

Article

# Agriculture Land Cover Identification Using One-Shot Airborne Hyperspectral Images: case study of small parcels, Poland

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1 **Abstract:** This study aimed to investigate the possibility of using one-shot hyperspectral airborne  
2 images to recognize crops for an area with many small plots. The results showed that unsupervised  
3 clustering methods could classify crops with an accuracy of 80%, which improved to 90% when  
4 restricted to only grain crops, using a single airborne hyperspectral recording. However, additional  
5 layers such as NDVI, DTM, slope, and aspect did not improve classification accuracy. For comparison,  
6 the accuracy of clustering time series Sentinel-2 images with NDVI layers and DTM-derived data  
7 yielded an accuracy of: 74%, Sentinel-2 time series 68% and single one registration before harvest 39%.  
8 The results of the random forest classification were slightly less accurate due to a lack of sufficient  
9 reference data. However, it is challenging to verify the reported accuracy of crop recognition in  
10 the literature above 90% due to differences in analysis methodologies, reference data selection,  
11 pixel/object approaches, metric choice, and calculation formulas used.

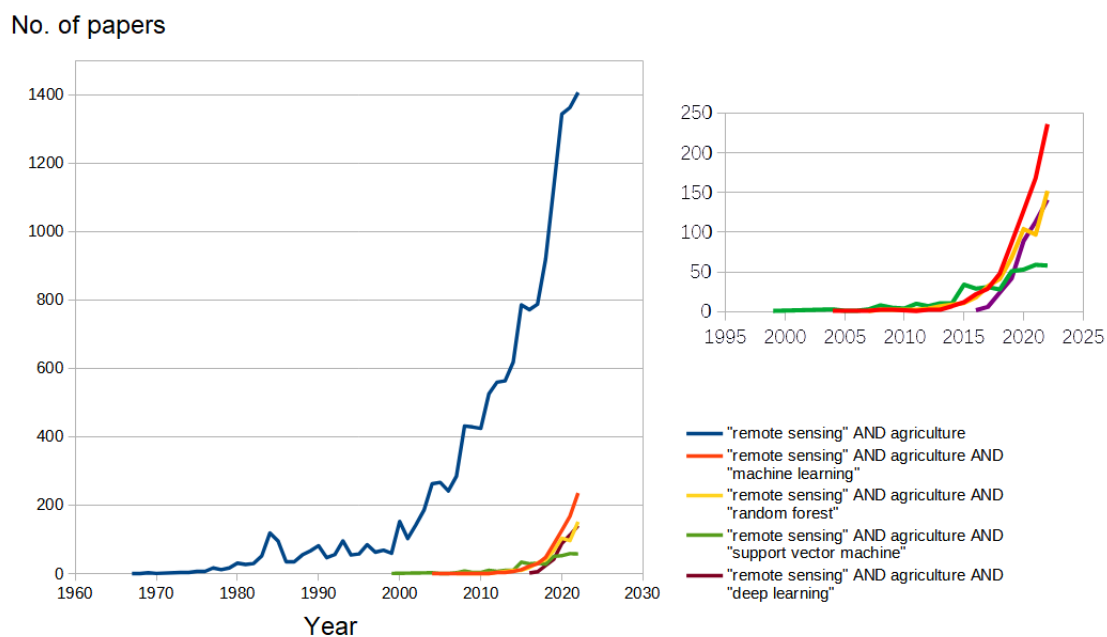
12 **Keywords:** airborne hyperspectral images, Sentinel-2, k-means, random forest, crop recognition

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## 13 1. Introduction

14 Remote sensing-based land use classification in agricultural areas is not a new topic. The number  
 15 of publications in this area has been steadily increasing and exceeded 1400 in 2022 Fig. 1. Machine  
 16 learning techniques accounted for just over 200 of these publications. In addition, there has been a  
 17 rapid growth trend in Random Forest and Deep Learning since 2015, with less growth in Support  
 18 Vector Machine.

19 By studying this topic, one can find articles describing both various case studies and reviews.  
 20 Despite the abundance of material in this area, the problem of recognizing crops or land use types in  
 21 agricultural areas is not a closed, solved, or trivial problem [33]. This applies to both the choice of data  
 22 and classification methods, as well as the assessment of accuracy [32].



**Figure 1.** Increasing of the remote sensing applications in agriculture based on the literature, Scopus March 2023

23 Agricultural land cover monitoring is performed for various purposes such as yield forecasting  
 24 [1–3], precision farming [4,5], and control of direct agricultural subsidies and sustainable development  
 25 [6,7]. When recognizing land cover in agricultural areas, we have to deal with the delimitation of  
 26 built-up areas, industrial areas and, in particular, areas of mineral extraction by the open-pit method.

27 The first aspect is the selection of data. In recognition of agricultural land cover, long-time series  
 28 data from Sentinel-2 (S2) and Sentinel-1 (S1) covering the entire plant phenological cycle is the standard  
 29 approach. Other data, such as indices calculated from the S1/S2 time series such as the Normalized  
 30 Differential Vegetation Index (NDVI) [11] and radar backscattering coefficient (SIGMA) [12], as well as  
 31 cadastral parcels or Digital Elevation Model (DTM), are often also included in the input data set.

32 The use of long time series is time-consuming and limited in many countries in the temperate  
 33 climate zone, including many European countries due to cloud cover. In literature, however, this topic  
 34 is rarely addressed.

35 Machine learning algorithms, including Random Forest (RF), Support Vector Machine (SVM),  
 36 Convolutional Neural Network (CNN), Deep Learning (DL), and others, are used exclusively to  
 37 automatically classify these large data sets. Recently, the majority of research papers on agriculture  
 38 land cover recognition have focused on using either RF or SVM methods, despite the increasing  
 39 popularity of DL techniques. This is due to the challenge of obtaining sufficient reference data to  
 40 support deep learning models.

41 The selected publications that employ the RF method are presented in Table 1. The information  
 42 provided includes the test region, the data utilized for classification, no of agriculture land cover (no of  
 43 classes), and the Overall Accuracy (OA).

**Table 1.** RF crop classification

Author	Test area	Features	No of classes	OA
Bolognesi et al. 2020	Italy	L8, S2, NDVI	3	90%
Hutt et al. 2020	Germany	S1, ancillary data	12	96.7%%
Sun et al. 2019	China	S1, S2, L8, NDVI	3	93%
Sun et al. 2020	China	S1,S2	5	86.98%
VanTricht 2018	Belgium	S1,S2, NDVI	8	82%

44 Selected studies that showcase the results of agriculture land cover classification using the SVM  
 45 method are similarly summarized in 2.

**Table 2.** SVM crop classification

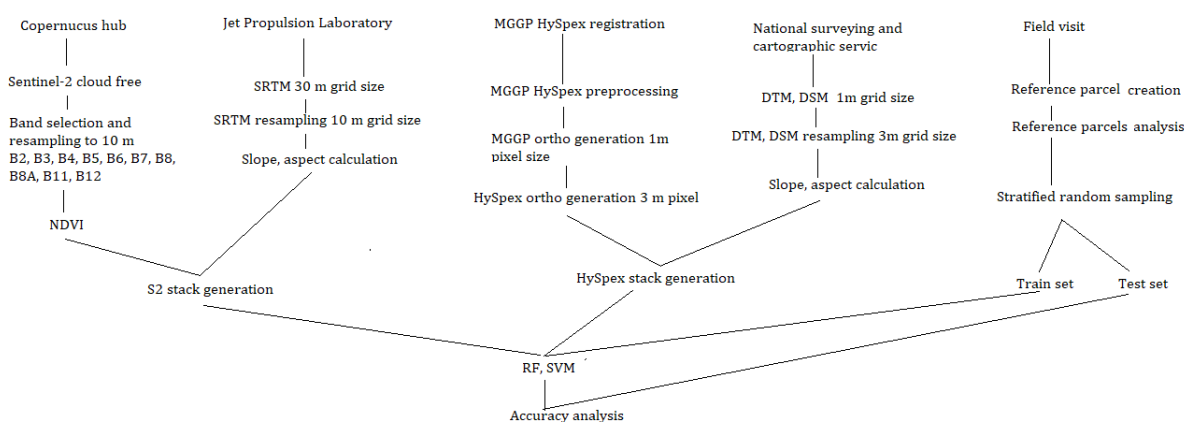
Author	Test area	Features	No of classes	OA
Brinkhoff et al.2020	Australia	7	3	84.2%
Maponya et al. 2020	South Africa	S2	5	82.4%%
Mustak et al. 2019	India	S1, S2	3	88.94%

46 In all of these studies, the input data for analysis was time series created from multiple satellite  
 47 images taken at different points in time. The accuracy of the results was generally greater than  
 48 80%. It should be noted that the time series was often generated from a large number of image  
 49 acquisitions, which is a prevalent trend in research using remote sensing methods in agriculture  
 50 land cover recognition. This approach can be seen in such services as Sen2Agri [22] or Sen4Cap [9]  
 51 dedicated to agricultural areas.

52 However, processing long time series is time-consuming and requires many unclouded images,  
 53 which can be a challenge in temperate climates. As a result, methods based on single image acquisition  
 54 are promising. Our study followed the suggestion of Maponya et.al. [19], who compared the accuracy  
 55 obtained from time series versus the accuracy that could be achieved from a single image. The  
 56 highest accuracy from a single image acquisition was achieved about 4 weeks before harvest, at 77.2%  
 57 (compared to a maximum of 82.4% for time series). We repeated this experiment in the north of  
 58 Poland, where land plots are relatively large and can be recognized in Sentinel images and obtained  
 59 similar accuracy for a single registration 79% [23]. This suggests that using optical images from a  
 60 single acquisition, a recognition accuracy of 80% at the plant level can be achieved for agriculture land  
 61 cover. Our research was also motivated by the study presented in [24] which highlights the use of  
 62 deep learning for mapping agriculture land cover during cloudy seasons with a single hyperspectral  
 63 satellite image and achieved high accuracy (94%). However, the resolution of satellite images could be  
 64 an issue in areas with highly fragmented agricultural structures, such as southern Poland and other  
 65 regions globally. Therefore, we have established our research objective as determining the accuracy  
 66 that can be obtained through the use of a single airborne hyperspectral image in recognizing land  
 67 cover in areas with small plots and complex structures.

