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# Modern physics

Historical introduction to quantum mechanics

# Historical introduction to quantum mechanics



## Gustav Kirchhoff (1824-1887)

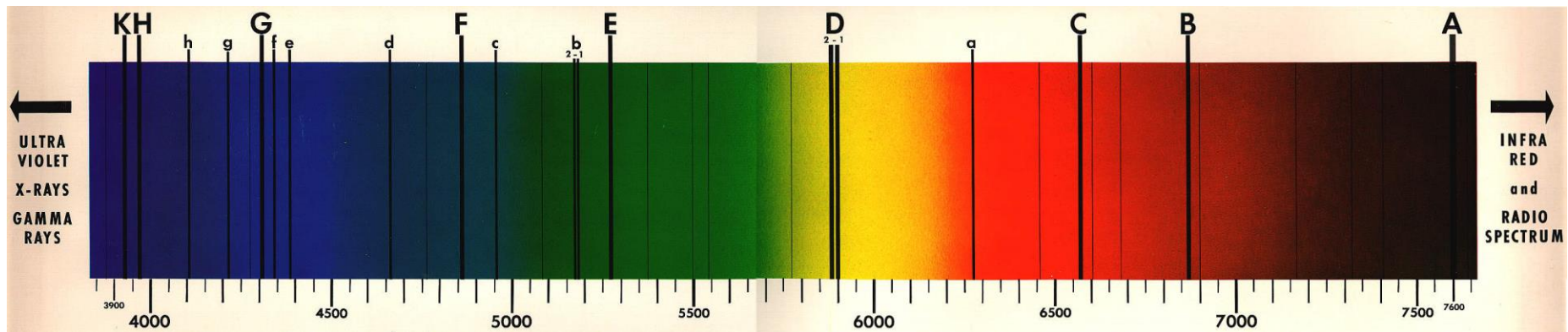
Surprisingly, the path to quantum mechanics begins with the work of German physicist Gustav Kirchhoff in **1859**.

Electron was discovered by J.J.Thomson in **1897** (neutron in **1932**)

The scientific community was reluctant to accept these new ideas. Thomson recalls such an incident: *„I was told long afterwards by a distinguished physicist who had been present at my lecture that he thought I had been pulling their leg”*.

# Historical introduction to quantum mechanics

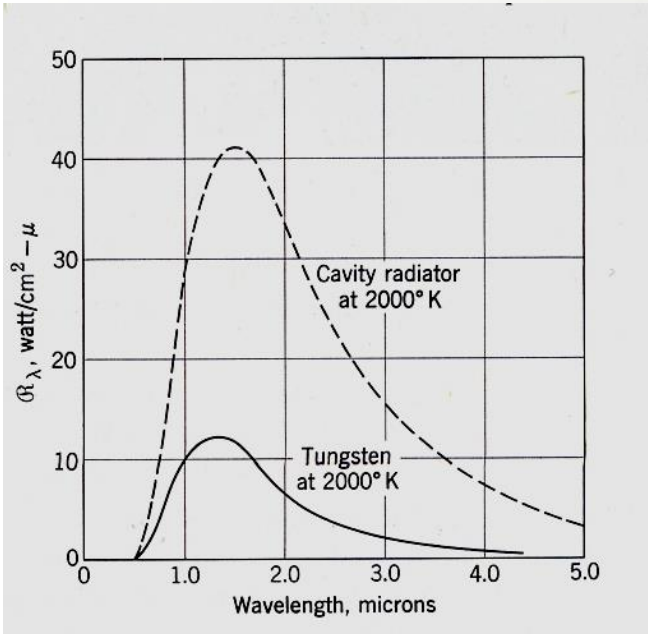
Kirchhoff discovered that so called D-lines from the light emitted by the Sun came from the absorption of light from its interior by sodium atoms at the surface.



