. The *Best_Rank_R-DecompFor* class
 218 is accompanied by the *S_Matrix_Initializer* hierarchy. Its main role is to define the
 219 way of initial setup of the values of the \mathbf{S}_i matrices for the HOOI process. In our case
 220 these were initialized with randomly generated values of uniform distribution [5, 7].

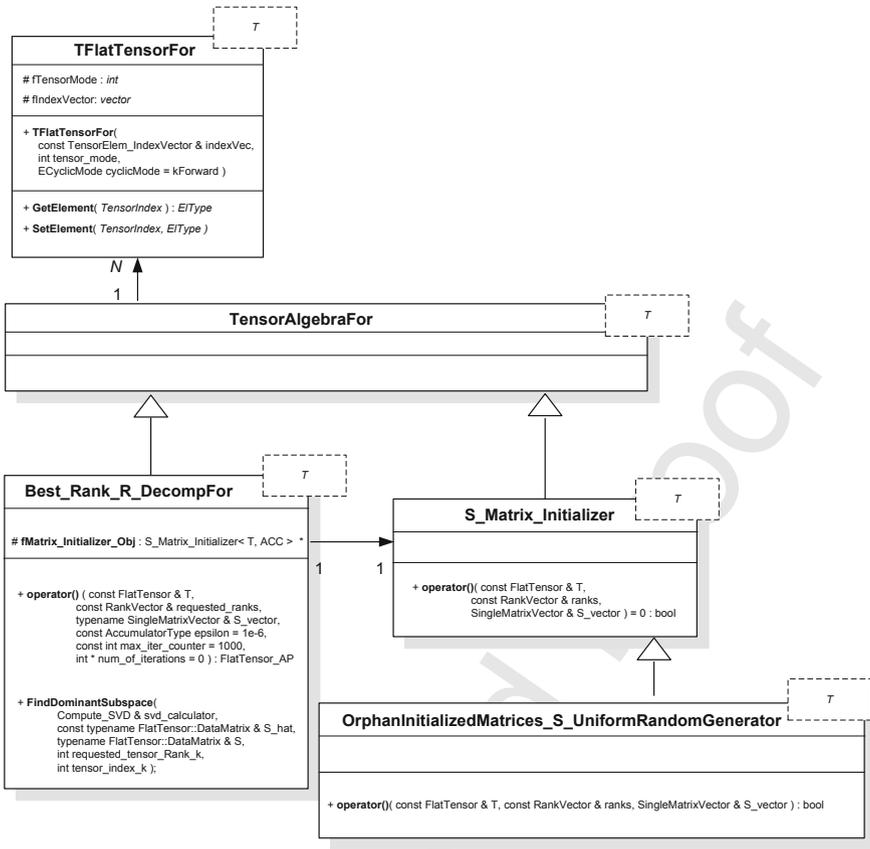


Fig. 5 Class hierarchy from the DeRecLib library implementing the best-rank tensor decomposition for tensors of any dimensions and any type of elements

221 4 Experimental Results

222 This paper is based on the previous version, presented in [4]. In this section we cite
 223 these results, augmented with results of the tests on face recognition. Figure 6 depicts
 224 a maxillary radiograph (left), as well as the implant pattern (right).

225 In the first task, the implants in the maxillary radiograph images are recognized
 226 with the proposed technique. At first, the places of implants are detected by exploiting
 227 their high contrast in the radiograph images. These are detected as highly contrast
 228 areas, which after registration are fed to the tensor classifier described in the previous
 229 sections. Since only one example of the prototype image is usually available, its
 230 different appearances are generated by image warping, as described in the previous
 231 section. In the experiments an implant pattern is rotated in the range of $\pm 12^\circ$.



Fig. 6 An example of a maxillary radiograph image (a) and a dental implant to be recognized (b). (Based on [4])



Fig. 7 Examples of the geometrically deformed versions of the prototype image of an implant. These are formed into a 3D tensor which after the best-rank approximation is used in object recognition. (From [4])

232 Additionally, a Gaussian noise is also added to increase robustness of the method.
 233 Examples of deformed versions of the prototype image of an implant are depicted in
 234 Fig. 7. These form a 3D tensor which, after the best-rank decomposition, is used in
 235 recognition process as already discussed.

236 Figure 8a shows a plot of the reconstruction error E , expressed in Eq. (7), in
 237 respect to the compression ratio C , given in Eq. (10).

238 In the presented experiments, size of the tensor \mathcal{T} is $56 \times 56 \times 13$. Figure 8b
 239 depicts a plot showing accuracy of the pattern recognition, in respect to the com-
 240 pression ratio C . The accuracy with the best representing patter, presented in Fig. 3,
 241 allows accuracy at the level of 95–96 %. However, thanks to a different classification
 242 method, accuracy level was higher by 1–2 % in the presented experiments. The ranks
 243 were chosen 1/4 of the spatial resolution and 1/2 for the pattern dimension of the
 244 input tensors. In further research we plan to conduct more extensive comparison of
 245 different rank settings, as well as different pattern recognition strategy, in respect to
 246 the system accuracy.

