



## Paired Domination in Trees

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Received: 18 December 2021 / Revised: 13 July 2022 / Accepted: 18 July 2022  
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### Abstract

A set  $S$  of vertices in a graph  $G$  is a paired dominating set if every vertex of  $G$  is adjacent to a vertex in  $S$  and the subgraph induced by  $S$  contains a perfect matching (not necessarily as an induced subgraph). The paired domination number,  $\gamma_{\text{pr}}(G)$ , of  $G$  is the minimum cardinality of a paired dominating set of  $G$ . In this paper, we show that if  $T$  is a tree of order at least 2, then  $\gamma_{\text{pr}}(T) \leq 2\alpha(T) - \varphi(T)$  where  $\alpha(T)$  is the independence number and  $\varphi(T)$  is the  $P_3$ -packing number. We present a tight upper bound on the paired domination number of a tree  $T$  in terms of its maximum degree  $\Delta$ . For  $\Delta \geq 1$ , we show that if  $T$  is a tree of order  $n$  with maximum degree  $\Delta$ , then  $\gamma_{\text{pr}}(T) \leq \left(\frac{5\Delta-4}{8\Delta-4}\right)n + \frac{1}{2}n_1(T) + \frac{1}{4}n_2(T) - \left(\frac{\Delta-2}{4\Delta-2}\right)$ , where  $n_1(T)$  and  $n_2(T)$  denote the number of vertices of degree 1 and 2, respectively, in  $T$ . Further, we show that this bound is tight for all  $\Delta \geq 3$ . As a consequence of this result, if  $T$  is a tree of order  $n \geq 2$ , then  $\gamma_{\text{pr}}(T) \leq \frac{5}{8}n + \frac{1}{2}n_1(T) + \frac{1}{4}n_2(T)$ , and this bound is asymptotically best possible.

**Keywords** Paired domination · Trees · Independence number

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Research supported in part by the University of Johannesburg.

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**Mathematics Subject Classification** 05C69

**1 Introduction**

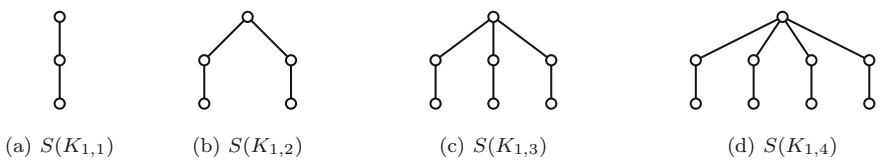
A *dominating set* of a graph  $G$  is a set  $S \subseteq V(G)$  such that every vertex of  $V(G) \setminus S$  is adjacent to some vertex in  $S$ . A *paired dominating set*, abbreviated PD-set, of an isolate-free graph  $G$  is a dominating set  $S$  of  $G$  with the additional property that the subgraph  $G[S]$  induced by  $S$  contains a perfect matching  $M$  (not necessarily induced). With respect to the matching  $M$ , two vertices joined by an edge of  $M$  are *paired* and are called *partners* in  $S$ . The *paired domination number*,  $\gamma_{pr}(G)$ , of  $G$  is the minimum cardinality of a PD-set of  $G$ . We call a PD-set of  $G$  of cardinality  $\gamma_{pr}(G)$  a  $\gamma_{pr}$ -set of  $G$ . We note that the paired domination number  $\gamma_{pr}(G)$  is an even integer. For a recent survey on paired domination in graphs, we refer the reader to the book chapter [3].

We in general follow the graph theory notation in [5]. In particular, we denote the *degree* of a vertex  $v$  in a graph  $G$  by  $d_G(v)$ . A vertex of degree 0 is called an *isolated vertex*, and a graph is *isolate-free* if it contains no isolated vertex. The maximum (minimum) degree among the vertices of  $G$  is denoted by  $\Delta(G)$  ( $\delta(G)$ , respectively). A *leaf* of a tree  $T$  is a vertex of degree 1 in  $T$ , and a *support vertex* of  $T$  is a vertex with a leaf neighbor.

The *distance*  $d(u, v)$  between two vertices  $u$  and  $v$  in a connected graph  $G$ , equals the minimum length of a  $(u, v)$ -path in  $G$  from  $u$  to  $v$ . A shortest, or minimum length, path between two vertices  $u$  and  $v$  is called a  $(u, v)$ -*geodesic*. A *geodesic* is any shortest path in a graph. The *diameter*  $\text{diam}(G)$  of  $G$  is the maximum distance among all pairs of vertices in  $G$ . A *diametral path* in  $G$  is a geodesic which has length equal to diameter of  $G$ .

A *rooted tree*  $T$  distinguishes one vertex  $r$  called the *root*. For each vertex  $v \neq r$  of  $T$ , the *parent* of  $v$  is the neighbor of  $v$  on the unique  $(r, v)$ -path, while a *child* of  $v$  is any other neighbor of  $v$ . A *descendant* of  $v$  is a vertex  $u \neq v$  such that the unique  $(r, u)$ -path contains  $v$ . We let  $D(v)$  denote the set of descendants of  $v$ , and we define  $D[v] = D(v) \cup \{v\}$ . The *maximal subtree* at  $v$  is the subtree of  $T$  induced by  $D[v]$ , and is denoted by  $T_v$ .

The *independence number*  $\alpha(G)$  of a graph  $G$  is the maximum cardinality of an independent set of vertices in  $G$ . For  $k \geq 1$  an integer, we use the standard notation  $[k] = \{1, \dots, k\}$ .



**Fig. 1** The subdivided stars  $S(K_{1,1})$ ,  $S(K_{1,2})$ ,  $S(K_{1,3})$ , and  $S(K_{1,4})$

For  $r \geq 1$  a *subdivided star*  $S(K_{1,r})$  is the tree of order  $2r + 1$  obtained from a star  $K_{1,r}$  by subdividing every edge exactly once. For example, the subdivided stars  $S(K_{1,1})$ ,  $S(K_{1,2})$ ,  $S(K_{1,3})$ , and  $S(K_{1,4})$  are shown in Figs. 1a,b,c,d.

## 2 Known results in trees

The paired domination number of a path  $P_n$  on  $n \geq 2$  vertices is essentially one-half its order.

**Observation 1** For  $n \geq 2$ , we have  $\gamma_{pr}(P_n) = 2\lceil \frac{n}{4} \rceil$ .

Every support vertex in a tree  $T$  is contained in every PD-set of  $T$ . Further we note that if every PD-set in  $T$  contains an independent set  $I$  of vertices, then in order to pair the vertices of  $I$  with (distinct) vertices in the PD-set of  $T$ , we have  $\gamma_{pr}(T) \geq 2|I|$ . For example, if  $T$  is a subdivided star  $S(K_{1,r})$  for some  $r \geq 2$ , then  $T$  has order  $n = 2r + 1$  and the set of  $r$  support vertices in  $T$  form an independent set and belong to every PD-set of  $T$ , implying that  $\gamma_{pr}(T) \geq 2r$ . However, we can pair each support vertex with its leaf neighbor to form a PD-set of  $T$ , implying that  $\gamma_{pr}(T) \leq 2r$ . Consequently,  $\gamma_{pr}(T) = 2r$ . We state this formally as follows.

**Observation 2** If  $T$  is a subdivided star of order  $n$ , then  $\gamma_{pr}(T) = n - 1$ .

In 1998 Haynes and Slater [4] obtained the following upper bound on the paired domination number of a tree of order at least 3.