*Kirchhoff could not explain selective absorption. At that time Maxwell had not even begun to formulate his electromagnetic equations.*

*Statistical mechanics did not exist and thermodynamics was in its infancy*

# Historical introduction to quantum mechanics



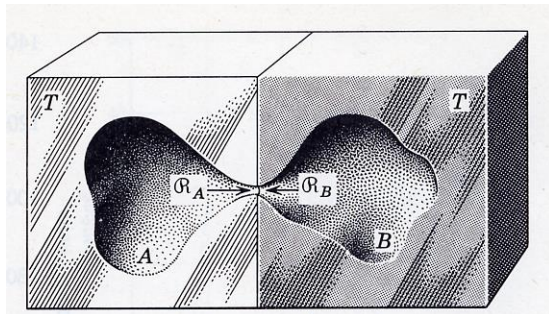
- At that time it was known that heated solids (like tungsten W) and gases emit radiation.
- Spectral radiancy  $R_\lambda$  is defined in such a way that  $R_\lambda d\lambda$  is the rate at which energy is radiated per unit area of surface for wavelengths lying in the interval  $\lambda$  to  $\lambda+d\lambda$ .
- Total radiated energy  $R$  is called radiancy and is defined as the rate per unit surface area at which energy is radiated into the forward hemisphere

$$R = \int_0^{\infty} R_\lambda d\lambda$$

$$R = 23.5 \text{ W} / \text{cm}^2$$

# Historical introduction to quantum mechanics

Kirchhoff imagined a container – a cavity – whose walls were heated up so that they emitted radiation that was trapped in the container. Within the cavity, there is a distribution of radiation of all wavelength,  $\lambda$ . Intensity measures the rate at which energy falls in a unit area of surface. The walls of the container can emit and absorb radiation. Intensity distribution  $K(\lambda, T)$  at equilibrium depends on wavelength and temperature but is independent of the properties of the material of the container and the point within container.



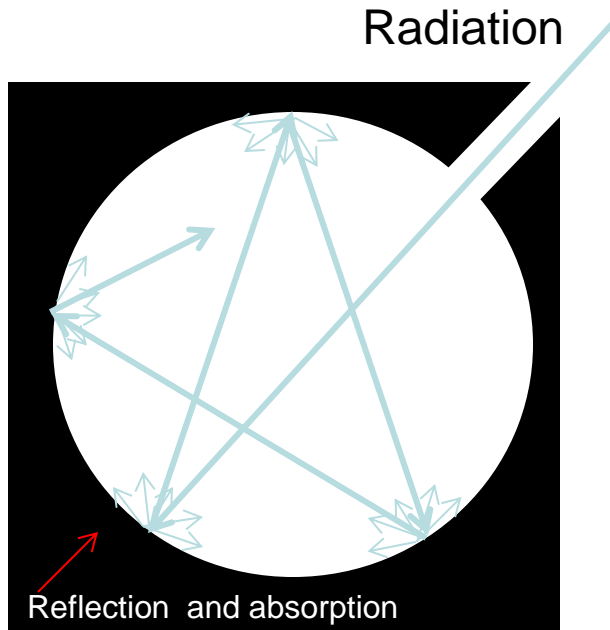
$$e_{\lambda} / a_{\lambda} = K(\lambda, T)$$

emissivity

coefficient of absorption

distribution function of the radiation intensity

# Historical introduction to quantum mechanics



A small hole cut into a cavity is the most popular and realistic example of the blackbody.

⇒ None of the incident radiation escapes

**What happens to this radiation?**

**Blackbody radiation** is totally absorbed within the blackbody

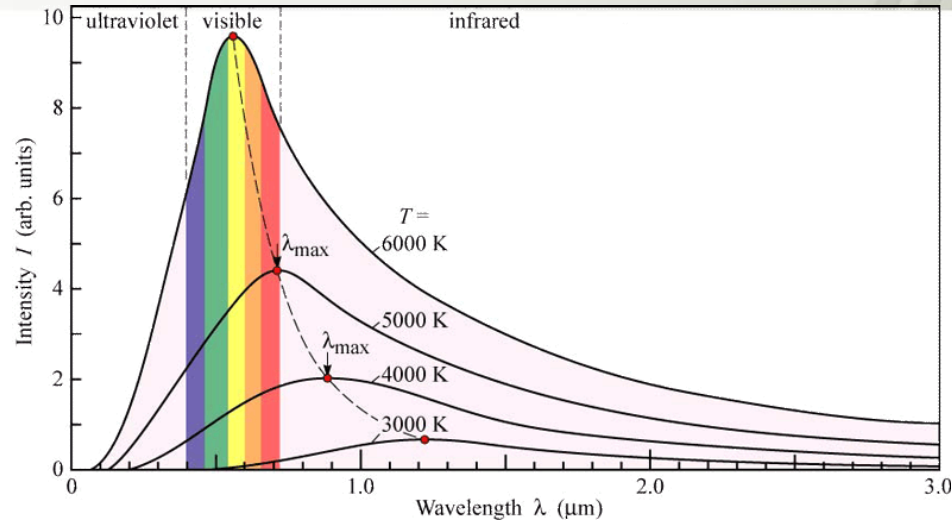
**Blackbody** = a perfect absorber  $a_\lambda = 1$