## 68 2. Methods and Materials

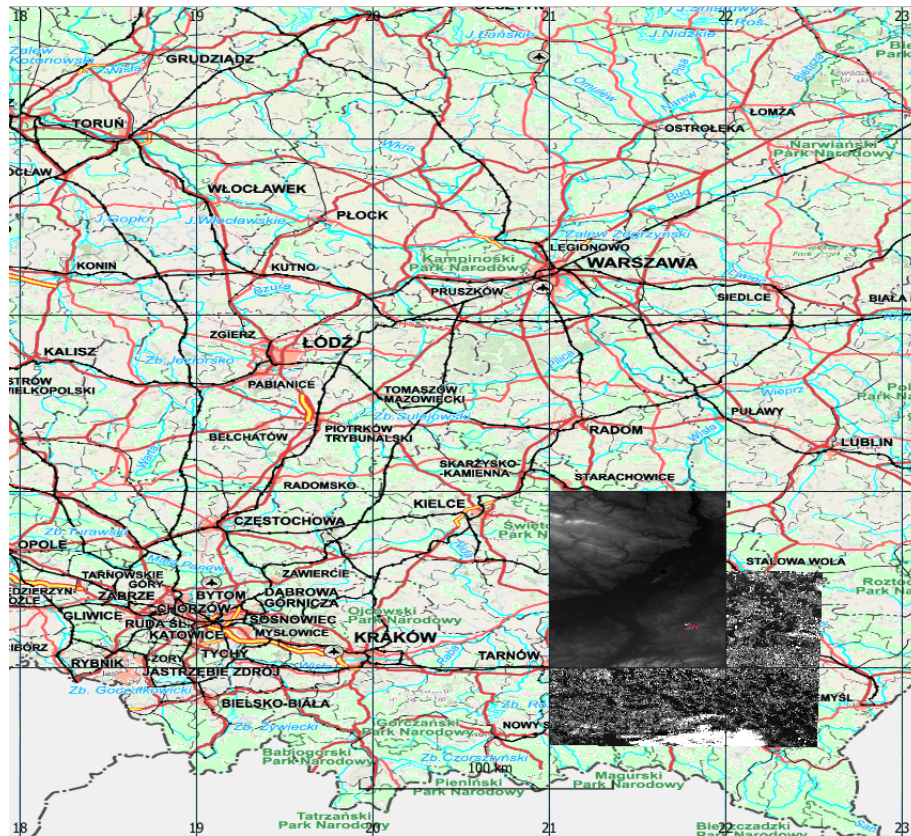
69 The research was carried out according to the scheme shown in Fig. 2. The activities can be  
 70 divided into 3 groups: acquisition and processing of satellite images, aerial images, and acquisition of  
 71 information on land cover types. Cloudless S2 images downloaded from Copernicus hub (ESA) and  
 72 the SRTM numerical terrain model downloaded from the Jet Propulsion Laboratory (JPL) were used  
 73 for analysis from the satellite ceiling. The result of the preparation work was an S2 stack containing  
 74 processed data from ESA and JPL. From the aerial altitude, a HySpex stack was similarly prepared  
 75 using hyperspectral imagery (registered by MGGP, <https://www.mggpaero.com/>) and Digital Terrain  
 76 Models, Digital Surface Models (DSM) from the National surveying and cartographic service  
 77 ([geoportal.gov.pl](http://geoportal.gov.pl)). During the field work, reference data was generated, which was preprocessed and  
 78 divided into training and test data. Next, image classification was performed using methods: RF and  
 79 SVM with accuracy analysis based on an independent test set.



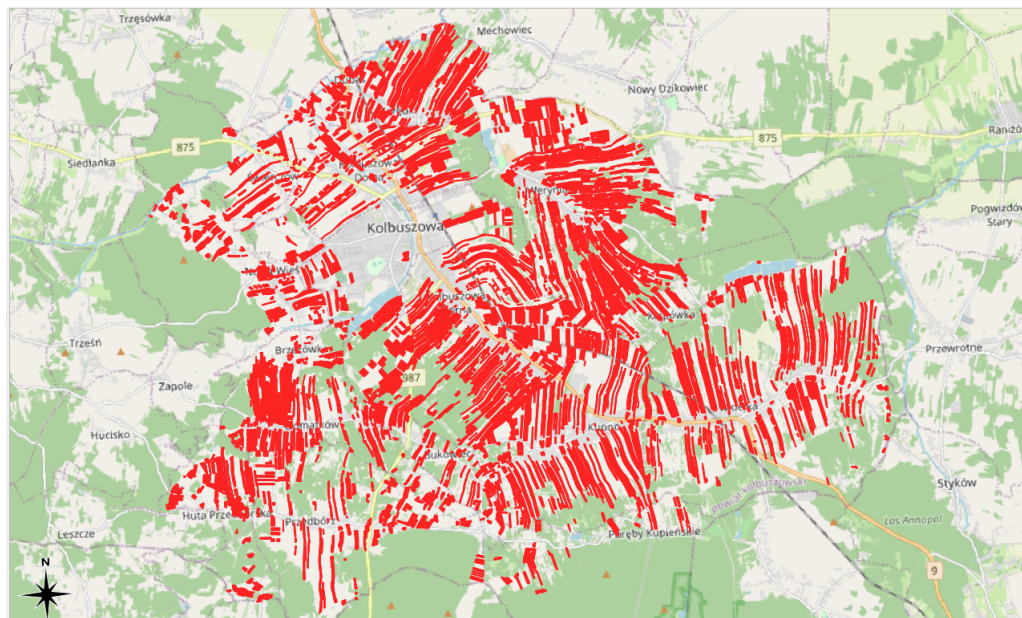
**Figure 2. Workflow**

### 80 2.1. Test area

81 Poland is characterized by a varied agricultural landscape, with large, regularly shaped fields  
 82 in the northern and central regions and small, elongated and irregular plots in the south. The use of  
 83 S1/S2 imagery for crop monitoring may prove feasible for fields in the northern and central areas, but  
 84 could pose difficulties for those in the south. In collaboration with the Agency for Restructuring and  
 85 Modernisation of Agriculture (ARMA <https://www.gov.pl/web/arimr-en>) in Poland, a test area near  
 86 the town of Kolbuszowa was selected as a representative sample (as shown in Figure 3). Information  
 87 was obtained from ARMA on the agricultural plots that receive subsidies, with roughly 5000 such plots  
 88 registered annually (as shown in Figure 4). Most of these plots are small, with 75% of the agricultural  
 89 plots being less than 1 hectare in size, with a third quartile of 9499 square meters (as shown in Figure  
 90 5).

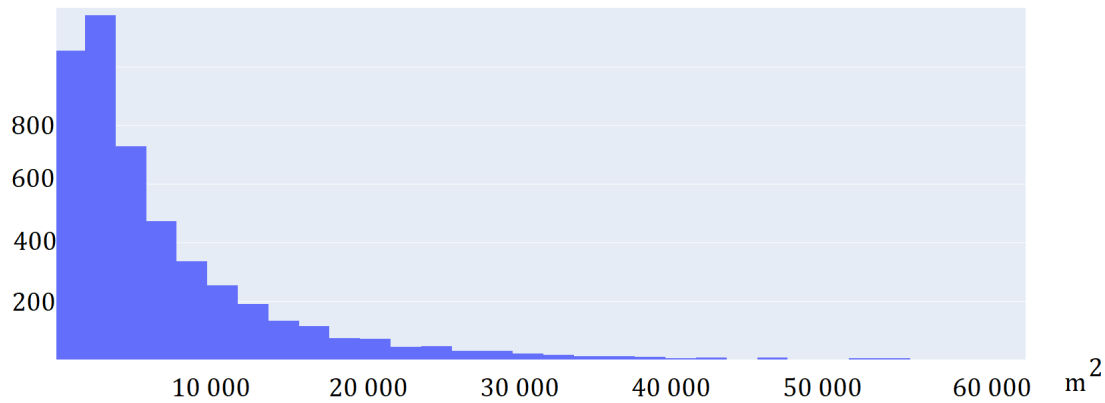


**Figure 3.** Test area location on the south of Poland, small red area in the background of S2 and SRTM, geographical coordinate system, EPSG:4326 (on topographic map <https://mapy.geoportal.gov.pl/wss/service/img/guest/TOPO/MapServer/WMSServer>)



**Figure 4.** Parcels submitted for subsidies each year (thanks to <https://www.gov.pl/web/arimr-en>)

number of parcels



**Figure 5.** Histogram of the parcels' areas (thanks to <https://www.gov.pl/web/arimr-en>)

## 91 2.2. Data

92 The choice of data is dependent on its intended usage. For our research aimed at recognizing  
 93 agricultural land cover types, we needed to consider the phenological stage of the crops on the  
 94 agricultural plots. Based on a promising suggestion in the literature, we decided to investigate the  
 95 feasibility of using data collected approximately four weeks before harvest. In Poland, the harvest  
 96 season typically lasts for 2 months (July and August), starting with the small harvest of rapeseed and  
 97 winter barley, followed by the large harvest of rye, spring barley, wheat, and oats [25]. To examine  
 98 the potential of using a single registration for agricultural land cover recognition, a survey campaign  
 99 was conducted in 2021 that collected the following data: S2 time series, one-shot hyperspectral, in-situ  
 100 measurement, and topographical data. **The data acquisition dates are in Table 3.**

**Table 3.** Data

Data	Date
Sentinel-2	March-September
Hyperspectral	5th of July 2021
In-situ measurements	7 July 2021
SRTM (jpl.nasa.gov)	2000
TOPO (geoportal.gov.pl)	2017

101 **During the 2021 growing season, only six Sentinel-2 registration dates were cloud-free Table 4.**

**Table 4.** S2\_image

Data	S2 ID
27.03.2021	S2B_MSIL2A_20210327T093039_N0214_R136_T34UEA_20210327T120034
11.04.2021	S2A_MSIL2A_20210411T093031_N0300_R136_T34UEA_20210411T122810
09.05.2021	S2B_MSIL2A_20210509T094029_N0300_R036_T34UEA_20210509T120133
25.07.2021	S2B_MSIL2A_20210725T093039_N0301_R136_T34UEA_20210725T115620
28.07.2021	S2B_MSIL2A_20210728T094029_N0301_R036_T34UEA_20210728T125908
06.09.2021	S2B_MSIL2A_20210906T094029_N0301_R036_T34UEA_20210906T113414

102 Sentinel-2 images were acquired from Copernicus Open Access Hub as granules with a size of  
 103 100 per 100 km with a radiometric correction level of 2A in geographical coordinate system EPSG:4326.  
 104 The images were not further corrected either geometric or radiometric. The pixel size depending on the  
 105 channel is 10, 20 and 60m. A single S2 scene in SAFE (ESA) format takes approximately 1.2 gigabytes  
 106 when packed (S2 range in shown in Fig. 3).