**Theorem 3** ([4]) *If  $T$  is a tree of order  $n \geq 3$ , then  $\gamma_{pr}(G) \leq n - 1$  with equality if and only if  $T$  is the path  $P_3$  or a subdivided star  $S(K_{1,r})$  for  $r \geq 2$*

Subsequent to the 1998 result of Theorem 3, several authors presented improved bounds on the paired domination number of a tree. We mention, for example, the 2004 paper by Chellali and Haynes [1], the 2006 paper by Raczek [6] and the 2014 paper by Dehgard, Sheikholeslami and Khodkar [2]. In this paper, we present tight upper bounds on the paired domination number of a tree in terms of its order, maximum degree, and number of vertices of degree 1 and 2. We also present tight upper bounds on the paired domination number of a tree in terms of its independence number.

## 3 Main Results

In view of Observation 1, it is only of interest to determine upper bounds on the paired domination number of a tree with maximum degree at least 3. In this paper, we present a stronger result than the trivial upper bound of Theorem 3.

In order to state our first result, we define a  $P_3$ -packing in a tree  $T$  as a collection of vertex disjoint paths  $P_3$  (on three vertices) each of which contains at least one leaf of the original tree  $T$ . Further, we define the  $P_3$ -packing number in  $T$ , denoted  $\phi(T)$ , as the maximum cardinality of a  $P_3$ -packing in  $T$ . We are now in a position to state the

following upper bound on the paired domination of a tree in terms of its independence number. We present a proof of Theorem 4 in Sect. 4.

**Theorem 4** *If  $T$  is a tree of order at least 2, then  $\gamma_{pr}(T) \leq 2\alpha(T) - \varphi(T)$ , and this bound is tight.*

The natural consequence of the definition of a  $P_3$ -packing is its extension to the set of subdivided stars in trees. For this purpose, let  $T$  be a tree of maximum degree  $\Delta$  where  $\Delta \geq 3$ . We define a *subdivided star set* of  $T$  as a set of vertex disjoint subdivided stars each of which is a subgraph of  $T$ . Further, the number of leaves of each such subdivided star belongs to the set  $\{2, \dots, \Delta - 1\}$ , and every leaf from a subdivided star in the set is a leaf of  $T$ . More formally, a set  $\mathcal{P} = \{T_1, \dots, T_p\}$  is a *subdivided star set* of  $T$  if the following holds.

- $T_i$  is a subdivided star  $S(K_{1,n_i})$  where  $2 \leq n_i \leq \Delta - 1$  for every  $i \in [p]$ .
- Every leaf of  $T_i$  is a leaf of  $T$  for all  $i \in [p]$ .
- $V(T_i) \cap V(T_j) = \emptyset$  for  $1 \leq i < j \leq p$ .

Further, if  $\mathcal{P} = \emptyset$ , we define  $\xi_{\mathcal{P}}(T) = 0$ , and if  $\mathcal{P} \neq \emptyset$ , we define

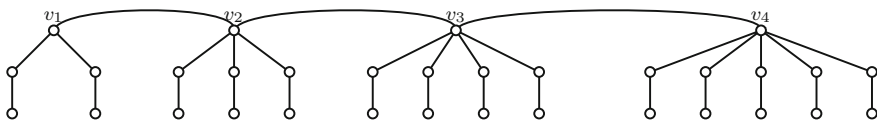
$$\xi_{\mathcal{P}}(T) = \sum_{i=1}^p (n_i - 1) \text{ and } \Phi_{\Delta}(T) = \max \xi_{\mathcal{P}}(T)$$

where the maximum in the definition of  $\Phi_{\Delta}(T)$  is taken over all subdivided star sets  $\mathcal{P}$  in the tree  $T$  (which satisfies  $\Delta(T) = \Delta \geq 3$ ). A subdivided star set  $\mathcal{P}$  of  $T$  satisfying  $\Phi_{\Delta}(T) = \xi_{\mathcal{P}}(T)$  we call an *optimal subdivided star set*. We note that taking  $\mathcal{P} = \emptyset$ , we have  $\xi_{\mathcal{P}}(T) = 0$ , and so  $\Phi_{\Delta}(T) \geq 0$ .

To illustrate this definition, let  $T$  be the tree of maximum degree  $\Delta(T) = 6$  (here  $\Delta = 6$ ) shown in Fig. 2. Let  $T_i$  be the subtree of  $T$  induced by the vertex  $v_i$ , the support vertices of  $v_i$ , and the leaves at distance 2 from  $v_i$ . We note that  $T_i \cong S(K_{1,i+1})$  for  $i \in [4]$ . The set  $\mathcal{P} = \{T_1, T_2, T_3, T_4\}$  is a subdivided star set satisfying  $\xi_{\mathcal{P}}(T) = 1 + 2 + 3 + 4 = 10$ , and so  $\Phi_6(T) \geq 10$ . From the structure of the tree  $T$  we can readily deduce that  $\Phi_6(T) \leq 10$ . Consequently,  $\Phi_6(T) = 10$ .

Let  $n_1(T)$  and  $n_2(T)$  denote the number of vertices of degree 1 and 2, respectively, in a tree  $T$ , and let  $n_{\geq 3}(T)$  denote the number of vertices of degree at least 3 in  $T$ . We note that if  $T$  is a tree of order  $n \geq 3$ , then  $n = n_1(T) + n_2(T) + n_{\geq 3}(T)$ . We are now in a position to state our second main result, a proof of which we present in Sect. 5.

**Theorem 5** *For  $\Delta \geq 1$ , if  $T$  is a tree of order  $n$  with maximum degree  $\Delta(T) = \Delta$ , then*



**Fig. 2** A tree  $T$  with  $\Delta(T) = 6$  and  $\Phi_6(T) = 10$

$$4\gamma_{pr}(T) \leq 2n + 2n_1(T) + n_2(T) + \Phi_\Delta(T), \tag{1}$$

and this bound is tight for all  $\Delta \geq 3$ .

We next present the following upper bound on the paired domination of a tree, a proof of which is presented in Sect. 6.

**Theorem 6** *For  $\Delta \geq 1$ , if  $T$  is a tree of order  $n$  with maximum degree  $\Delta(T) = \Delta$ , then*

$$\gamma_{pr}(T) \leq \left(\frac{5\Delta - 4}{8\Delta - 4}\right)n + \frac{1}{2}n_1(T) + \frac{1}{4}n_2(T) - \left(\frac{\Delta - 2}{4\Delta - 2}\right). \tag{2}$$

As an immediate consequence of Theorem 6, we have the following upper bound on the paired domination number of a tree.

**Corollary 7** *If  $T$  is a tree of order  $n \geq 2$ , then*

$$\gamma_{pr}(T) \leq \frac{5}{8}n + \frac{1}{2}n_1(T) + \frac{1}{4}n_2(T), \tag{3}$$

and this bound is asymptotically best possible.

### 4 Proof of Theorem 4

In this section we give a proof of Theorem 4. Recall its statement.