$$e_\lambda = K(\lambda, T)$$

Energy density emitted by the blackbody is only the function of wavelength and temperature

# Blackbody radiation

The Sun's surface is at about 6000 K and this gives  $\lambda_{\max}=480 \text{ nm}$



Electrical, Computer, & Systems Engineering of Rensselaer. §18: Planckian sources and color temperature <http://www.ecse.rpi.edu> (July 27, 2007).

$$\lambda_{\max} T = 2.9 \cdot 10^{-3} \text{ m} \cdot \text{K}$$

This result is known as the **Wien displacement law**

**Experimental curve difficult to describe theoretically**



# Historical introduction to quantum mechanics

It took a long time to find the exact form of  $e(\lambda, T)$ !

Year	Author	Formulae
1887	Władimir Aleksandrowicz Michelson	$e(\lambda, T) = aT^{3/2}\lambda^{-6} \exp(-b/\lambda^2 T)$
1888	Heinrich Weber	$e(\lambda, T) = a\lambda^{-2} \exp(cT - b/\lambda^2 T^2)$
1896	Wilhelm Wien	$e(\lambda, T) = a\lambda^{-5} \exp(-b/\lambda T)$
1896	Friedrich Paschen	$e(\lambda, T) = a\lambda^{-5.6} \exp(-b/\lambda T)$
1900	Lord Rayleigh	$e(\lambda, T) = aT\lambda^{-4} \exp(-b/\lambda T)$
1900	Otto Lummer i Ernst Pringsheim	$e(\lambda, T) = aT\lambda^{-4} \exp(-b/(\lambda T)^{1.25})$
1900	Otto Lummer i Eugen Jahnke	$e(\lambda, T) = a\lambda^{-5} \exp(-b/(\lambda T)^{0.9})$
1900	Max Thiesen	$e(\lambda, T) = aT^{0.5}\lambda^{-4.5} \exp(-b/\lambda T)$
1900	Max Planck (19 X)	$e(\lambda, T) = a\lambda^{-5} \left( \frac{1}{\exp(b/k\lambda T) - 1} \right)$
1900	Max Planck (14 XII)	$e(\lambda, T) = 8\pi hc\lambda^{-5} \left( \frac{1}{\exp(hc/k\lambda T) - 1} \right)$



# Historical introduction to quantum mechanics



Mid-1880 Austrian theoretical physicist **Ludwig Boltzmann** using the laws of thermodynamics for an expansion of cylinder with a piston at one end that reflects the blackbody radiation was able to show that the total energy density (integrated over all wavelengths)  $u_{tot}(T)$  was given as:

**Ludwig Boltzmann**

(1835-1893)

$$u_{tot} = \sigma T^4$$

$\sigma$ - Stefan-Boltzmann constant  $5.68 \cdot 10^{-8} \text{ W}/(\text{m}^2 \cdot \text{K}^4)$

*By this time Maxwell had formulated his equations. The electromagnetic radiation produces pressure.*

# Historical introduction to quantum mechanics



(1864-1928)

The next important steps forward were taken a decade later by the German **Wilhelm Wien**, who made two contributions towards finding Kirchhoff's function  $K(\lambda, T)$ . One contribution was based on an analogy between the Boltzmann energy distribution for a classical gas consisting of particles in equilibrium and the radiation in the cavity.

The Boltzmann energy distribution describes the relative probability that a molecule in a gas at a temperature  $T$  has a given energy  $E$ .

This probability is proportional to  $\exp(-E/kT)$ , where  $k$  Boltzmann constant  $1.38 \cdot 10^{-23}$  J/K, so that higher energies are less likely, and average energy rises with temperature.