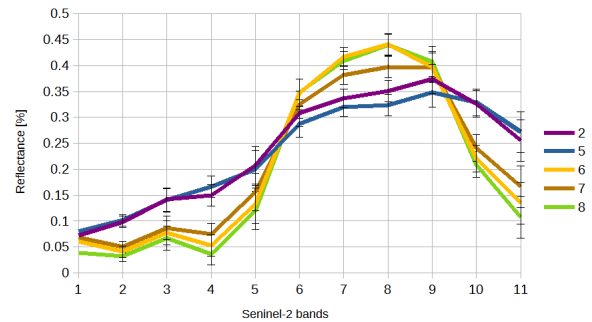
107 Hyperspectral data were acquired for the area ca. 5 x 4 km using HySpex VS-725 which is a very  
 108 small area compared to the S2 range (in red in Fig. 3) . The registration was performed at an altitude  
 109 of 867 - 882 m. The HySpex VS-725 consists of two SWIR-384 scanners and one VNIR-1800 scanner  
 110 which provide 430 spectral channels (414.13 nm - 2357.43 nm). The test area was covered with 16  
 111 strips. Radiometric, geometric (PARGE), atmospheric (ATCOR4) correction was performed using the  
 112 MODTRAN physical model. The final product, an orthophotomap with a pixel size of 0.5 m was  
 113 registered in the UTM 34N coordinate system (EPSG:32634) and takes up about 60 gigabytes.

114 DTM and DSM (Digital Surface Model) were obtained from the national server: geoportal.gov.pl.  
 115 Three DSM sheets (about 150 megabytes) and 15 DTM sheets (about 160 megabytes) with a pixel size  
 116 of 1m.

117 A field visit was conducted to obtain information about the ground truth (the plant that was  
 118 2021 grown on the agriculture parcels). Information of the location was acquired using handheld  
 119 GPS. In the field 10 agricultural land cover types was recognized: beet, soil, barley, maize, oats, wheat  
 120 rye, wheat winter, grass, potato and rye. Soil, oats and grassland is easy to delimitation. Wheat, rye  
 121 and wheat rye are important cereal crops with a rich content of starch, protein, and other nutrients  
 122 (<https://eos.com/products/crop-monitoring/crops/>). Wheat is more commonly cultivated and  
 123 used for the production of bread, pasta, and other food products, while rye is more resistant to low  
 124 temperatures and used in bread production under difficult climatic conditions, as well as for animal  
 125 feed and alcohol production. Wheat has awnless spikes, while rye spikes have characteristic awns,  
 126 although not as long as those of barley. In the field, it is easy to distinguish wheat, rye, and barley.  
 127 Triticale (wheat rye) is a hybrid of wheat and rye, obtained by crossing these two plants. Triticale has  
 128 characteristics of both wheat and rye, making it more resistant to adverse weather conditions and  
 129 having higher nutritional value. Triticale is mainly used as a fodder crop for animals and as a crop to  
 130 produce grain for flour. In the field, it is difficult to recognize triticale from rye, and often the decision  
 131 must be consulted with the farmer who sowed the grain. Some types of land cover occurred on single  
 132 plots, so it was decided to omit them from further analysis. In the end, it was resolved to reduce the  
 133 number of classes to as in Table 5. The spatial distribution of the reference vectors can be seen in Fig. 6  
 134 and 7.

**Table 5.** Crops

<b>Id</b>	<b>Crop</b>
2	soil
5	oats
6	wheat rye
7	wheat winter
8	grass



**Figure 6.** Parcels visited in the field with average spectral curves, S2 RGB - 27.03.2021 in background



**Figure 7.** Parcels visited in the field, zoom-in, left - north part, right - south part

### 135 2.3. Data preprocessing

136 Six Sentinel-2 images were subset and resampled into 10 m. From each Sentinel-2 set 10 bands  
 137 were selected (B2, B3, B4, B5, B6, B7, B8, B8A, B11 and B12) for area of interest (AOI): 1295 columns x  
 138 922 rows (UL: 552250, 5567620 ; LR: 5558400, 5565200 ; EPSG:32634). The channels of all the images  
 139 prepared in this way were saved in a single TIF file. In addition, NDVI was calculated for each  
 140 registration date and added to the above mentioned TIF file. Image classification also uses other data  
 141 that can increase the accuracy of classification, such as numerical terrain models and the slopes/aspects  
 142 calculated from them. So SRTM was acquired, cropped to the AOI, and resampled to 10m. SRTM and  
 143 calculated: slope and aspect were added to the TIF file as well. This means that the S2 time series stack  
 144 consists of 60 Sentinel-2 channels, 6 NDVI images and 3 images containing topography information of  
 145 the area.

146 The original Sentinel-2 images are recorded on 12 bits and stored on 16 bits as uint16 (in the  
 147 metadata there is a size by which DN should be divided to calculate the reflection coefficient: 0-1 as a  
 148 float32 number, which is 10,000). The values of NDVI coefficients change from -1 to 1 and were stored  
 149 as float32 numbers.



150 The SRTM layer is of float32 type and includes for AOI values in the range of 230-310m, slope and  
 151 aspect are also float 32 type and include values in the range of: 0 to 26 degrees, and 0 to 360 degrees.  
 152 For the purposes of machine learning, all layers were scaled to a range: 0.0 - 1.0.

153 Due to the large size of the hyperspectral image, it was cropped of the area where the field visit  
 154 was conducted and resampled to a pixel size of 1m and 3m. Numerical terrain models were merged  
 155 and clipped to the extent of the hyperspectral image. In addition, slopes and aspects were calculated  
 156 from the DTM and NDVI from hiperspectral channels. All rasters were merged into a single TIFF file  
 157 (5340 cols x 6840 rows, UL: 557062, 5566510 ; LR: 559732, 5563090, 430 bands, NDVI, DTM, DSM, slope  
 158 and aspect).

159 The hyperspectral mosaic (9484 rows x 7478 cols, UL: 554995, 5566821 ; LR: 559737, 5563082) made  
 160 from the processed hyperspectral images has a spatial resolution of 0.5 m, consists of 430 spectral  
 161 channels (414.13 nm - 2357.43 nm) is registered in the UTM 34N coordinate system (EPSG:32634) and  
 162 takes up about 60 gigabytes

#### 163 2.4. Methods

164 The image data, numerical terrain models and their derivatives were merged using own code in  
 165 Python as a stack and saved as a single tif file. Separately, one file from the Sentinel-2 time series, at  
 166 10m resolution, and one file with hyperspectral data at 3m resolution (the original HySpex 0.5 data  
 167 was resampled to 3m). There are 68 layers (bands) in the Sentinel-2 time series stack file, Table 6. From  
 168 1-60 Sentinel-2 channels, 61 to 66 NDVI for each date (the channels used for calculation are also given),  
 169 67-68 DTM, aspect and slope. There are 435 layers (bands) in the Hyperspectral stack file Table 7.  
 170 From 1-430 HySpex channels, 431-434 DTM, DSM aspect and slope, 435 NDVI (the channels used for  
 171 calculations are also given).

**Table 6.** Sentinel-2 time series stack

Band number	Details
1-10	B0327
11-20	B0411
21-30	B0509
31-40	B0725
41-50	B0728
51-60	B0906
61	0327_7/3
62	0411_17/13
63	0509_47/43
64	0725_57/53
65	0728_37/33
66	0906_27/23
67	DTM
68	aspect
68	slope

**Table 7.** Hyperspectral stack

Band number	Details
1-430	HySpex bands
431	DTM
432	DSM
433	slope
434	aspect
435	ndvi_142_80

172 Image processing was carried out using custom scripts in Python and free plug-ins for QGIS  
 173 (EnMAP-Box and GRASS). Automatic classification was performed in an unsupervised (K-means  
 174 method) and supervised (Random Forest method) manner. RF classification accuracy analysis was  
 175 analyzed by k-fold cross validation in EnMAP-Box. Analysis of the accuracy of the final classification  
 176 result was done on independent test fields in GRASS.

177 Clustering in EnMAP proceeds in two stages: FitKMeans and Predict Clustering  
 178 FitKMeans in EnMAP-Box is executed using the following script:

```
179 from sklearn.pipeline import make_pipeline
180 from sklearn.preprocessing import StandardScaler
181 from sklearn.cluster import KMeans
182 clusterer = KMeans()
183 KMeans(n_clusters = 8, *, init = 'k-means++', n_init = 10, max_iter = 300,
184 tol = 0.0001, verbose = 0, random_state = None, copy_x = True, algorithm = 'lloyd')
185 estimator = make_pipeline(StandardScaler(), clusterer)
186 outEstimator.pkl
```

187 Argument *init* of class *sklearn.cluster.KMeans* - "k-means++" : selects initial cluster centroids  
 188 using sampling based on an empirical probability distribution of the points' contribution to the overall  
 189 inertia" (scikit-learn 1.1.2). Number of clusters *n\_clusters* can be modified (default = 8).

190 Another issue is standardize features by removing the mean and scaling to unit variance. The  
 191 standard score of a sample *x* is calculated as:  $z = (x - u) / s$  where *u* is the mean of the training  
 192 samples or zero if with\_mean=False, and *s* is the standard deviation of the training samples or one if  
 193 with\_std=False (scikit-learn 1.1.2).

194 The second step performs *PredictClustering*, which applies a *clusterer* to a raster.

195 The resulting clusters were mapped to crop type and analyzed in the context of reference fields to  
 196 evaluate the effectiveness of the method.

197 Random Forest in EnMAP in classic approach proceeds using the following script:

```
198 from sklearn.ensemble import RandomForestClassifier estimator =
199 RandomForestClassifier(n_estimators = 100, oob_score = True)
```

200 The set of reference parcels was divided into two separate sets using stratified random sampling.  
 201 The learning process was based on the training set. The model's accuracy, known as validation  
 202 accuracy, was determined using k-fold cross-validation (defaulting to 3 folds). To select the optimal  
 203 hyperparameters for our Random Forest (RF) model, we utilized the widely used grid search method  
 204 through scikit-learn's Grid Search CV class. Our training phase employed three evaluation metrics  
 205 - accuracy, balanced accuracy (mean recall), and f1-weighted (weighted average of precision and  
 206 recall) - to measure the model's performance. After testing various configurations using 10-fold  
 207 cross-validation, we ultimately settled on the following classification settings.