**Theorem 4.** *If  $T$  is a tree of order at least 2, then  $\gamma_{pr}(T) \leq 2\alpha(T) - \varphi(T)$ , and this bound is tight.*

**Proof** We proceed by induction on the order  $n \geq 2$  of a tree  $T$ . If  $n = 2$ , then  $T = P_2$ , and  $\gamma_{pr}(T) = 2$ ,  $\alpha(T) = 1$  and  $\varphi(T) = 0$ , and so  $\gamma_{pr}(T) = 2\alpha(T) - \varphi(T)$ . This establishes the base case. Let  $n \geq 3$  and assume that if  $T'$  is a tree of order  $n'$  where  $2 \leq n' < n$ , then  $\gamma_{pr}(T') \leq 2\alpha(T') - \varphi(T')$ . Let  $T$  be a tree of order  $n$ .

Suppose that  $T$  contains a strong support vertex  $v$ , and so  $v$  has at least two leaf neighbors in  $T$ . Let  $u_1$  and  $u_2$  be two leaf neighbors of  $v$ , and let  $T' = T - u_1$ . We can choose a maximum independent set in a tree to contain all its leaves, implying that  $\alpha(T) = \alpha(T') + 1$ . Further, we note that if  $\mathcal{P}$  is a maximum  $P_3$ -packing in  $T$ , then either there is a path  $P' \in \mathcal{P}$  that contains the vertex  $u_1$ , in which case  $\mathcal{P} \setminus \{P'\}$  is a  $P_3$ -packing in  $T'$ , or no path in  $\mathcal{P}$  contains the vertex  $u_1$ , in which case  $\mathcal{P}$  is a  $P_3$ -packing in  $T'$ . Thus,  $\varphi(T') \geq |\mathcal{P}| - 1 = \varphi(T) - 1$ . Every PD-set of  $T'$  contains the support vertex  $v$ , implying that  $\gamma_{pr}(T) \leq \gamma_{pr}(T')$ . Applying the inductive hypothesis to  $T'$ , we therefore have  $\gamma_{pr}(T) \leq \gamma_{pr}(T') \leq 2\alpha(T') - \varphi(T') \leq 2(\alpha(T) - 1) - (\varphi(T) - 1) < 2\alpha(T) - \varphi(T)$ . Hence, we may assume that  $T$  contains no strong support vertex, that is, every support vertex in  $T$  has exactly one leaf neighbor.

Since  $T$  has order  $n \geq 3$ , our earlier assumptions imply that the tree  $T$  is not a star, and so  $\text{diam}(T) \geq 3$ . Further our assumptions imply that if  $\text{diam}(T) = 3$ , then  $T = P_4$ . In this case,  $\gamma_{pr}(T) = 2$ ,  $\alpha(T) = 2$  and  $\varphi(T) = 1$ , and so  $\gamma_{pr}(T) < 2\alpha(T) - \varphi(T)$ . Hence, we may assume that  $\text{diam}(T) \geq 4$ , for otherwise

the desired result follows. Let  $P : v_0v_1v_2 \dots v_d$  be a longest path in  $T$ , and so  $d = \text{diam}(T) \geq 4$ . We now root the tree  $T$  at the vertex  $r = v_d$ . Since every support vertex in  $T$  has exactly one leaf neighbor, we note that  $d_T(v_1) = 2$ . We proceed further with the following series of claims.

**claim 1** If  $d_T(v_2) \geq 3$ , then  $\gamma_{\text{pr}}(T) \leq 2\alpha(T) - \varphi(T)$ .

**Proof** Suppose that  $d_T(v_2) \geq 3$ . Suppose firstly that the vertex  $v_2$  is a support vertex with (unique) leaf neighbor  $u_1$ . Let  $T' = T - u_1$ . We can choose a  $\gamma_{\text{pr}}$ -set of  $T'$  to contain the vertices  $v_1$  and  $v_2$ , implying that  $\gamma_{\text{pr}}(T) \leq \gamma_{\text{pr}}(T')$ . Every independent set in  $T'$  is an independent set in  $T$ , implying that  $\alpha(T) \geq \alpha(T')$ . We can choose a maximum  $P_3$ -packing  $\mathcal{P}$  in  $T$  so that it contains the path  $P' \in \mathcal{P}$  where  $P' : v_0v_1v_2$ . The set  $\mathcal{P}$  is a  $P_3$ -packing in  $T'$ , and so  $\varphi(T') \geq |\mathcal{P}| = \varphi(T)$ . Therefore applying the inductive hypothesis to the tree  $T'$ , we have  $\gamma_{\text{pr}}(T) \leq \gamma_{\text{pr}}(T') \leq 2\alpha(T') - \varphi(T') \leq 2\alpha(T) - \varphi(T)$ . Hence, we may assume that  $v_2$  is not a support vertex in  $T$ , and so every child of  $v_2$  is a support vertex of degree 2 in  $T$ .

By supposition,  $d_T(v_2) \geq 3$ . Let  $w_1$  be a child of  $v_2$  different from  $v_1$ , and let  $w_0$  be the child of  $w_1$ . We consider the tree  $T' = T - \{w_0, w_1\}$ . In this case, we note that  $\alpha(T) = \alpha(T') + 1$ . Every  $\gamma_{\text{pr}}$ -set of  $T'$  can be extended to a PD-set of  $T$  by adding to it the vertices  $w_0$  and  $w_1$ , and so  $\gamma_{\text{pr}}(T) \leq \gamma_{\text{pr}}(T') + 2$ . We can choose a maximum  $P_3$ -packing  $\mathcal{P}$  in  $T$  so that it contains the path  $P' \in \mathcal{P}$  where  $P' : v_0v_1v_2$ . The set  $\mathcal{P}$  is a  $P_3$ -packing in  $T'$ , and so  $\varphi(T') \geq |\mathcal{P}| = \varphi(T)$ . Therefore applying the inductive hypothesis to the tree  $T'$ , we have  $\gamma_{\text{pr}}(T) \leq \gamma_{\text{pr}}(T') + 2 \leq 2\alpha(T') - \varphi(T') + 2 \leq 2(\alpha(T) - 1) - \varphi(T) + 2 = 2\alpha(T) - \varphi(T)$ .  $\square$

By Claim 1, we may assume that  $d_T(v_2) = 2$ , for otherwise the desired result follows. More generally, we may assume that every vertex at distance  $d - 2$  from the root  $r = v_d$  of the rooted tree  $T$  has degree equal to 2.

**claim 2** If  $d_T(v_3) = 2$ , then  $\gamma_{\text{pr}}(T) \leq 2\alpha(T) - \varphi(T)$ .

**Proof** Suppose that  $d_T(v_3) = 2$ . If  $T \cong P_5$ , then the inequality holds. Thus, we may further assume that  $T \not\cong P_5$ . In this case, we consider the tree  $T' = T - \{v_0, v_1, v_2, v_3\}$ . Every independent set in  $T'$  can be extended to an independent set in  $T$  by adding to it the vertices  $v_0$  and  $v_2$ , and so  $\alpha(T) \geq \alpha(T') + 2$ . Every  $\gamma_{\text{pr}}$ -set of  $T'$  can be extended to a PD-set of  $T$  by adding to it the vertices  $v_1$  and  $v_2$ , and so  $\gamma_{\text{pr}}(T) \leq \gamma_{\text{pr}}(T') + 2$ . We can choose a maximum  $P_3$ -packing  $\mathcal{P}$  in  $T$  so that it contains the path  $P' \in \mathcal{P}$  where  $P' : v_0v_1v_2$ . The set  $\mathcal{P} \setminus \{P'\}$  is a  $P_3$ -packing in  $T'$ , and so  $\varphi(T') \geq |\mathcal{P}| - 1 = \varphi(T) - 1$ . Therefore, applying the inductive hypothesis to the tree  $T'$ , we have  $\gamma_{\text{pr}}(T) \leq \gamma_{\text{pr}}(T') + 2 \leq 2\alpha(T') - \varphi(T') + 2 \leq 2(\alpha(T) - 2) - (\varphi(T) - 1) + 2 < 2\alpha(T) - \varphi(T)$ .  $\square$