# Historical introduction to quantum mechanics



Wien's analogy suggested that it is also less likely to have radiation of high frequency (small wavelength) and that an exponential involving temperature would play a role. Wien's distribution is given by:

$$K_{Wien}(\lambda, T) = b\lambda^{-5} \exp(-a/\lambda T)$$

(1864-1928)

a, b are constants to be determined experimentally

In fact, Wien's analogy is not very good. It fits the small-wavelength (or, equivalently, the high-frequency) part of the blackbody spectrum that experiments were beginning to reveal.

It represents the first attempt to „derive“ Kirchhoff's function from the classical physics which is **impossible**

# Historical introduction to quantum mechanics



Second contribution of Wien (more general observation) that on the basis of thermodynamics alone, one can show that Kirchhoff's function, or equivalently, the energy density function  $u(\lambda, T)$ , is of the form:

(1864-1928)

$$u(\lambda, T) \propto \lambda^{-5} \varphi(\lambda T)$$

But this is as far as thermodynamics can go; it cannot determine the function  $\varphi$ .

# Historical introduction to quantum mechanics



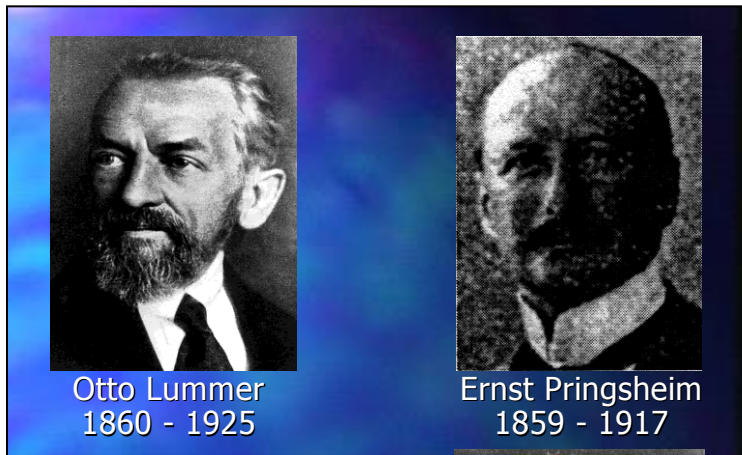
(1858-1947)

**Max Planck** was a „reluctant revolutionary“. He never intended to invent the quantum theory, and it took him many years before he began to admit that classical physics was wrong. He was advised against studying physics because *all problems had been solved!*

Planck studied under Kirchhoff at the University of Berlin, and after his death in 1887, Planck succeeded him as a professor of physics there. Planck had a great interest in laws of physics that appeared to be universal. Therefore, he wanted to derive Wien's law from Maxwell's electromagnetic theory and thermodynamics. But this cannot be done!!!