- 208 • classification:scikit-learnlibrary, sklearn.ensemblemodule, RandomForestClassifier,
- 209 • number of trees: 100,
- 210 • min\_samples\_split: 2,
- 211 • min\_samples\_leaf: 2,
- 212 • bootstrap: True,
- 213 • max\_depth: None,
- 214 • max\_features: None.

215 In the next stage, we conducted an accuracy analysis based on a test set that was not involved in the  
 216 learning process. Although accuracy analysis on independent test fields is available in EnMAP-Box,  
 217 the *r.kappa* function from the GRASS plugin was used for technical reasons. Function "*r.kappa*  
 218 tabulates the error matrix of classification result by crossing classified map layer with respect to  
 219 reference map layer. Both overall kappa (accompanied by its variance) and conditional kappa values  
 220 are calculated. This analysis program respects the current geographic region and mask settings"  
 221 ([https://ibiblio.org/pub/packages/gis/grass/grass63/manuals/html63\\_user/r.kappa.html](https://ibiblio.org/pub/packages/gis/grass/grass63/manuals/html63_user/r.kappa.html)). In this

222 manner, pixel accuracy was determined. In addition, accuracy was analyzed at the plot level by  
223 performing an automatic majority class extraction for each polygon (QGIS-Processing tools-Zonal  
224 statistic).

225 Even though researchers have investigated different metrics over the years [26–31]), the most  
226 commonly recommended metric remains Overall Accuracy (OA) [32], which is defined as  $OA = TP /$   
227  $(TN + FP + FN)$  [28]. Therefore, in our study, we limited our analysis to OA.

228 The study aimed to compare the accuracy of classification results from Sentinel-2 and  
229 hyperspectral images captured at significantly different altitudes (10 m for satellites and 1-3 m for  
230 aerial images). Processing of single registrations from both types of images as well as time series of  
231 Sentinel-2 was conducted to achieve this goal. The complete dataset (stack) was used for classification,  
232 and the accuracy was compared to the classification results obtained by excluding NDVI, DEM, and  
233 their processing from the remote sensing data.

### 234 3. Results

235 Before presenting the classification results, we would like to showcase the crop structure in the  
236 test area, as seen in Figure A1. The plots have a complex and intricate cultivation pattern, with small,  
237 elongated, and often irregular shapes. A clearer view can be seen in the False Color Composite (FCC =  
238 B8A, B4, B3) of the image captured on July 28th, 2021, just before the first harvest, as shown in Figure  
239 A2. The image clearly displays green vegetation (represented in red), buildings and bare soil (in cyan),  
240 fields of mature crops (in dark green), forests, and water. The stacks were created according to the  
241 table, and in the attachment there is an example: composition in false colors (FCC) of the first S-2  
242 image, NDVI, DTM, Slope and Aspect (Figures A3 to A6). HySpex\_stack data is similarly provided.

243 Further in the attachment are the results of clustering and classification, with details on the  
244 calculation of accuracy.

245 The first part of the results presents a mask showing the areas excluded from the analyses. The  
246 second part contains the results obtained using the K-means method, and the third part presents the  
247 results from RF method.

#### 248 3.1. Mask preparation

249 The best clustering results were obtained from the S2 stack, as shown in Figure A9. The results  
250 from clustering other datasets can be found in Appendix A (Figures A7 and A8). Figure A7 shows  
251 correctly classified green vegetation (green) and crops in some fields (yellow). However, a phenomenon  
252 of indistinguishability between built-up areas and bare soil (white and gray) can always be noted for a  
253 single registration date.

254 The clustering of the time series, both in the S2 stack (Figure A9) and the S2 time series (Figure  
255 A8), allows for the separation of built-up areas (gray), industrial areas (violet), and bare soils (brown).  
256 Additionally, mature cereals can be differentiated from green vegetation in the fields (yellow and  
257 green). Clustering the time series of remote sensing data alone, however, provides better differentiation  
258 within the green vegetation (represented in light green and cyan in Figure A9).

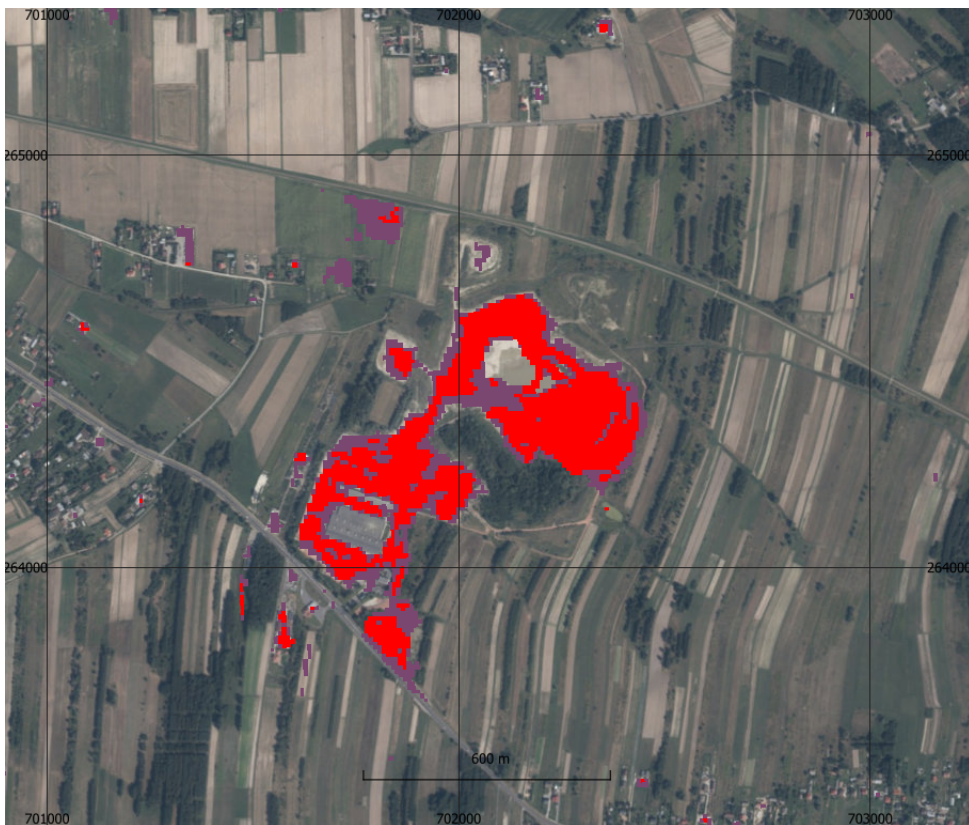
259 From the clustering results, we extracted classes to mask the areas not analyzed further for crop  
260 recognition.

- 261 • residential and industrial areas (Fig. A9 class 4 and 3),
- 262 • forests (Fig. A9, class 1 and 6)
- 263 • bare soils mixed with industrial areas (Fig. A7, Class 8)

264 In creating the mask, we analyzed the effectiveness of separating industrial areas, including  
265 open-pit mineral extraction from developed areas and bare soils. Just across the road to the south of  
266 the area shown in Fig. 11 et seq. is the Wienerberger Kupno open pit mineral extraction plant. Only the  
267 class covering industrial areas is shown in Fig. 8, the rest of the classes are transparent. The red color  
268 shows the separations made from one registration S20728. The separation on the S2 stack subsection  
269 covers the S20728 separation and slightly extends outside. To compare industrial areas on entire image  
270 see in Fig. A7, A8 and A9) in violet color).



**Figure 8.** Open-pit mineral extraction (geoportal.gov.pl)



**Figure 9.** Open-pit mineral extraction - distinguished form urban and bare soils, clustering comparison S2 stack and one shot before harvest S2 0728

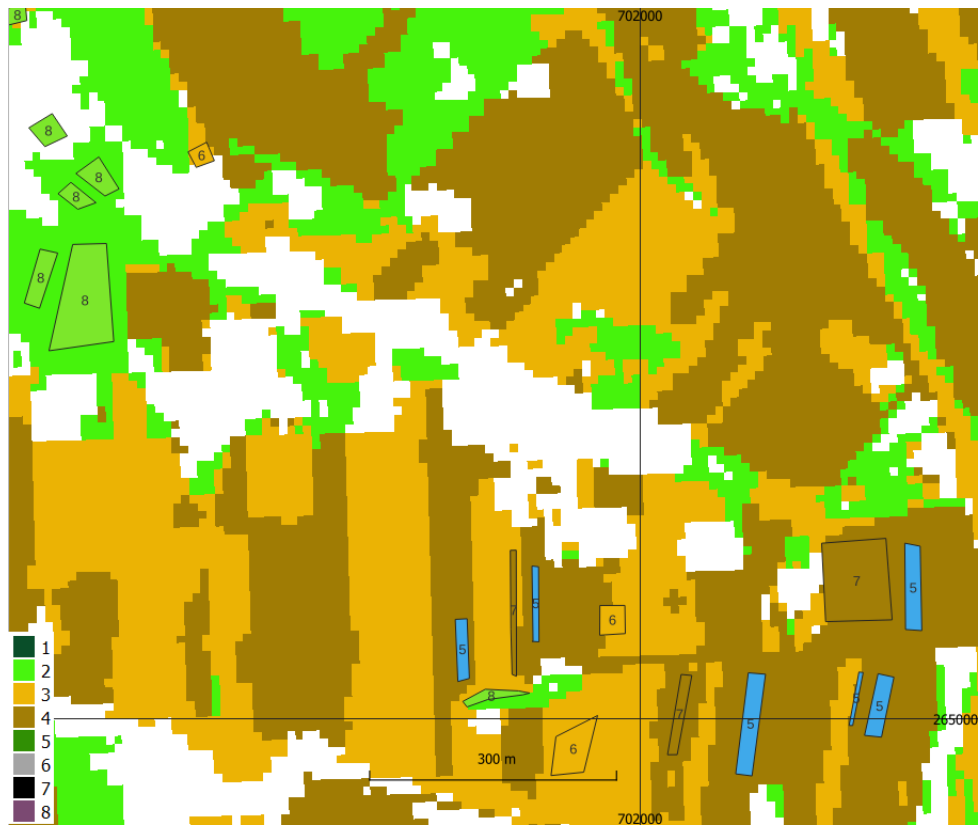
### 271 3.2. Clustering

272 Clustering numerates classes in automatic way, so it is necessary to map them to the ground  
273 classes. There is interpretation of the classes in the description of each figure, however the palettes are  
274 unified. Zoom of the best result using the K-means method for S2 is with the mask presented in Fig. 10  
275 (entire image - in Fig. A10). Clustering S2 resulted in the separation of mature cereals (two classes:  
276 3, 4 - yellow, olive) and grass (2 - grass). The bare soil in the area shown in the figure Fig. 10 was  
277 generalized within the cereal crop. Total data on separated classes in different S2 variants can be found  
278 in Table A1, (best score for S2 stack OA=0.74). Grain classes: 5 - oats as 4, 6 - wheat rye as 3 and 8 -  
279 grass were separated well. Errors in classification appeared for class: 2 - bare soil and 7 - wheat winter.