**claim 3** If  $v_3$  is a support vertex, then  $\gamma_{\text{pr}}(T) \leq 2\alpha(T) - \varphi(T)$ .

**Proof** Suppose that the vertex  $v_3$  has a leaf neighbor  $u_2$ . In this case, we consider the tree  $T' = T - \{v_0, v_1, v_2\}$ . We can choose a maximum independent set of  $T'$  to contain the leaf  $u_2$ . Such a maximum independent set can be extended to an independent set of  $T$  by adding to it the vertices  $v_0$  and  $v_2$ , and so  $\alpha(T) \geq \alpha(T') + 2$ . Every  $\gamma_{pr}$ -set of  $T'$  can be extended to a PD-set of  $T$  by adding to it the vertices  $v_1$  and  $v_2$ , and so  $\gamma_{pr}(T) \leq \gamma_{pr}(T') + 2$ . We can choose a maximum  $P_3$ -packing  $\mathcal{P}$  in  $T$  so that it contains the path  $P' \in \mathcal{P}$  where  $P' : v_0v_1v_2$ . The set  $\mathcal{P} \setminus \{P'\}$  is a  $P_3$ -packing in  $T'$ , and so  $\varphi(T') \geq |\mathcal{P}| - 1 = \varphi(T) - 1$ . Therefore applying the inductive hypothesis to the tree  $T'$ , we have  $\gamma_{pr}(T) \leq \gamma_{pr}(T') + 2 \leq 2\alpha(T') - \varphi(T') + 2 \leq 2(\alpha(T) - 2) - (\varphi(T) - 1) + 2 < 2\alpha(T) - \varphi(T)$ .  $\square$

**claim 4** If the vertex  $v_3$  has a descendant at distance 3 that is different from  $v_0$ , then  $\gamma_{pr}(T) \leq 2\alpha(T) - \varphi(T)$ .

**Proof** Suppose that the vertex  $v_3$  has a descendant  $w_0$  at distance 3 that is different from  $v_0$ . Let  $w_0w_1w_2v_3$  be the path from  $w_0$  to the vertex  $v_3$ . By our earlier assumptions, the vertex  $w_0$  is a leaf and  $d_T(w_1) = d_T(w_2) = 2$ . We now consider the tree  $T' = T - \{v_0, v_1, v_2\}$ . We can choose a maximum independent set of  $T'$  to contain the vertices  $w_0$  and  $w_2$ . Such a maximum independent set can be extended to an independent set of  $T$  by adding to it the vertices  $v_0$  and  $v_2$ , and so  $\alpha(T) \geq \alpha(T') + 2$ . Every  $\gamma_{pr}$ -set of  $T'$  can be extended to a PD-set of  $T$  by adding to it the vertices  $v_1$  and  $v_2$ , and so  $\gamma_{pr}(T) \leq \gamma_{pr}(T') + 2$ . We can choose a maximum  $P_3$ -packing  $\mathcal{P}$  in  $T$  so that it contains the path  $P' \in \mathcal{P}$  where  $P' : v_0v_1v_2$ . The set  $\mathcal{P} \setminus \{P'\}$  is a  $P_3$ -packing in  $T'$ , and so  $\varphi(T') \geq |\mathcal{P}| - 1 = \varphi(T) - 1$ . Therefore applying the inductive hypothesis to the tree  $T'$ , we have  $\gamma_{pr}(T) \leq \gamma_{pr}(T') + 2 \leq 2\alpha(T') - \varphi(T') + 2 \leq 2(\alpha(T) - 2) - (\varphi(T) - 1) + 2 < 2\alpha(T) - \varphi(T)$ .  $\square$

By Claim 2, 3 and 4, we may assume that  $d_T(v_3) \geq 3$  and that every child of  $v_3$  different from  $v_2$  is a support vertex of degree 2 in  $T$ . Let  $w_2$  be an arbitrary child of  $v_3$  different from  $v_2$ , and let  $w_1$  be the child of  $w_2$ . Let  $\ell$  be the number of children of  $v_3$ . By assumption,  $\ell \geq 2$  and every leaf in  $T_{v_3}$  different from  $v_0$  is at distance 2 from  $v_3$ , where  $T_{v_3}$  is the maximal subtree rooted at  $v_3$ . Thus,  $T_{v_3}$  is obtained from a star  $K_{1,\ell}$  by subdividing  $\ell - 1$  edges once and subdividing the remaining edge of the star twice, and so  $T_{v_3}$  has order  $2\ell + 2$ . Let  $T'$  be the tree obtained from  $T$  by deleting the vertex  $v_3$  and all descendants of  $v_3$ , that is,  $T' = T - V(T_{v_3})$ . By our earlier assumptions, the tree  $T'$  has order at least 3.

Every independent set in  $T'$  can be extended to an independent set in  $T$  by adding to it the vertex  $v_2$  and the  $\ell$  leaves of  $T_{v_3}$ , and so  $\alpha(T) \geq \alpha(T') + \ell + 1$ . Every  $\gamma_{pr}$ -set of  $T'$  can be extended to a PD-set of  $T$  by adding to it  $2\ell$  vertices from the tree  $T_{v_3}$ , and so  $\gamma_{pr}(T) \leq \gamma_{pr}(T') + 2\ell$ . We can choose a maximum  $P_3$ -packing  $\mathcal{P}$  in  $T$  so that it contains the paths  $P' : v_0v_1v_2$  and  $Q' : w_1w_2v_3$ . The set  $\mathcal{P} \setminus \{P', Q'\}$  is a  $P_3$ -packing in  $T'$ , and so  $\varphi(T') \geq |\mathcal{P}| - 2 = \varphi(T) - 2$ . Therefore applying the inductive hypothesis to the tree  $T'$ , we have

$$\begin{aligned}
 \gamma_{\text{pr}}(T) &\leq \gamma_{\text{pr}}(T') + 2\ell \\
 &\leq 2\alpha(T') - \varphi(T') + 2\ell \\
 &\leq 2(\alpha(T) - \ell - 1) - (\varphi(T) - 2) + 2\ell \\
 &= 2\alpha(T) - \varphi(T).
 \end{aligned}$$

This completes the proof of the upper bound.