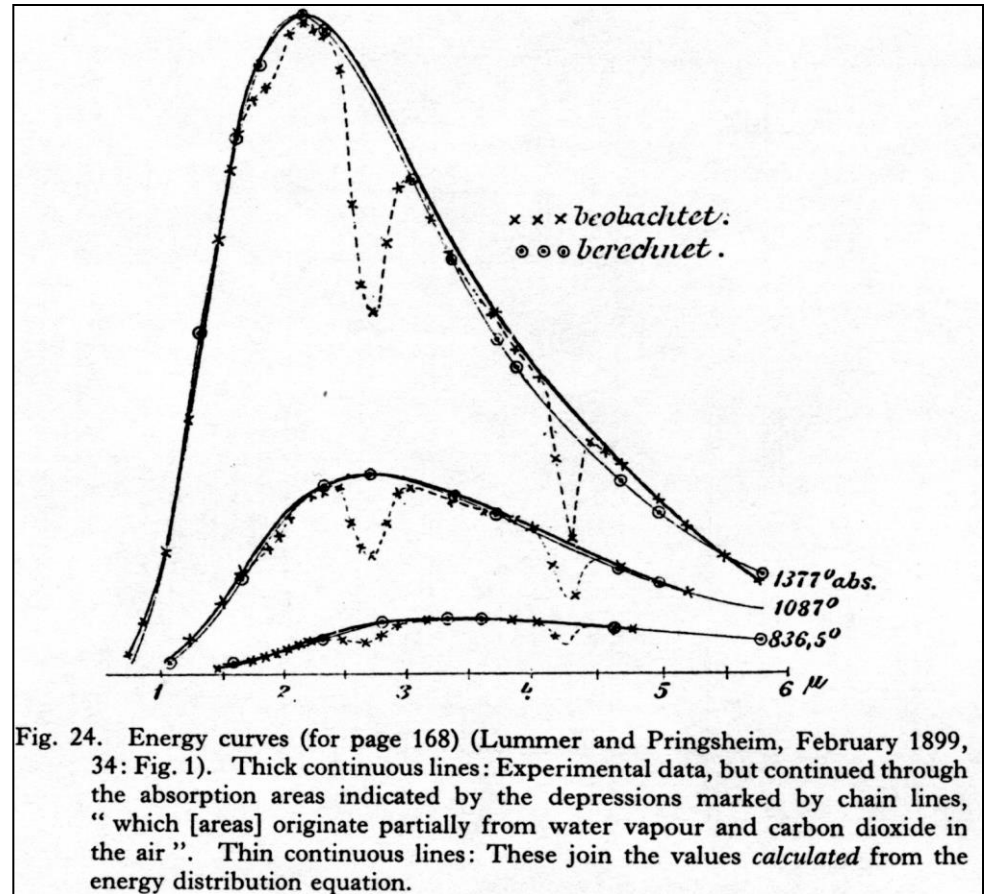
# Historical introduction to quantum mechanics

## Experimentalists



3.02.1899:

experiments performed up  $6 \mu\text{m}$ ,  $T:800-1400^\circ\text{C}$  indicate deviation from the Wien' distribution





# Historical introduction to quantum mechanics

In order to fit the experimental data of Otto Lummer and Ernst Pringsheim and later Heinrich Rubens and Ferdinand Kurlbaum in 1900, Planck proposed a function:

$$K(\lambda, T) = \frac{b}{\lambda^5} \frac{1}{\exp(a / \lambda T) - 1}$$

This function fits very well the experimental data at long wavelengths (infrared) where Wien's function failed! At short wavelength limit, when

$$a / \lambda T \gg 1$$

we can neglect the 1 in the denominator and recover the Wien law.

# Historical introduction to quantum mechanics



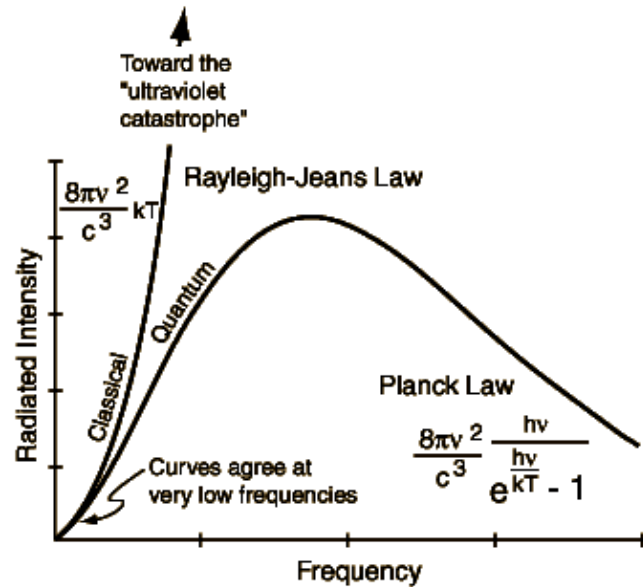
(1858-1947)

Max Planck finally derived the Kirchhoff formula. He introduced a model of a blackbody that contained „**resonators**“ which were charges that could oscillate harmonically. He applied statistical physics introduced by Boltzmann but had to make a drastic, quite unjustified assumption (at that time):

Oscillators can only emit or absorb energy of frequency  $f$  in units of  $hf$ , where  $h$  is a new universal constant with dimensions of energy multiplied by time. Planck called these energy units **quanta**