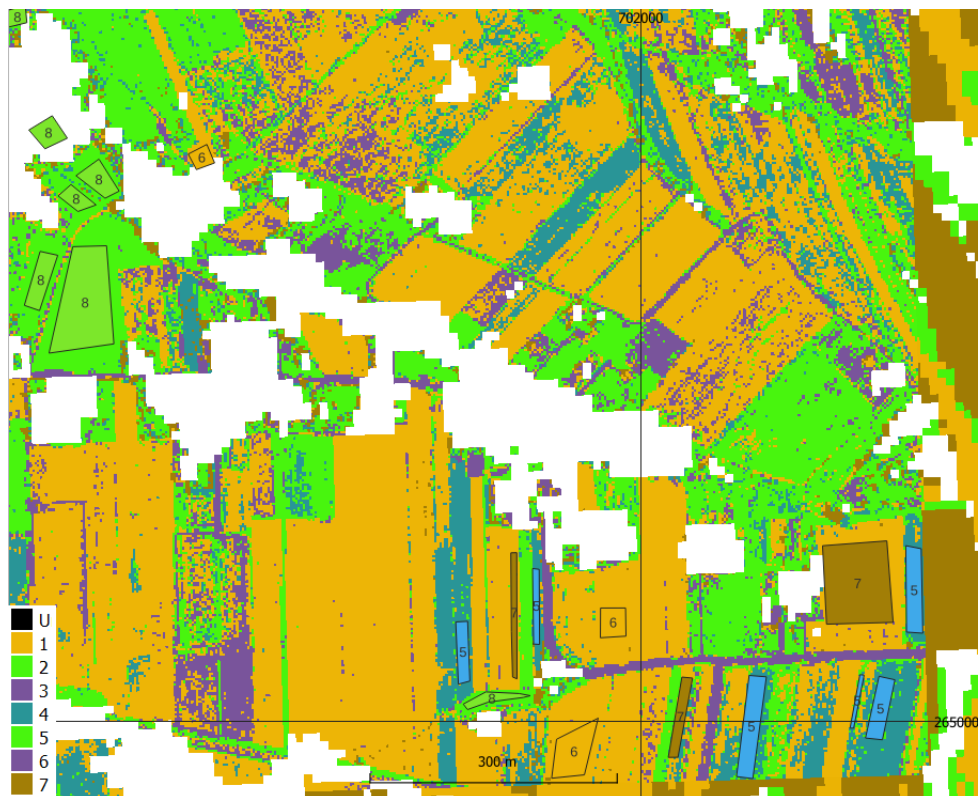
280 For hyperspectral data, the best results were obtained when the spatial resolution was reduced  
281 to 3m, as shown in Figures A18 and 14. The classification resulted in a separation within the cereal  
282 class: wheat rye and wheat winter. The bare soil within the crop classes was also distinguished. This is  
283 due to the significantly higher spatial resolution (3 m instead of 10 m). Total data on separated classes  
284 in different HySpex variants can be found in Table A3, (best score for HySpex stack OA=0.81). Grain  
285 classes: 2 - soil as 2, 5 - oats as 1 and 8 - grass as 3 were separated well. Unfortunately class 6 - wheat  
286 rye was mixed with 7 - wheat winter as 6.

287 The results of the clustering accuracy analysis are presented in Figure 12, Detailed results of the  
288 accuracy analysis are provided in Appendix A, including Tables A1, A2 for Sentinel-2 data, and Tables  
289 A3, A4 for HySpex data, along with Figures A20 and A21.

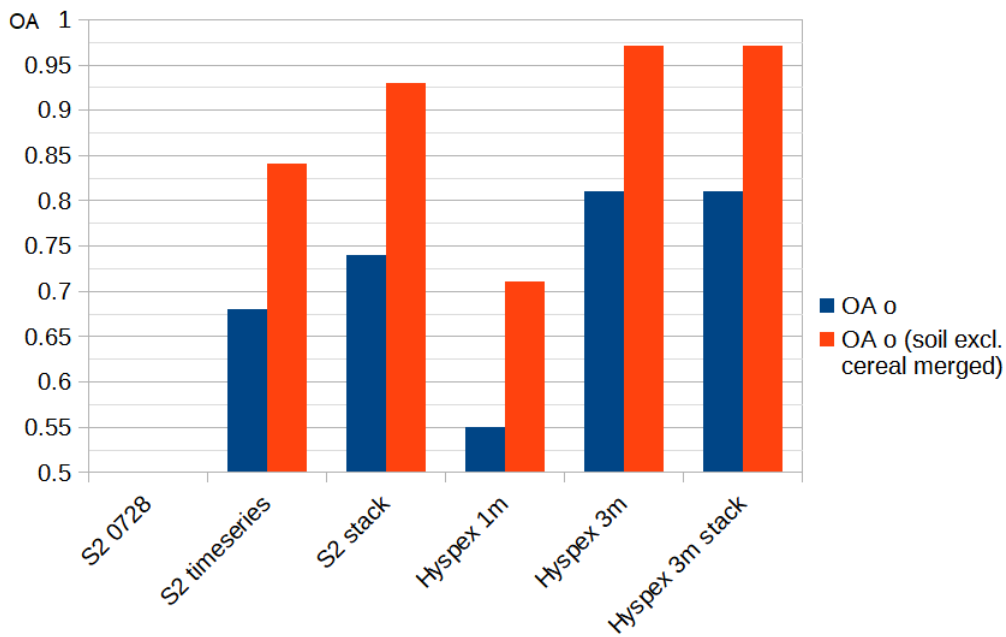
290 The highest clustering accuracy was obtained for HySpex 3m with a score of 0.81, and adding  
291 additional data did not increase accuracy (Figure 12 blue bars). However, the accuracy of HySpex 1m  
292 clustering was low, at 0.55. The maximum accuracy from Sentinel-2 was obtained for the S2 stack,  
293 which scored 0.74, for the S2 time series 68% and for single registration S2 0728 39%. For grain crops  
294 excluding bare soil and corn (Figure 12 red bars), the accuracy was above 0.90, with scores of 0.93  
295 for the S2 stack and 0.97 for HySpex 3m. The accuracy of clustering was determined for all reference  
296 objects/parcels as no teaching data are required in this case.



**Figure 10.** Cluster S2 stack masked; 1-conifer forest (masked), 2-grass, 3-maturing/ mature cereals, 4-maturing/ mature cereals, 5-deciduous forest (masked), 6-urban (masked), 7-residual class (no visible), 8-industrial (masked)



**Figure 11.** HySex 3m K-means, 1/7 - wheat rye, 2/5 - grass, 3/6 - soil, 4 - wheat winter



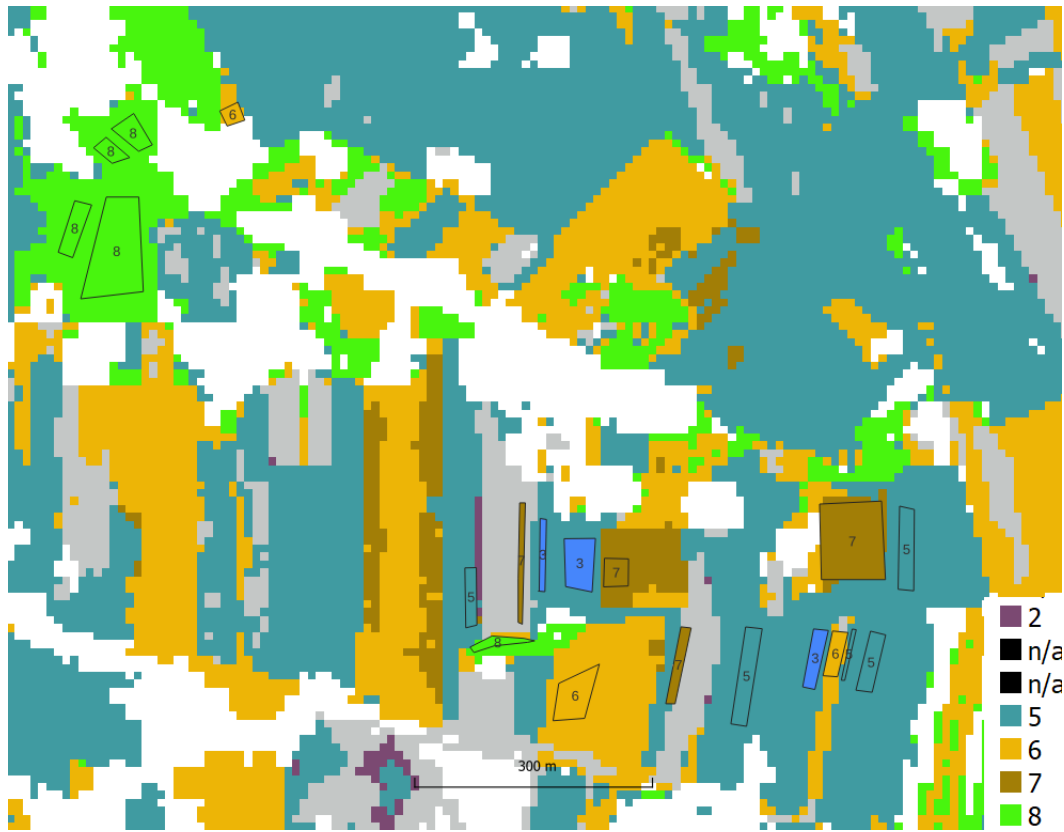
**Figure 12.** Comparison of clustering accuracy - object level, all reference data