That the upper bound in Theorem 4 is sharp may be seen as follows. For an even  $k \geq 2$ , let  $T_1, T_2, \dots, T_k$  be vertex disjoint subdivided stars, that is,  $T_i = S(K_{1, n_i})$  where  $n_i \geq 1$ . If  $n_i \geq 2$ , then let  $v_i$  denote the central vertex (of degree  $i$ ) of the subdivided star  $T_i$ , while if  $n_i = 1$ , then let  $v_i$  be one of the two leaves of  $T_i \cong P_3$ . Let  $T = T_k(n_1, \dots, n_k)$  be the tree obtained from the disjoint union of the trees  $T_1, T_2, \dots, T_k$  by adding the edges  $v_i v_{i+1}$  for all  $i \in [k - 1]$ , and so  $v_1 v_2 \dots v_k$  is a path in  $T$ . The resulting tree  $T$  satisfies  $\gamma_{\text{pr}}(T) = 2\alpha(T) - \varphi(T)$  noting that

$$\gamma_{\text{pr}}(T) = \sum_{i=1}^k 2n_i, \alpha(T) = \frac{1}{2}k + \sum_{i=1}^k n_i \text{ and } \varphi(T) = k.$$

In the special case when  $n_i = 1$  for all  $i \in [k]$ , the tree  $T = T_k(n_1, \dots, n_k)$  is the 2-corona of a path  $P_k$ , that is,  $T = P_k \circ P_2$  is obtained from a path  $P_k$  by attaching a path of length 2 to each vertex of  $P_k$  so that the resulting paths are vertex-disjoint. In this case,  $\gamma_{\text{pr}}(T) = 2k$ ,  $\alpha(T) = \frac{3}{2}k$  and  $\varphi(T) = k$ , and so  $\gamma_{\text{pr}}(T) = 2\alpha(T) - \varphi(T)$ . For example, the 2-corona  $T = P_6 \circ P_2$  of a path  $P_6$  is illustrated in Fig. 3.

When  $k = 4$  and  $n_1 = 5, n_2 = n_3 = 4$  and  $n_4 = 6$ , the tree  $T = T_k(n_1, \dots, n_k)$ , for example, is illustrated in Fig. 4. For this example,  $\gamma_{\text{pr}}(T) = 38$ ,  $\alpha(T) = 21$  and  $\varphi(T) = 4$ , and so  $\gamma_{\text{pr}}(T) = 2\alpha(T) - \varphi(T)$ .

### 5 Proof of Theorem 5

In this section we give a proof of Theorem 5. Recall its statement.

**Theorem 5.** For  $\Delta \geq 1$ , if  $T$  is a tree of order  $n$  with maximum degree  $\Delta(T) = \Delta$ , then

Fig. 3 The 2-corona  $P_6 \circ P_2$  of a path  $P_6$

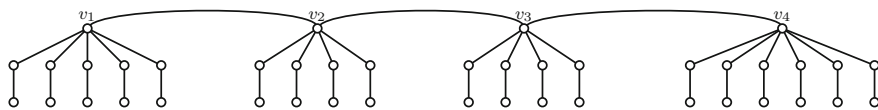
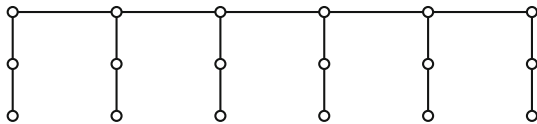


Fig. 4 The tree  $T = T_4(5, 4, 4, 6)$



$$4\gamma_{pr}(T) \leq 2n + 2n_1(T) + n_2(T) + \Phi_\Delta(T),$$

and this bound is tight for all  $\Delta \geq 3$ .

**Proof** For a tree  $T$  of order  $n$  with maximum degree  $\Delta(T) = \Delta$  where  $\Delta \geq 1$ , we define the *weight* of  $T$  by

$$w(T) = 2n + 2n_1(T) + n_2(T) + \Phi_\Delta(T).$$

We prove by induction on  $n + \Delta$  that  $4\gamma_{pr}(T) \leq w(T)$ . If  $\Delta = 1$ , then  $T = K_2$  and  $\gamma_{pr}(T) = 2, n = n_1(T) = 2$ , and  $n_2(T) = \Phi_\Delta(T) = 0$ , and so  $4\gamma_{pr}(T) = 8 = w(T)$ . If  $\Delta = 2$ , then  $T$  is a path  $P_n$ , where  $n \geq 3$ . In this case,  $w(T) = 3n + 2 + \Phi_\Delta(T)$ . If  $n = 5$ , then  $\Phi_\Delta(T) = 1$ , while if  $n \neq 5$ , then  $\Phi_\Delta(T) = 0$ . By Observation 1, we therefore have that  $4\gamma_{pr}(T) < w(T)$ . Hence, we may assume in what follows that  $\Delta \geq 3$ , for otherwise the desired result is immediate.

Since  $\Delta(T) = \Delta$ , we note that  $n \geq \Delta + 1$ , and so the smallest value of  $n + \Delta$  is  $2\Delta + 1$ . If  $n + \Delta = 2\Delta + 1$ , then  $n = \Delta + 1$  and  $T$  is a star  $K_{1,\Delta}$ . In this case,  $\gamma_{pr}(T) = 2, n_1(T) = \Delta, n_2(T) = 0$ , and  $\Phi_\Delta(T) = 0$ , and so  $4\gamma_{pr}(T) = 8 < 4\Delta + 2 = w(T)$ . This establishes the base cases. Let  $n \geq \Delta + 2$  where  $\Delta \geq 3$ , and assume that if  $T'$  is a tree of order  $n'$  and maximum degree  $\Delta(T') = \Delta'$  where  $n' \leq n$  and  $\Delta' \leq \Delta$  satisfying  $n' + \Delta' < n + \Delta$ , then  $4\gamma_{pr}(T') \leq w(T')$ . Let  $\Delta \geq 3$  and let  $T$  be a tree of order  $n$  with  $\Delta(T) = \Delta$ . We proceed further with the following claim.

**claim 1** If  $T$  contains a support vertex with at least two leaf neighbors, then  $4\gamma_{pr}(T) \leq w(T)$ .

**Proof** Suppose that there is a vertex  $v$  in  $T$  with at least two leaf neighbors, say  $v_1$  and  $v_2$ . Let  $S$  be a  $\gamma_{pr}$ -set of  $T$ . At most one of  $v_1$  and  $v_2$  belongs to the set  $S$ . Renaming  $v_1$  and  $v_2$  if necessary, we may assume that  $v_1 \notin S$ . We now consider the tree  $T' = T - v_1$ . The set  $S$  is a PD-set of  $T'$ , and so  $\gamma_{pr}(T') \leq |S| = \gamma_{pr}(T)$ . Every PD-set of  $T'$  contains the support vertex  $v$ , implying that  $\gamma_{pr}(T) \leq \gamma_{pr}(T')$ . Consequently,  $\gamma_{pr}(T') = \gamma_{pr}(T)$ . Let  $T'$  have order  $n'$  with maximum degree  $\Delta(T') = \Delta'$ . We note that  $n' = n - 1, n_1(T') = n_1(T) - 1, n_2(T') \leq n_2(T) + 1$  and  $\Delta' \leq \Delta$ . Every subdivided star set of  $T'$  is a subdivided star set of  $T$ , implying that  $\Phi'_\Delta(T') \leq \Phi_\Delta(T)$ . These observations imply that

$$\begin{aligned} w(T) - w(T') &= 2(n - n') + 2(n_1(T) - n_1(T')) \\ &\quad + (n_2(T) - n_2(T')) + (\Phi_\Delta(T) - \Phi'_\Delta(T')) \\ &\geq 2 + 2 - 1 + 0 = 3, \end{aligned}$$

and so  $w(T) \geq w(T') + 3$ . Applying the inductive hypothesis to the tree  $T'$ , we have

$$4\gamma_{pr}(T) = 4\gamma_{pr}(T') \leq w(T') \leq w(T) - 3 < w(T).$$

This completes the proof of Claim 1. □

By Claim 1, we may assume that every support vertex of  $T$  has exactly one leaf neighbor, for otherwise the desired inequality, namely  $4\gamma_{\text{pr}}(T) \leq w(T)$  holds. Recall that  $n \geq \Delta + 2$ , and so  $\text{diam}(T) \geq 3$ . Let  $P : v_0 v_1 \dots v_d$  be a diametral path in  $T$ , and so  $v_1$  and  $v_d$  are two vertices at maximum distance apart in  $T$  and  $d = \text{diam}(T) \geq 3$ . The vertices  $v_1$  and  $v_{d-1}$  are support vertices in  $T$ . By Claim 1 and the maximality of the path  $P$ , both  $v_1$  and  $v_{d-1}$  have degree 2 in  $T$  with  $v_0$  and  $v_d$ , respectively, as their unique leaf neighbors.