247 In the second group of experiments, the method was tested in the tasks of face
 248 recognition. For this purpose the ATT Lab (formerly the ORL Database of Faces)
 249 face database were used, examples of which are depicted in Fig. 9. This database
 250 contains a set of face images taken in the laboratory conditions [9].

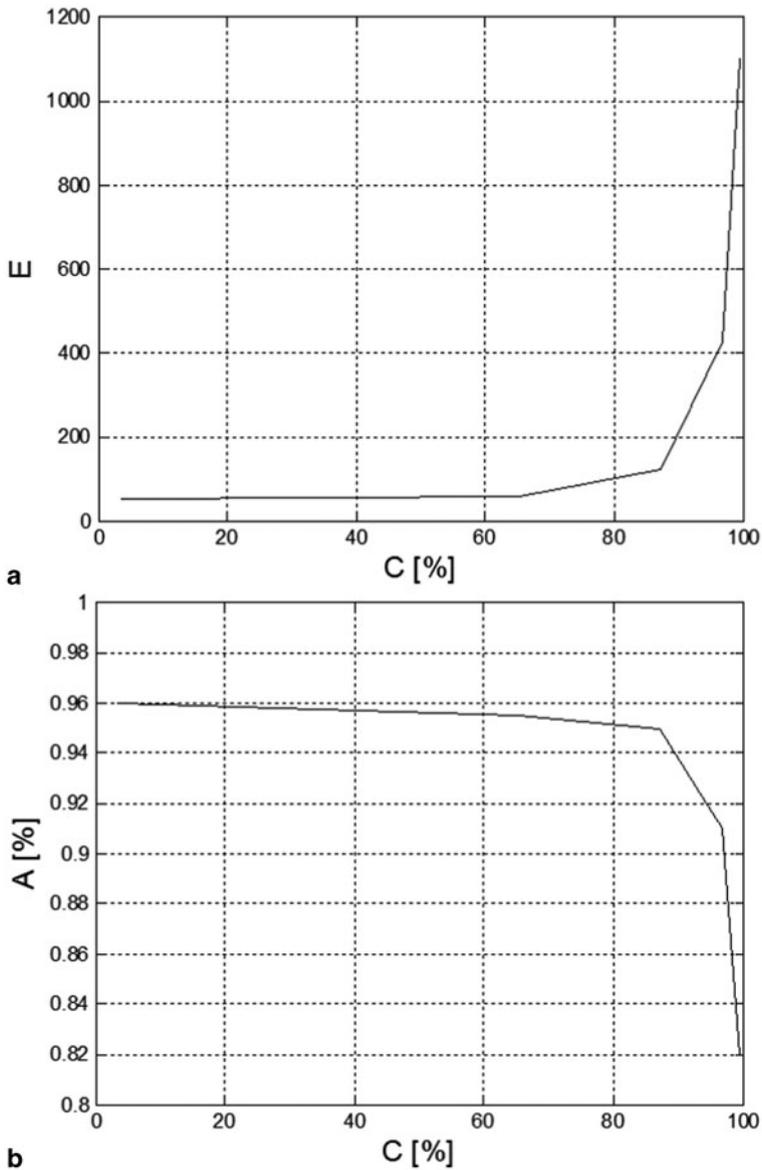


Fig. 8 Reconstruction error E in respect to the compression ratio C of the input patterns (a) Accuracy A of pattern recognition in respect to the compression ratio C of the input patterns (b). (From [4])

251 There are ten different images of each of 40 distinct persons. For few subjects,
 252 the images were taken at different times, at varying lighting conditions, as well as
 253 with different facial expressions (open/closed eyes, smiling/not smiling) and facial



Fig. 9 Examples of the images from the Olivetti Research Lab (ORL)—now ATT Labs. There are 40 subjects, for each there are ten images from which a number were randomly selected for training and the remaining for testing

254 details (glasses/no glasses). All the images were taken against a dark homogeneous
255 background with the subjects in an upright, frontal position (with tolerance for some
256 side movement).

257 Figure 10 presents two accuracy plots obtained on the ATT face database with
258 the presented method. In Fig. 10a accuracy is shown in respect to different rank
259 assignments, which directly influence compression ratio, in accordance with formula
260 (10). In this experiment nine images were used for training and the remaining one
261 for testing. The procedure was repeated 10 times. The rank values in Fig. 10a are as
262 follows: (20, 20, 1), (20, 20, 3), (40, 40, 1), (10, 10, 1), (20, 20, 9). We notice, that
263 different ranks lead to different accuracy and there is no simple formula joining the
264 compression ration C with accuracy A . Nevertheless, high C leads to lowering A .