### 297 3.3. Random Forest

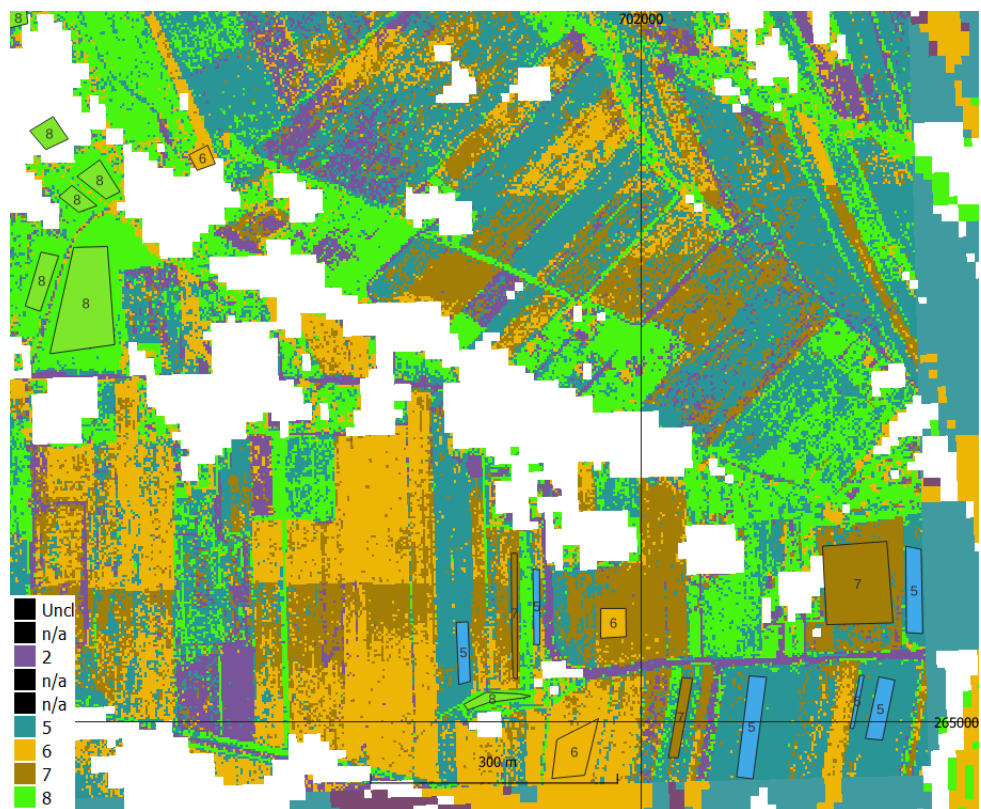
298 Details of RF classification results can be found in Attachment. In Fig. 13 and Fig. 14 are  
 299 enlargements of the best results obtained from the satellite and airborne ceiling. As a result of  
 300 supervised classification, the class numbers are consistent with the identifiers used for learning (Tab. 5).  
 301 In addition, the same palette was adopted for visualization. Visually, the classification results obtained  
 302 by the RF method appear to be better than the K-means results. Due to the spatial resolution, more  
 303 detail can be observed in the aerial images. At the same time, generalization is observed on satellite  
 304 results. The generalization of exposed soils that occurred on the clustering results was repeated.  
 305 However, analyzing the aggregate results in the Tables, it can be seen that the RF method yielded  
 306 worse results than the clustering method. In the case of the RF method, unlike the clustering method,  
 307 it is possible to analyze the results of method validation, from training data and the accuracy of the  
 308 method on test data.

309 The RF method had a greater difference in accuracy between the validation and testing for  
 310 Sentinel-2 images than for hyperspectral data (Figure 15). The validation accuracy on the S2 stack  
 311 was 0.97 and the accuracy on the test pixels was 0.70, while the validation accuracy for HySpex 3m  
 312 was 0.85 and the accuracy on the test pixels was 0.73. The object accuracy was slightly less than  
 313 the pixel accuracy for the S2 stack (0.69), but greater than the pixel accuracy for HySpex 3m (0.75).  
 314 The effectiveness of the RF method in correctly classifying grain crops excluding bare soil and cereal  
 315 merged 100%.

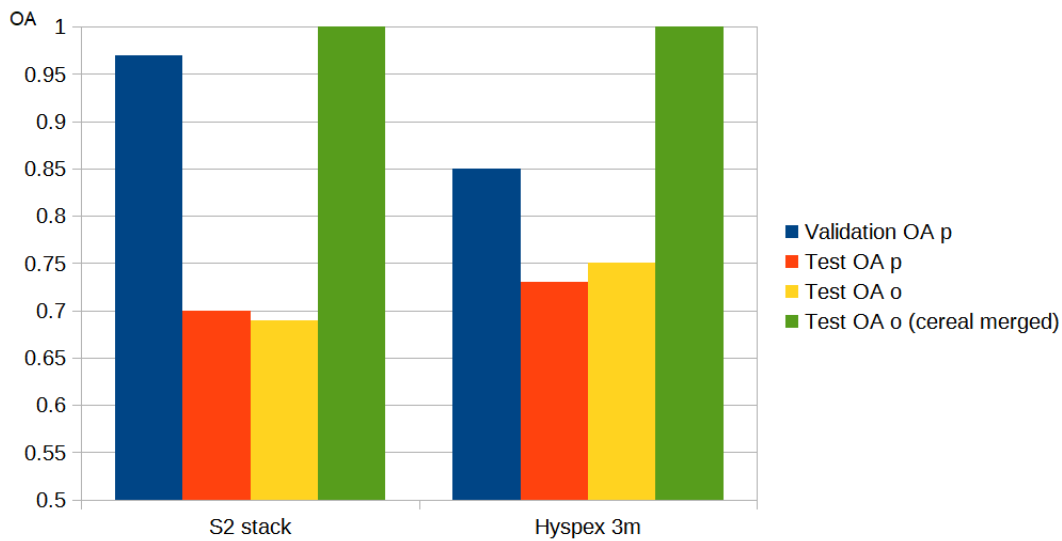




**Figure 13.** RF S2 stack masked (zoom); legend and polygon labels - according Table 5, 2-soil, 5-oats, 6-winter rye, 7- wheat winter, 8-grass



**Figure 14.** RF HySpex 3m



**Figure 15.** Comparison of overall accuracy obtained in RF classification; pixel (OA p); object (OA o)

316 In summary of the above described results, it can be concluded that:

- 317 • With a single airborne hyperspectral recording, it was possible to classify crops at 80% accuracy
- 318 using unsupervised clustering methods. When restricted to only grain crops, accuracy improved
- 319 to 90%.
- 320 • Additional layers (NDVI, DTM, slope and aspect) did not increase the classification accuracy of
- 321 aerial hyperspectral images.
- 322 • The accuracy of S2 stack (S2 Sentinel-2 time series plus NDVI, DTM, slope, and aspect) clustering
- 323 was relatively high - 74%, especially for an area with a large number of small plots; in comparison,
- 324 the accuracy of clustering only S2 time series was 68%.
- 325 • The accuracy of a single Sentinel-2 recording was surprisingly low, at less than 50%. The reason
- 326 for this discrepancy is unclear, but it may be related to differences in crop structure in northern
- 327 Poland where a one-shot FR S2 test had an accuracy of approximately 80% ([23]).
- 328 • The results of the random forest classification were slightly less accurate due to a lack of enough
- 329 reference data. Clustering methods did not require training data, while random forest methods
- 330 required dividing reference data into learning and test sets.
- 331 • The accuracy of crop recognition reported in the literature above 90% is difficult to verify due to
- 332 differences in accuracy analysis methodologies, reference data selection, pixel/object approaches,
- 333 metric choice, and calculation formula used.

## 334 4. Discussion

335 When comparing classification results, three aspects should be taken into account:

- 336 • which metric was chosen to assess accuracy
- 337 • whether the results concern method validation or testing on independent test data not used for
- 338 learning
- 339 • whether the reference data was divided in a way that prevents data correlation

### 340 4.1. Metrics

341 Often, articles present the learning process and report only the validation accuracy, which is  
 342 always higher than the accuracy obtained on independent test data. K-fold cross validation is used  
 343 to analyze learning effectiveness and results in higher validation accuracy compared to independent  
 344 test data [33]. Reference data is also often divided in a way that falsely increases accuracy, such as  
 345 selecting pixels from the same plot for the training and testing sets, which are correlated with each  
 346 other. To avoid this issue in agriculture land cover recognition, reference set separation should be  
 347 made at the plot level, not the pixel level. Therefore, for testing, plots that have never been seen during  
 348 learning should be selected. Finally, it is sometimes reported as OA values that are actually ACC  
 349 values. Machine learning typically uses four classification results types (TP, TN, FP, FN) and metrics  
 350 like sensitivity, specificity, and accuracy ( $ACC = (TP+TN)/(FP+FN+TP+TN)$  [34]), which is only equal  
 351 to OA in one-class classification. In multi-class classification, OA is calculated as  $TP/(TN+FP+FN)$  [28],  
 352 while ACC results in much higher accuracy estimates than OA. Examples can be found in journals of  
 353 both proper and improper use of ACC for classifying features [35,36].

354 Our article was influenced by a publication [24] that explored the use of one-shot hyperspectral  
 355 satellite imagery compared to multispectral time series for crop recognition. The authors reported an  
 356 accuracy of 94%. However, it is important to keep in mind that the accuracy was calculated using  
 357  $OA = (TP+TN)/(TP+TN+FP+FN)$ , which is the de facto ACC accuracy, and only two crops, winter  
 358 wheat and rapeseed, were tested. This calculation method gives a higher value for ACC compared  
 359 to OA for many classes  $OA = TP/(TP+TN+FP+FN)$  as it takes into account both TPs and TNs. If we  
 360 compiled from Table A4 confusion matrix we could calculate, in addition to OA (0.75), producer  
 361 accuracy (PA) and user accuracy (UA). It is also possible to calculate the ACC for each class, it is the  
 362 same in the ACC column and ACC row.

363 It is worth noting that all ACC values are high (greater than 0.80), even if  $PA(7 - \text{wheat winter}) = 0.00$ ,  
 364  $ACC = 0.81$ . This is due to the fact that there are a large number of  $TN = 13$  (only 3 FN cases).

365 In the case in discussion, we gave an accuracy of 0.75 (as OA), if we had counted the average  
 366 ACC we would have reported 0.90.