If  $d = 3$ , then  $T = P_\Delta$ , contradicting the fact that  $\Delta(T) = \Delta \geq 3$ . If  $d = 4$ , then  $T$  is a subdivided star  $S(K_{1,\Delta})$  obtained from a star  $K_{1,\Delta}$  by subdividing every edge exactly once. In this case,  $\gamma_{\text{pr}}(T) = 2\Delta = n - 1$ . Moreover,  $n_1(T) = n_2(T) = \Delta$  and  $\Phi_\Delta(T) = \Delta - 2$ . Thus,

$$w(T) = 2(2\Delta + 1) + 2\Delta + \Delta + (\Delta - 2) = 8\Delta = 4\gamma_{\text{pr}}(T),$$

which yields equality in the desired bound. Hence, we may assume that  $d \geq 5$ . We now root the tree  $T$  at the vertex  $v_d$ . By Claim 1, at most one child of the vertex  $v_2$  is a leaf. Further, by the maximality of the path  $P$ , every child of  $v_2$  that is not a leaf is a support vertex of degree 2 in  $T$ . Let  $\ell$  be the number of children of  $v_2$  that are not leaves. We note that  $1 \leq \ell \leq \Delta - 1$  and that each child of  $v_2$  that is not a leaf is a support vertex of degree 2. If  $v_2$  has a leaf neighbor, then let  $\ell_0 = 1$ , while if  $v_2$  is not a support vertex, let  $\ell_0 = 0$ .

**claim 2** If  $d_T(v_3) \geq 3$ , then  $4\gamma_{\text{pr}}(T) \leq w(T)$ .

**Proof** Suppose that  $d_T(v_3) \geq 3$ . In this case, we consider the tree  $T'$  obtained from  $T$  by deleting the vertex  $v_2$  and all descendants of  $v_2$ , that is,  $T' = T - V(T_{v_2})$  where  $T_{v_2}$  is the maximal subtree rooted at  $v_2$ . Let  $T'$  have order  $n'$  with maximum degree  $\Delta(T') = \Delta'$ . We note that  $n' = n - 2\ell - \ell_0 - 1$ ,  $n_1(T') = n_1(T) - \ell - \ell_0$ ,  $n_2(T') \leq n_2(T) - \ell + 1$  and  $\Delta' \leq \Delta$ . Every optimal subdivided star set  $\mathcal{P}'$  of  $T'$  is a subdivided star set of  $T$ . Thus if  $\ell = 1$ , then  $\Phi_\Delta(T) \geq \Phi_\Delta(T') = \Phi_{\Delta'}(T') + \ell - 1 = \Phi_{\Delta'}(T')$ . If  $\ell \geq 2$  and  $\ell_0 = 0$ , then the maximal subtree  $T_{v_2}$  is a subdivided star  $S(K_{1,\ell})$  that can be added to the set  $\mathcal{P}'$ , while if  $\ell \geq 2$  and  $\ell_0 = 1$ , then removing the leaf neighbor of  $v_2$  from the maximal subtree  $T_{v_2}$  produces a subdivided star  $S(K_{1,\ell})$  that can be added to the set  $\mathcal{P}'$ , implying that  $\Phi_\Delta(T) \geq \Phi_\Delta(T') + \ell - 1$ . These observations imply that

$$\begin{aligned} w(T) - w(T') &= 2(n - n') + 2(n_1(T) - n_1(T')) \\ &\quad + (n_2(T) - n_2(T')) + (\Phi_\Delta(T) - \Phi_{\Delta'}(T')) \\ &\geq 2(2\ell + \ell_0 + 1) + 2(\ell + \ell_0) + (\ell - 1) + (\ell - 1) \\ &= 8\ell + 4\ell_0 \\ &\geq 8\ell, \end{aligned}$$

and so  $w(T) \geq w(T') + 8\ell$ . Every  $\gamma_{\text{pr}}$ -set of  $T'$  can be extended to a PD-set of  $T$  by adding to it the vertex  $v_2$  and all children of  $v_2$  of degree 2 together with their leaf neighbors, excluding the vertex  $v_0$ . In the resulting PD-set of  $T$ , we note that  $v_1$  and  $v_2$  are paired, and every child of  $v_2$  different from  $v_1$  is paired with its (unique) child.

Thus,  $\gamma_{pr}(T) \leq \gamma_{pr}(T') + 2\ell$ . Applying the inductive hypothesis to the tree  $T'$ , we have

$$4\gamma_{pr}(T) = 4(\gamma_{pr}(T') + 2\ell) \leq w(T') + 8\ell \leq w(T).$$

This completes the proof of Claim 2. □

By Claim 2, we may assume that  $d_T(v_3) = 2$ , for otherwise the desired inequality holds. By our earlier assumptions,  $d = \text{diam}(T) \geq 5$ . We consider the tree  $T'$  obtained from  $T$  by deleting the vertex  $v_3$  and all descendants of  $v_3$ , that is,  $T' = T - V(T_{v_3})$  where  $T_{v_3}$  is the maximal subtree rooted at  $v_3$ . Let  $T'$  have order  $n'$  with maximum degree  $\Delta(T') = \Delta'$ . We note that  $n' \geq 2$  and  $1 \leq \Delta' \leq \Delta$ . Further,  $n' = n - 2\ell - \ell_0 - 2$ ,  $n_1(T') \leq n_1(T) - \ell - \ell_0 + 1$ , and  $n_2(T') \leq n_2(T) - \ell$ . Every optimal subdivided star set  $\mathcal{P}'$  of  $T'$  is a subdivided star set of  $T$ . Analogous arguments as in the proof of Claim 2 show that  $\Phi_{\Delta}(T) \geq \Phi_{\Delta}(T') + \ell - 1$ . These observations imply that

$$\begin{aligned} w(T) - w(T') &= 2(n - n') + 2(n_1(T) - n_1(T')) \\ &\quad + (n_2(T) - n_2(T')) + (\Phi_{\Delta}(T) - \Phi'_{\Delta}(T')) \\ &\geq 2(2\ell + \ell_0 + 2) + 2(\ell + \ell_0 - 1) + \ell + (\ell - 1) \\ &= 8\ell + 4\ell_0 + 1 > 8\ell, \end{aligned}$$

and so  $w(T) > w(T') + 8\ell$ . Every  $\gamma_{pr}$ -set of  $T'$  can be extended to a PD-set of  $T$  by adding to it the vertex  $v_2$  and all children of  $v_2$  of degree 2 together with their leaf neighbors, excluding the vertex  $v_0$ . Thus,  $\gamma_{pr}(T) \leq \gamma_{pr}(T') + 2\ell$ . Applying the inductive hypothesis to the tree  $T'$ , we have