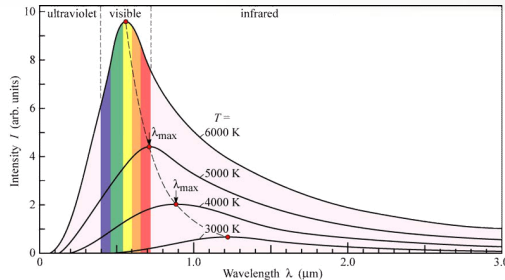
# Historical introduction to quantum mechanics

Englishman **John Strutt**, known as **Lord Rayleigh** published a paper on Kirchhoff function only some months earlier than Planck (1900). Rayleigh's idea was to focus on the radiation and not on Planck's material oscillators. He considered this radiation as being made up of standing electromagnetic waves. Energy density of these waves is equivalent to the energy density of a collection of harmonic oscillators. The average energy per oscillator is  $kT$



This classical approach, so called Rayleigh-Jeans law, leads to the „*ultraviolet catastrophe*“ (integration over all possible frequencies gives infinity for the total energy density of radiation in the cavity)

## 1.4. Blackbody radiation

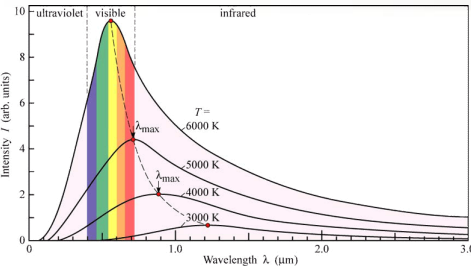


The Rayleigh-Jeans treatment of the energy density showed that the classical ideas lead inevitably to a serious problem in understanding blackbody radiation. However, where classical ideas fail, the idea of radiation as photons with energy  $hf$  succeeds.

*Planck's formula can be derived within the frame of quantum mechanics:*

$$u(f, T) = \frac{8\pi hf^3}{c^3} \frac{1}{\exp(hf / kT) - 1}$$

# 1.4. Blackbody radiation



The **total energy density** (the energy density integrated over all frequencies) for the blackbody radiation is a function of the temperature alone:

$$U(T) = \int_0^{\infty} u(f, T) df = \int_0^{\infty} \frac{8\pi hf^3}{c^3} \frac{1}{\exp(hf / kT) - 1} df$$

This result of integration gives the Stefan-Boltzmann law, known earlier

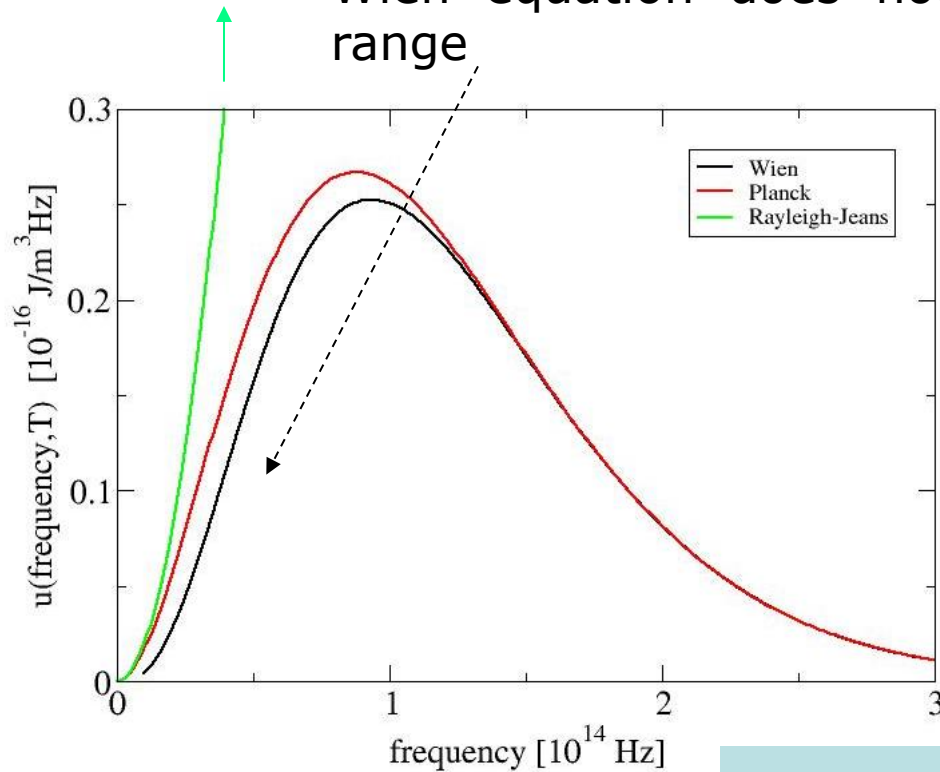
$$U(T) = (7.52 \cdot 10^{-16} \text{ J / m}^3 \cdot \text{K}^4) T^4$$