**Table 8.** Confusion matrix based on Table A4

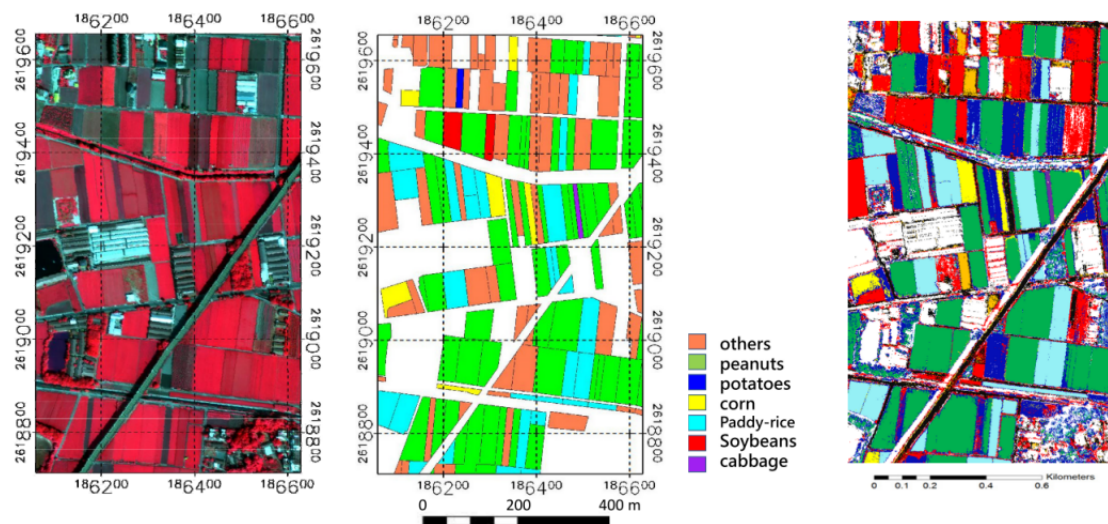
	Test						PA	ACC
	2	5	6	7	8			
Ref	2	3	0	0	0	0	1.00	1.00
	5	0	5	1	2	0	0.63	0.81
	6	0	0	1	0	0	1.00	0.88
	7	0	0	1	0	0	0.00	<b>0.81</b>
	8	0	0	0	0	3	1.00	1.00
	UA	1.00	1.00	0.33	0.00	1.00	<b>0.75</b>	
ACC	1.00	0.81	0.88	<b>0.81</b>	1.00		<b>0.90</b>	

### 367 4.2. Validation, testing sets

368 [37] classified 6 classes with the correct calculation of accuracy, resulting in 95.85% for SVM and  
 369 an increase in accuracy using deep learning: PCA = 8, epoch = 30 - 97.1%; PCA = 16, epoch = 30 - 98%;  
 370 and PCA = 24, epoch = 30 - 98.6%. However, the reference data was divided at the pixel level, meaning

371 only selected test pixels were analyzed, which makes it difficult to assess the reported accuracy. As the  
 372 authors mentioned, potatoes were the worst misclassified crop with a total of 32 pixels misclassified  
 373 (19 too few and 13 too many). The producer accuracy was 93.04% and user accuracy was 95.15%.  
 374 However, looking at Fig. 16 [37], there is a mismatch between the reference plot with potatoes and the  
 375 SVM classification results, making it challenging to trust the accuracy based on pixel analysis.

376 In the reference set (in Fig. 16 in the middle), only one plot is covered with potatoes. As a result of  
 377 the classification (in Fig. 16) right), many plots are classified as potatoes. Mainly it is the class (others),  
 378 which the authors write about as not in agricultural use. In our case, this type of land cover was either  
 379 masked or classified as bare soil and taken in whole for accuracy analysis.



**Figure 16.** FCC from hyperspectral image, reference plots and result of SVM classification [37]

#### 380 4.3. Reference to other comparable works

381 The classification accuracy of agricultural land cover types, calculated as OA using uncorrelated  
 382 training and test sets, typically falls between 80-90%, sometimes even higher, especially when dealing  
 383 with time series data (Table 1 and 2). While most publications focus on time series, some suggest using  
 384 a data reduction approach to classification, such as a single registration [23]. The accuracy obtained in  
 385 this scenario is significantly impacted by the registration deadline. Maponya et.al. suggest registering  
 386 four weeks before harvest to achieve 77% accuracy, which is just 5% lower than the accuracy achieved  
 387 using time series data. Despite this recommendation, we were unable to attain such accuracy using  
 388 a single Sentinel-2 registration. The S2 stack accuracy reached only 74%, and a single registration  
 389 resulted in an accuracy of less than 50% in our study.

390 This may be due to the crop structure specific to the test area, as our earlier research [23] in  
 391 northern Poland, with larger plots, yielded more optimistic results that were even slightly better than  
 392 reported by Maponya et al.

393 It also seems that the proposed registration date is appropriate, as aerial imagery allowed us to  
 394 achieve an accuracy of 81%.

## 395 5. Conclusions

396 Prior to making any conclusions, it is crucial to consider the role of metrics in evaluating the  
397 precision of image classification. One commonly employed metric is accuracy, which plays a significant  
398 role in its computation. Traditionally, accuracy in remote sensing is measured using OA, while machine  
399 learning employs ACC. ACC is always higher than OA since it incorporates both true positive and  
400 true negative cases.

401 In our analysis, we obtained the highest accuracy 0.81 (calculated as OA) but we could report  
402 0.96 or even 0.98 if we use ACC. Therefore, in any comparative study, it is crucial to carefully analyze  
403 the metrics used to calculate the classification accuracy. This is especially important now, as there are  
404 numerous publications presenting various machine learning models with high reported accuracies.

405 Regarding the primary objective of the study, which was to evaluate the accuracy of one-shot  
406 registration for agricultural land cover mapping, the research found that an accuracy of 80% can be  
407 achieved using airborne hyperspectral data. It is also recommended to perform the registration about  
408 four weeks before harvest, as confirmed by the research [19].

409 Undoubtedly, the future belongs to machine learning, including deep learning. The practical  
410 use of such models will be possible if a very large amount of training data is provided, which may  
411 pose a certain problem. However, it is always necessary to remember about the proper assessment of  
412 accuracy.

413 **Author Contributions:** Conceptualization, B.H. and P.M.; methodology, B.H. and P.K.; software, P.K.; validation,  
414 P.K.; investigation, P.K. and B.H.; writing—original draft preparation P.K.; writing—review and editing B.H.;  
415 visualization, P.K.; supervision, B.H.; funding acquisition BH All authors have read and agreed to the published  
416 version of the manuscript.

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418 University of Science and Technology no. 501.696.7996. The project title: Integration of remote sensing data for  
419 control in the system of direct agricultural subsidies (IACS).

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421 valuable suggestions.

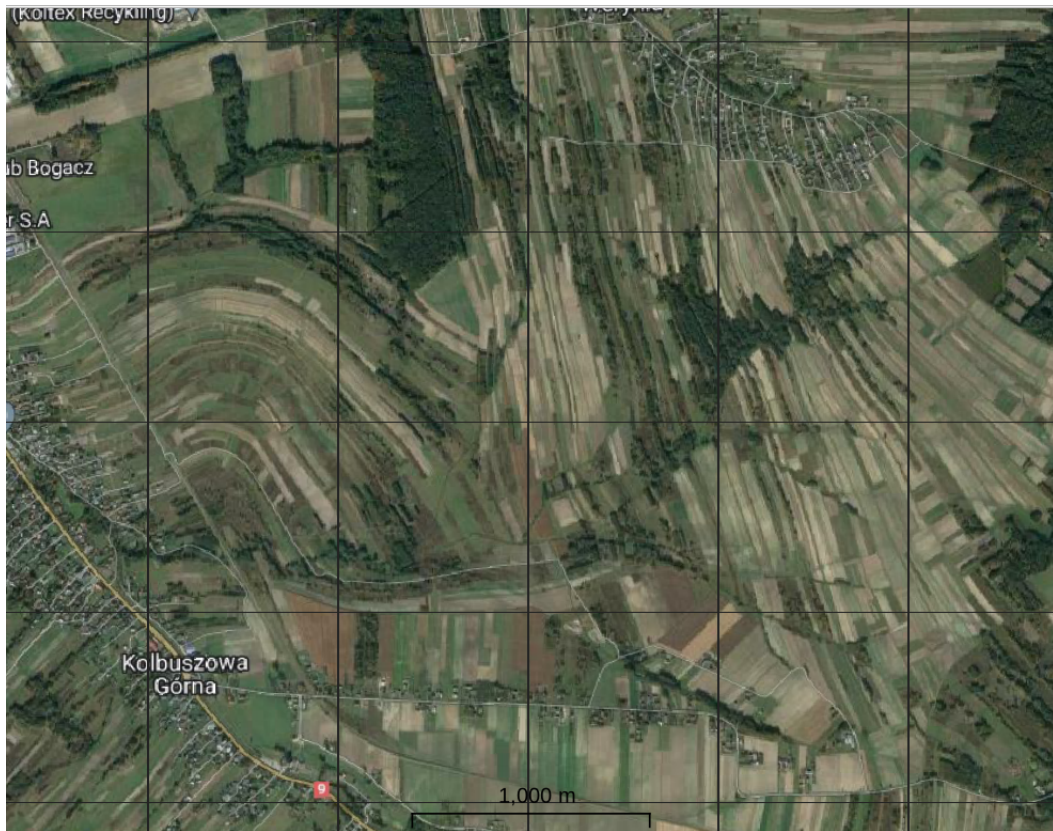
422 **Conflicts of Interest:** The authors declare no conflict of interest.