$$4\gamma_{pr}(T) = 4(\gamma_{pr}(T') + 2\ell) \leq w(T') + 8\ell < w(T).$$

This completes the proof of Theorem 5. □

That the upper bound in Theorem 5 is sharp may be seen as follows. For  $\Delta \geq 3$  and  $\ell \geq 1$ , let  $T_{\Delta,\ell}$  be the tree constructed as follows. Let  $T_1 = S(K_{1,\Delta})$ , and for  $\ell \geq 2$ , let  $T_2, \dots, T_{\ell}$  be  $\ell - 1$  vertex disjoint copies of a subdivided star  $S(K_{1,\Delta-1})$ . Let  $v_i$  be the central vertex (of degree  $\Delta$ ) in  $T_i$ , and let  $u_i$  be an arbitrary neighbor of  $v_i$  in  $T_i$  for all  $i \in [\ell]$ . If  $\ell = 1$ , we define  $T_{\Delta,\ell} = T_1$ . For  $\ell \geq 2$ , let  $T_{\Delta,\ell}$  be constructed from the disjoint union of the subdivided stars  $T_1, \dots, T_{\ell}$  by adding the  $\ell - 1$  edges  $u_i v_{i+1}$  for all  $i \in [\ell - 1]$ . For example, the tree  $T_{5,4}$  is illustrated in Fig. 5. By construction, the tree  $T_{\Delta,\ell}$  has maximum degree  $\Delta$ .

Suppose that  $T = T_{\Delta,1}$  for some  $\Delta \geq 3$ , and so  $T = S(K_{1,\Delta})$ . In this case,  $\gamma_{pr}(T) = 2\Delta$ ,  $n = 2\Delta + 1$ ,  $n_1(T) = n_2(T) = \Delta$ , and  $\Phi_{\Delta}(T) = \Delta - 2$ . Hence,

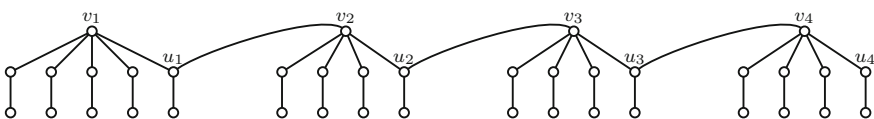


Fig. 5 The tree  $T = T_{5,4}$

$4\gamma_{pr}(T) = 8\Delta = 2n + 2n_1(T) + n_2(T) + \Phi_\Delta(T)$ , and so we have equality in Inequality (2).

Suppose that  $T = T_{\Delta,\ell}$  for some  $\Delta \geq 3$  and  $\ell \geq 2$ . The set of  $(\Delta - 1)\ell + 1$  support vertices of  $T$  form an independent set, implying that  $\gamma_{pr}(T) \geq 2(\Delta - 1)\ell + 2$ . However, we can pair each support vertex with its leaf neighbor to form a PD-set of  $T$ , implying that  $\gamma_{pr}(T) \leq 2(\Delta - 1)\ell + 2$ . Consequently,  $\gamma_{pr}(T) = 2(\Delta - 1)\ell + 2$ . Moreover,  $n(T) = 2\Delta\ell - \ell + 2$ ,  $n_1(T) = \Delta\ell - \ell + 1$ ,  $n_2(T) = \Delta\ell - 2\ell + 2$ , and  $\Phi_\Delta(T) = \ell(\Delta - 2)$ . Hence,  $4\gamma_{pr}(T) = 8(\Delta - 1)\ell + 8 = 2n + 2n_1(T) + n_2(T) + \Phi_\Delta(T)$ , and so we have equality in Inequality (2). We state this formally as follows.

**Observation 8** For all integers  $\Delta \geq 3$  and  $\ell \geq 1$ , the tree  $T_{\Delta,\ell}$  satisfies equality in Inequality (2).

By Observation 8, the upper bound in Theorem 5 is tight.

### 6 Proof of Theorem 6

In this section we give a proof of Theorem 6. Recall its statement.

**Theorem 6.** For  $\Delta \geq 1$ , if  $T$  is a tree with maximum degree  $\Delta(T) = \Delta$ , then

$$\gamma_{pr}(T) \leq \left(\frac{5\Delta - 4}{8\Delta - 4}\right)n + \frac{1}{2}n_1(T) + \frac{1}{4}n_2(T) - \left(\frac{\Delta - 2}{4\Delta - 2}\right).$$

**Proof** Let  $T$  be a tree of order  $n$  with maximum degree  $\Delta \geq 1$ . Let  $\mathcal{P} = \{T_1, \dots, T_p\}$  be an optimal subdivided star set in the tree  $T$ . Thus,  $T_i$  is a subdivided star  $S(K_{1,n_i})$  where  $2 \leq n_i \leq \Delta - 1$  for every  $i \in [p]$ . The tree  $T_i$  has order  $|V(T_i)| = 2n_i + 1$ , and so

$$\Phi_\Delta(T) = \sum_{i=1}^p (n_i - 1) = \sum_{i=1}^p \left(\frac{n_i - 1}{2n_i + 1}\right) |V(T_i)| \leq \left(\frac{\Delta - 2}{2\Delta - 1}\right) \sum_{i=1}^p |V(T_i)|. \tag{4}$$

Since  $\mathcal{P}$  is a subdivided star set, the trees in the set  $\mathcal{P}$  are vertex disjoint, implying that

$$\sum_{i=1}^p |V(T_i)| \leq n. \tag{5}$$

We consider three cases.

Case 1.  $\sum_{i=1}^p |V(T_i)| \leq n - 2$ . In this case, by Inequalities (1) and (4), we have

$$\begin{aligned}
 4\gamma_{\text{pr}}(T) &\leq 2n + 2n_1(T) + n_2(T) + \Phi_{\Delta}(T) \\
 &\leq 2n + 2n_1(T) + n_2(T) + \left(\frac{\Delta - 2}{2\Delta - 1}\right)(n - 2) \\
 &\leq \left(\frac{5\Delta - 4}{2\Delta - 1}\right)n + 2n_1(T) + n_2(T) - 2\left(\frac{\Delta - 2}{2\Delta - 1}\right).
 \end{aligned}$$

Case 2.  $\sum_{i=1}^p |V(T_i)| = n - 1$ . In this case, we have

$$n = 1 + \sum_{i=1}^p (2n_i + 1) = 1 + 3p + 2 \sum_{i=1}^p (n_i - 1) = 2\Phi_{\Delta}(T) + 3p + 1, \tag{6}$$

and

$$n_1(T) \geq \sum_{i=1}^p n_i = \sum_{i=1}^p ((n_i - 1) + 1) = \Phi_{\Delta}(T) + p. \tag{7}$$

Let  $S$  be the set of support vertices that belong to the subdivided stars in our optimal subdivided star set  $\mathcal{P}$  of  $T$ . In this case, the set  $S$  can be extended to a PD-set  $S^*$  of  $T$  by adding to each vertex of  $S$  one of its neighbors in such a way as to maximize the pairs of vertices of  $S$  that form partners, implying that

$$\gamma_{\text{pr}}(T) \leq |S^*| \leq 2|S| = \sum_{i=1}^p 2n_i = 2 \sum_{i=1}^p ((n_i - 1) + 1) = 2\Phi_{\Delta}(T) + 2p. \tag{8}$$

We note that if the set  $S$  of support vertices is not an independent set, then we can pair  $t$  support vertices as partners in the PD-set  $S^*$  for some  $t \geq 1$ , implying that  $\gamma_{\text{pr}}(T) \leq |S^*| \leq 2(|S| - t)$ , and we can improve the inequality in Equality (8). Indeed, the more pairs of support vertices in  $S$  that can be paired together as partners in  $S^*$ , the smaller the resulting set  $S^*$ .