It was not possible to calculate the constant multiplying the  $T^4$  factor until Planck's work, because this constant depends on  $h$ .

# Historical models of blackbody radiation

Rayleigh-Jeans law leads to the „*ultraviolet catastrophe*“

Wien equation does not fit well low frequency range

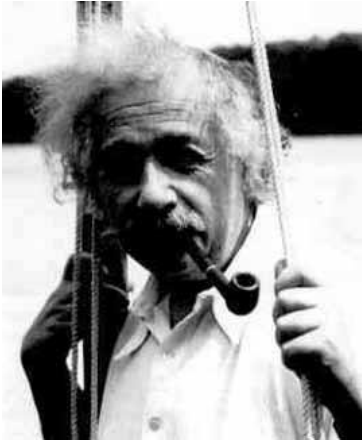


Planck's formula is true

$$u(f, T) = \frac{8\pi hf^3}{c^3} \frac{1}{\exp(hf / kT) - 1}$$



# Blackbody radiation



## Albert Einstein

(1879-1955)

In 1905, **Albert Einstein** was sure that it was **impossible** to derive Planck's formula – which he took as correct – from classical physics. Correctness of the full Planck formula **means the end of classical physics.**

## Limits of Planck's formula:

$$u(f, T) = \frac{8\pi hf^3}{c^3} \frac{1}{\exp(hf / kT) - 1}$$

High frequency limit:  $hf / kT \gg 1$

$$u(f, T) = \frac{8\pi hf^3}{c^3} \exp(-hf / kT) \quad \text{Wien's result}$$

Low frequency limit:  $hf / kT \ll 1$

This can happen if  $f$  is small or  $T$  is large, or if we imagine a world in which  $h$  tends to zero (the classical world)

## Limits of Planck's formula:

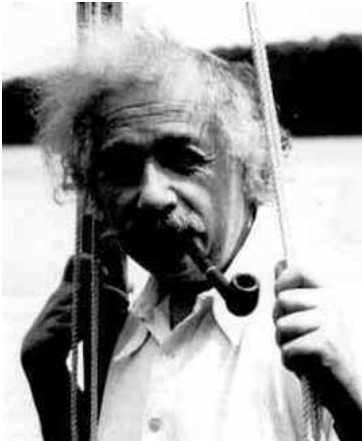
For small  $x$ :  $\exp(x) \approx 1 + x$

Then:

$$u(f, T) \approx \frac{8\pi hf^3}{c^3} \frac{1}{1 + (hf / kT) - 1} = \frac{8\pi f^2 kT}{c^3}$$

*This is exactly the Rayleigh's classical answer*

# Einstein's contribution



(1879-1955)

Extremely radical proposal of energy quantization:

- at the Rayleigh-Jeans, or low-frequency, end of the spectrum, the usual Maxwell description in terms of waves works
- at the Wien, or high-frequency, end of the spectrum, radiation can be thought of as a „gas“ of quanta

Radiation sometimes acts like particles and sometimes like waves.

energy of particle  $\rightarrow$   $E = hf$   $\leftarrow$  frequency of wave

# „Particle“ nature of radiation

## Experimental confirmation :

- photoelectric effect (liberation of electrons from the metallic surface by illumination of certain frequency)
- Compton effect (scattering of X-rays with a change of frequency)

These effects, similarly to the blackbody radiation, could not be explained by the wave-like character of electromagnetic radiation

# Conclusions

- From the mid-19th through the early 20th century, scientists studied new and puzzling phenomena concerning the nature of matter and energy in all its forms
- The most remarkable success stories in all of science resulted from that (and Nobel prizes)
- History of quantum mechanics, which began in mystery and confusion, at the end of century has come to dominate the economies of modern nations