265 In Fig. 10b the same ranks (20, 20, 1) are used and the accuracy is drawn in respect
266 to different partitions of the database patterns into the training and testing groups
267 respectively. These are as follows: 9 vs. 1, 7 vs. 3, 5 vs. 5, and 3 vs. 7. Although,
268 a lowering number of training patterns with a higher number of test patterns leads
269 to lower accuracy, the drop is by 0.1 (that is, by 10%). For future research we plan
270 further investigation, as well as we will try to develop the methods of automatic rank
271 assignments based on signal properties.

272 The used database is demanding due to high diversity of face appearances within
273 majority of single person. Despite this difficulty, the proposed method allows high
274 accuracy and performs in real-time. Hence, the method can be used in many medical,
275 as well as biometrical on other pattern recognition tasks.

276 5 Conclusions

277 The paper presents a framework for pattern recognition in the multi-dimensional
278 image signals with help of the best rank decomposition of the prototype pattern tensors.
279 The tensors are proposed to be formed from the patterns defining a class, either
280 from the statistical group of prototype patterns, or from a series of patterns generated
281 after geometrical transformations of a available single prototype. Pattern recognition

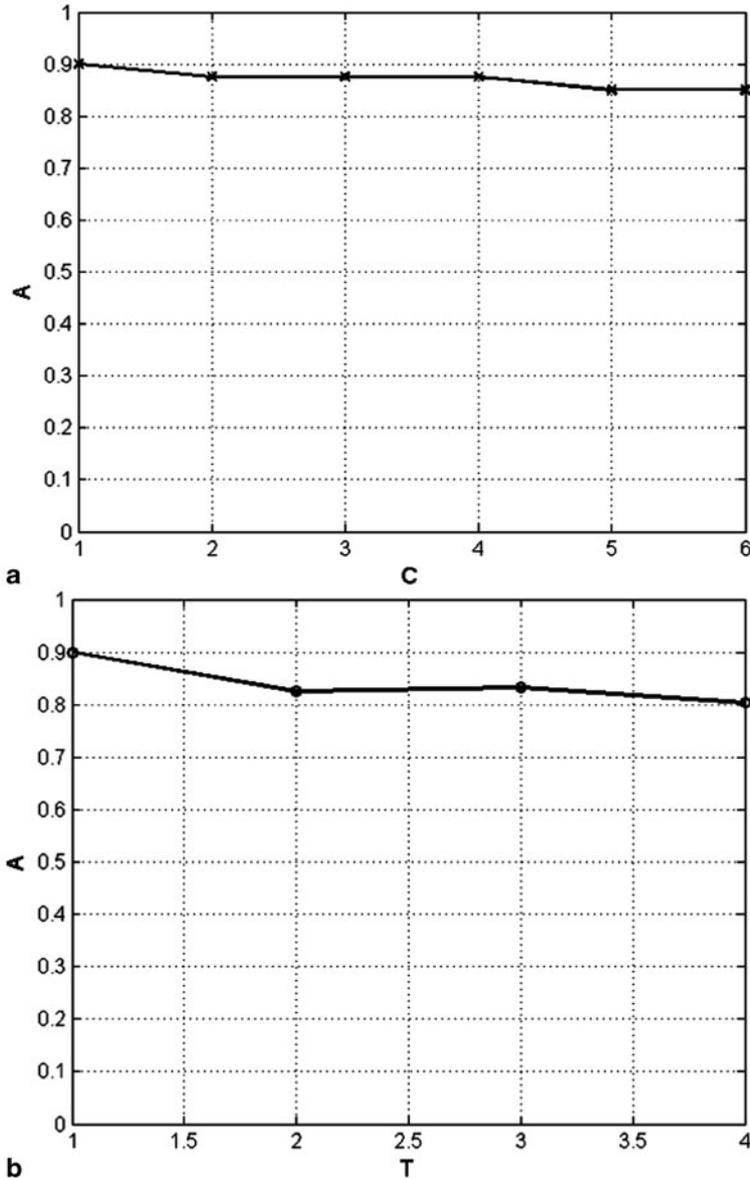


Fig. 10 Accuracy of face recognition in respect to different compression ratio C (a). Accuracy of face recognition for the same compression ratio (20, 20, 1) and different assignments T of training vs. testing images (b)

282 is accomplished by testing a distance of the features obtained by projection of the
 283 patterns into the best rank tensor subspace and comparing with features of all the
 284 projected prototypes. The method was tested on the number of image groups and

285 showed high accuracy and fast response time. In the presented experiments with
 286 implant recognition in maxillary radiograph images, the reached accuracy is 97%.
 287 The method was also tested on the problem of face recognition. In the task of face
 288 recognition from the face database the method achieves 90% accuracy on average.
 289 Additionally, the object-oriented software platform was presented which, apart from
 290 training computations, allows real time response time. It was also indicated that the
 291 training process can be easily parallelized, since each class can be processed inde-
 292 pendently. The software for tensor decomposition is available from the webpage [7].
 293 Our future research on this subject will concentrate on further analysis, measurement
 294 of different signal transformations, as well as on development of methods for best
 295 rank assignments.

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