We consider here the case when  $\gamma_{\text{pr}}(T)$  is as large as possible, namely when the set  $S$  is an independent set, and so  $|S^*| = 2|S|$  (the case when  $|S^*| < 2|S|$  is simpler to handle). In this case, we note that since at most  $p$  edges of  $T$  are incident with support vertices of  $T$  that belong to one of the subdivided stars in our optimal subdivided star set  $\mathcal{P}$ , we have

$$n_2(T) \geq \left(\sum_{i=1}^p n_i\right) - p = (\Phi_{\Delta}(T) + p) - p = \Phi_{\Delta}(T). \tag{9}$$

Hence, by Inequalities (6), (7), (8), and (9), we have

$$4\gamma_{\text{pr}}(T) \leq 8\Phi_{\Delta}(T) + 8p \leq 2n + 2n_1(T) + n_2(T) + \Phi_{\Delta}(T) - 2. \tag{10}$$

By Inequalities (4) and (10), we have

$$\begin{aligned}
 4\gamma_{\text{pr}}(T) &\leq 2n + 2n_1(T) + n_2(T) + \Phi_{\Delta}(T) - 2 \\
 &\leq 2n + 2n_1(T) + n_2(T) + \left(\frac{\Delta - 2}{2\Delta - 1}\right)(n - 1) - 2 \\
 &\leq \left(\frac{5\Delta - 4}{2\Delta - 1}\right)n + 2n_1(T) + n_2(T) - \left(\frac{5\Delta - 4}{2\Delta - 1}\right) \\
 &< \left(\frac{5\Delta - 4}{2\Delta - 1}\right)n + 2n_1(T) + n_2(T) - 2\left(\frac{\Delta - 2}{2\Delta - 1}\right).
 \end{aligned}$$

Case 3.  $\sum_{i=1}^p |V(T_i)| = n$ . In this case, we have

$$n = \sum_{i=1}^p (2n_i + 1) = 2 \sum_{i=1}^p (n_i - 1) + 3p = 2\Phi_{\Delta}(T) + 3p. \tag{11}$$

Inequalities (7) and (8) hold as before. Analogously as in Case 2, we consider here the case when  $\gamma_{\text{pr}}(T)$  is as large as possible, namely when the set  $S$  is an independent set, and so  $|S^*| = 2|S|$  (the case when  $|S^*| < 2|S|$  is simpler to handle). In this case, we note that since at most  $p - 1$  edges of  $T$  are incident with support vertices of  $T$  that belong to one of the subdivided stars in our optimal subdivided star set  $\mathcal{P}$ , we have

$$n_2(T) \geq \left(\sum_{i=1}^p n_i\right) - (p - 1) = (\Phi_{\Delta}(T) + p) - (p - 1) = \Phi_{\Delta}(T) + 1. \tag{12}$$

Hence, by Inequalities (7), (8), (11), and (12), we have

$$4\gamma_{\text{pr}}(T) \leq 8\Phi_{\Delta}(T) + 8p \leq 2n + 2n_1(T) + n_2(T) + \Phi_{\Delta}(T) - 1. \tag{13}$$

By Inequalities (4) and (13), we have

$$\begin{aligned}
 4\gamma_{\text{pr}}(T) &\leq 2n + 2n_1(T) + n_2(T) + \Phi_{\Delta}(T) - 1 \\
 &\leq 2n + 2n_1(T) + n_2(T) + \left(\frac{\Delta - 2}{2\Delta - 1}\right)n - 1 \\
 &\leq \left(\frac{5\Delta - 4}{2\Delta - 1}\right)n + 2n_1(T) + n_2(T) - 1 \\
 &< \left(\frac{5\Delta - 4}{2\Delta - 1}\right)n + 2n_1(T) + n_2(T) - 2\left(\frac{\Delta - 2}{2\Delta - 1}\right).
 \end{aligned}$$

In all three cases, the desired Inequality (3) in the statement of the theorem holds. This completes the proof of Theorem 6.  $\square$

For  $\Delta \geq 3$  and  $\ell \geq 1$ , let  $T_{\Delta,\ell}$  be the tree constructed in Sect. 5. If  $T = T_{\Delta,1}$  for some  $\Delta \geq 3$ , then  $T = S(K_{1,\Delta})$ , and, by our earlier observations, we have  $\gamma_{\text{pr}}(T) = 2\Delta$ ,  $n = n(T) = 2\Delta + 1$ , and  $n_1(T) = n_2(T) = \Delta$ , and we have equality in

Inequality (2). If  $T = T_{\Delta,\ell}$  for some  $\Delta \geq 3$  and  $\ell \geq 2$ , then, by our earlier observations, we have  $\gamma_{pr}(T) = 2(\Delta - 1)\ell + 2$ ,  $n = n(T) = 2\Delta\ell - \ell + 2$ ,  $n_1(T) = \Delta\ell - \ell + 1$ , and  $n_2(T) = \Delta\ell - 2\ell + 2$ , and once again we have equality in Inequality (2). We state this formally as follows.

**Observation 9** For  $\Delta \geq 3$  and  $\ell \geq 1$ , the tree  $T_{\Delta,\ell}$  satisfies equality in Inequality (3).

By Observation 9, the upper bound in Theorem 6 is tight. As a further application of Theorem 5, we have the following upper bound on the paired domination of a tree.

**Theorem 10** For  $\Delta \geq 1$ , if  $T$  is a tree of order  $n$  with maximum degree  $\Delta(T) = \Delta$ , then

$$\gamma_{pr}(T) \leq \frac{1}{2}n + \frac{3}{4}n_1(T) + \frac{1}{4}n_2(T). \tag{14}$$

**Proof** Let  $T$  be a tree of order  $n$  with maximum degree  $\Delta \geq 1$ . We follow the notation employed in the proof of Theorem 6. Since  $\mathcal{P}$  is a subdivided star set, the trees in the set  $\mathcal{P}$  are vertex disjoint and the leaves of each tree in  $\mathcal{P}$  are leaves in the tree  $T$ , implying that

$$\Phi_{\Delta}(T) = \sum_{i=1}^p (n_i - 1) = \left( \sum_{i=1}^p n_i \right) - p \leq n_1(T) - p. \tag{15}$$

By Inequalities (2), (4) and (15), we have

$$\begin{aligned} 4\gamma_{pr}(T) &\leq 2n + 2n_1(T) + n_2(T) + \Phi_{\Delta}(T) \\ &\leq 2n + 2n_1(T) + n_2(T) + (n_1(T) - p) \\ &\leq 2n + 3n_1(T) + n_2(T), \end{aligned}$$

which yields the desired Inequality (14) in the statement of the theorem. □

**Author Contributions** All authors contributed to the study conception and design. All authors read and approved the final manuscript.

**Funding** Dr Aleksandra Gorzkowska, prof. Monika Pilśniak and Elżbieta Tumidajewicz declare that no funds, grants, or other support were received during the preparation of this manuscript. Prof. Michael Henning declares that research was supported in part by the University of Johannesburg.

**Data availability** The authors confirm that no datasets were generated or analysed during the current study.

## Declarations

**Conflict of interests** The authors have no relevant financial or non-financial interests to disclose.

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