## 423 Abbreviations

424 The following abbreviations are used in this manuscript:

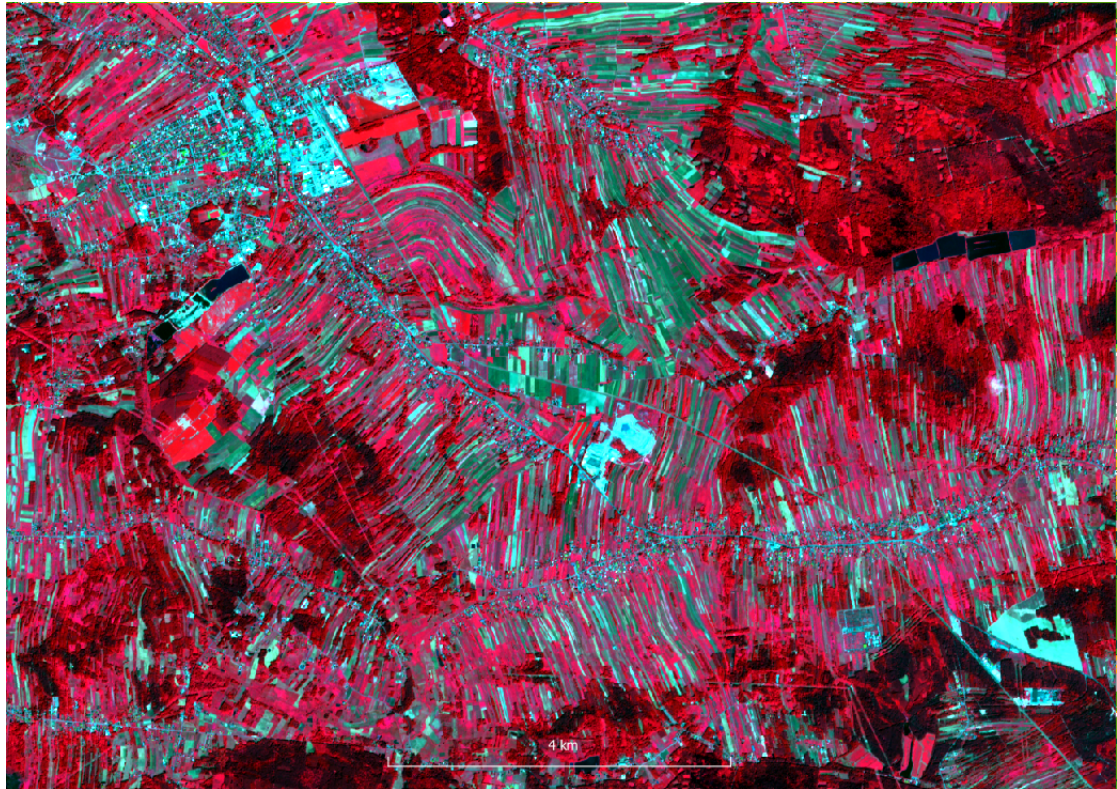
425	RF	Random Forest
	SVM	Supported Vector Machine
	CNN	Convolution Neural Network
	DL	Deep Learning
	TP	True Positive
426	TN	True Negative
	FP	False Positive
	FN	False Negative
	OA	Overall Accuracy= $TP/(TP+TN+FP+FN)$
	ACC	Accuracy= $(TP+TN)/(TP+TN+FP+FN)$

427 **Appendix A. Results of classifications and accuracy analysis**

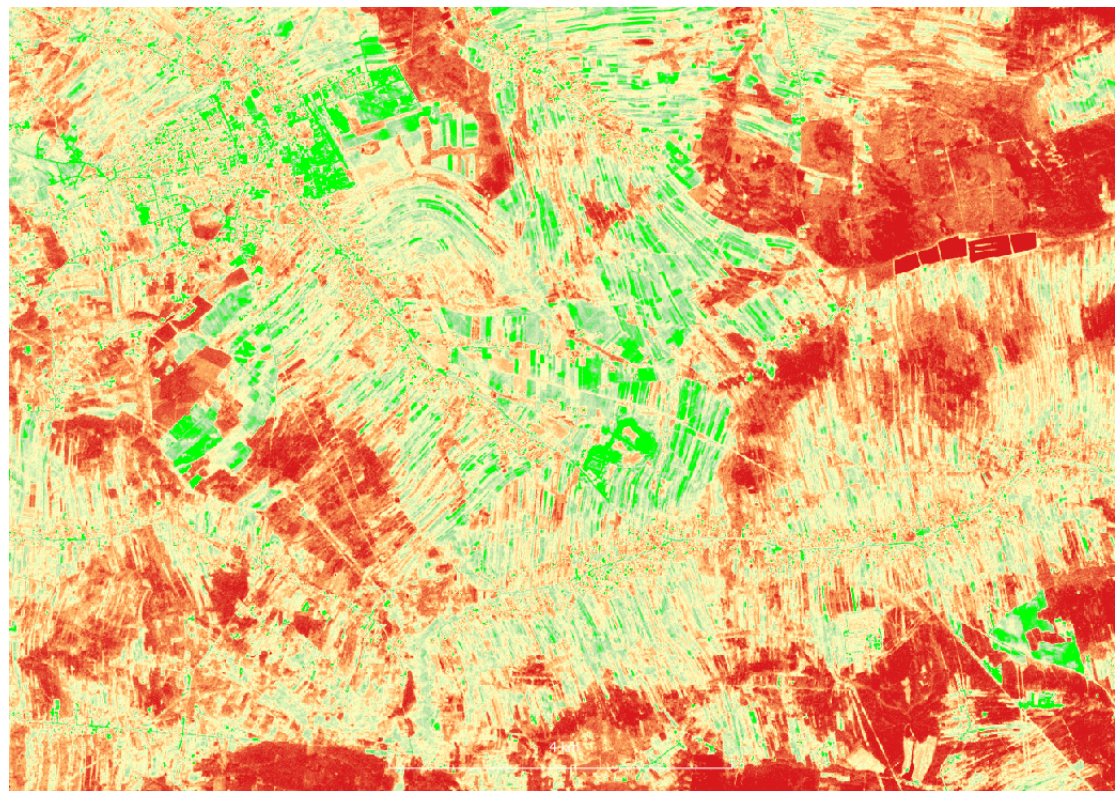


**Figure A1.** Test area - Google Maps

428 *Appendix A.1. Satellite level*



**Figure A2.** S2 FCC 0728



**Figure A3.** S2 NDVI 0728

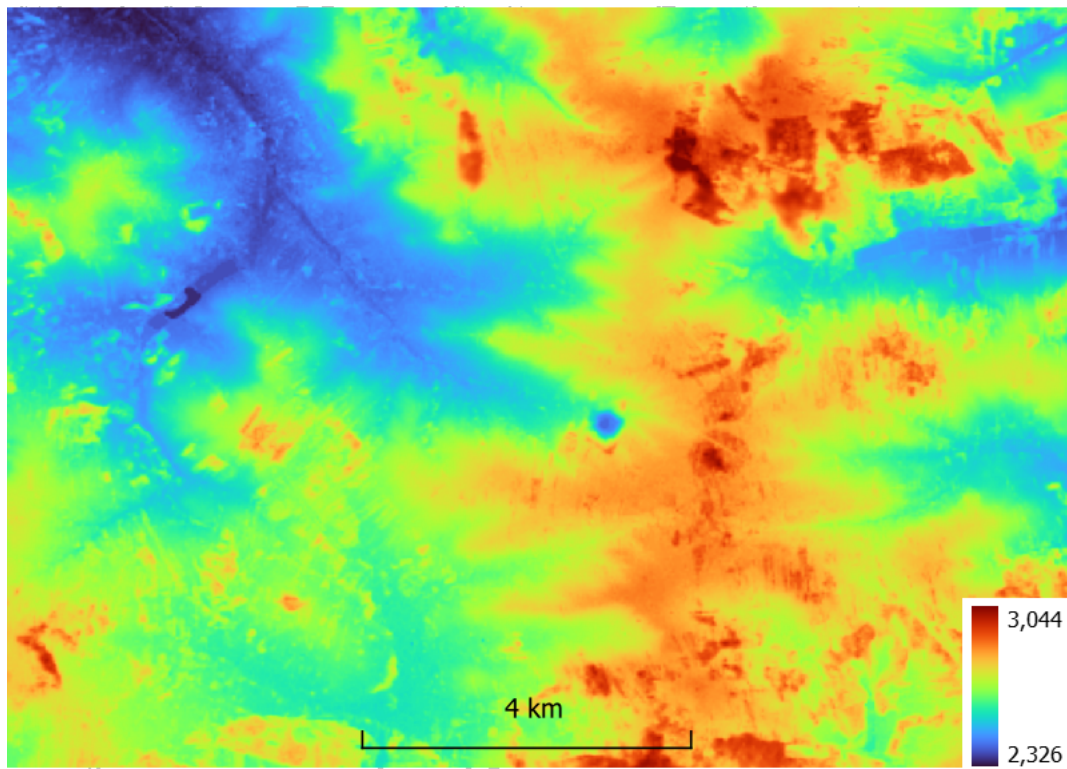


Figure A4. SRTM

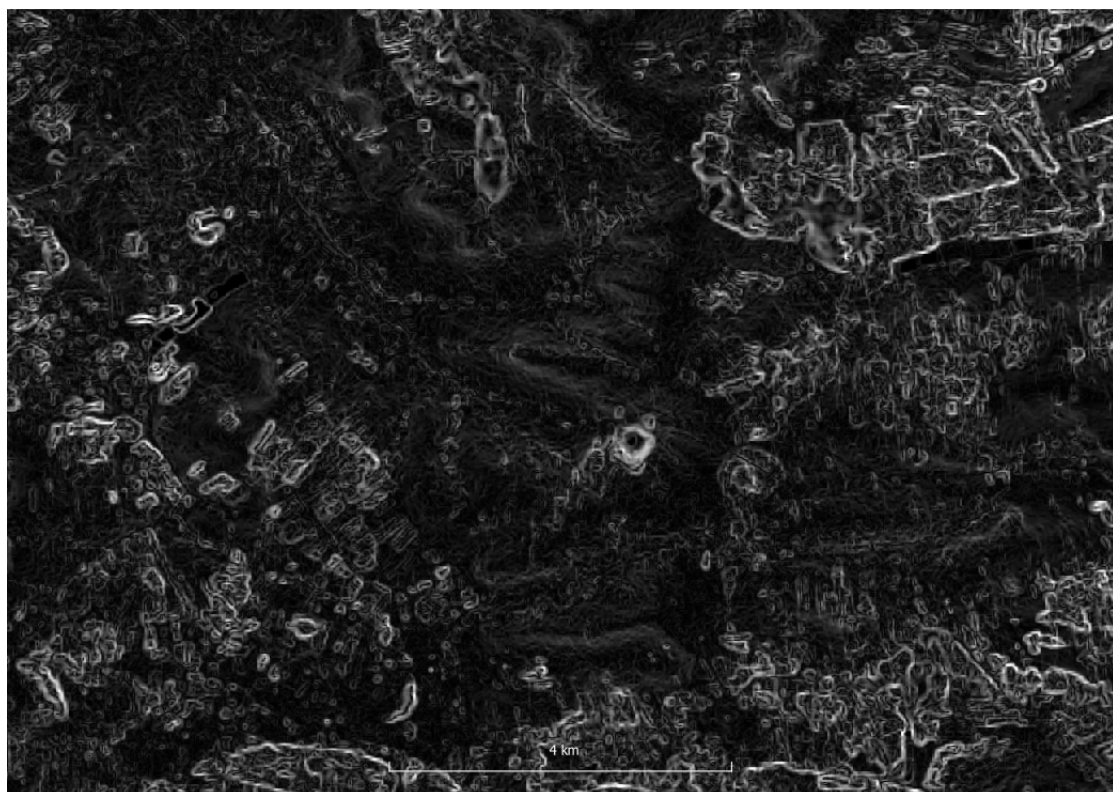
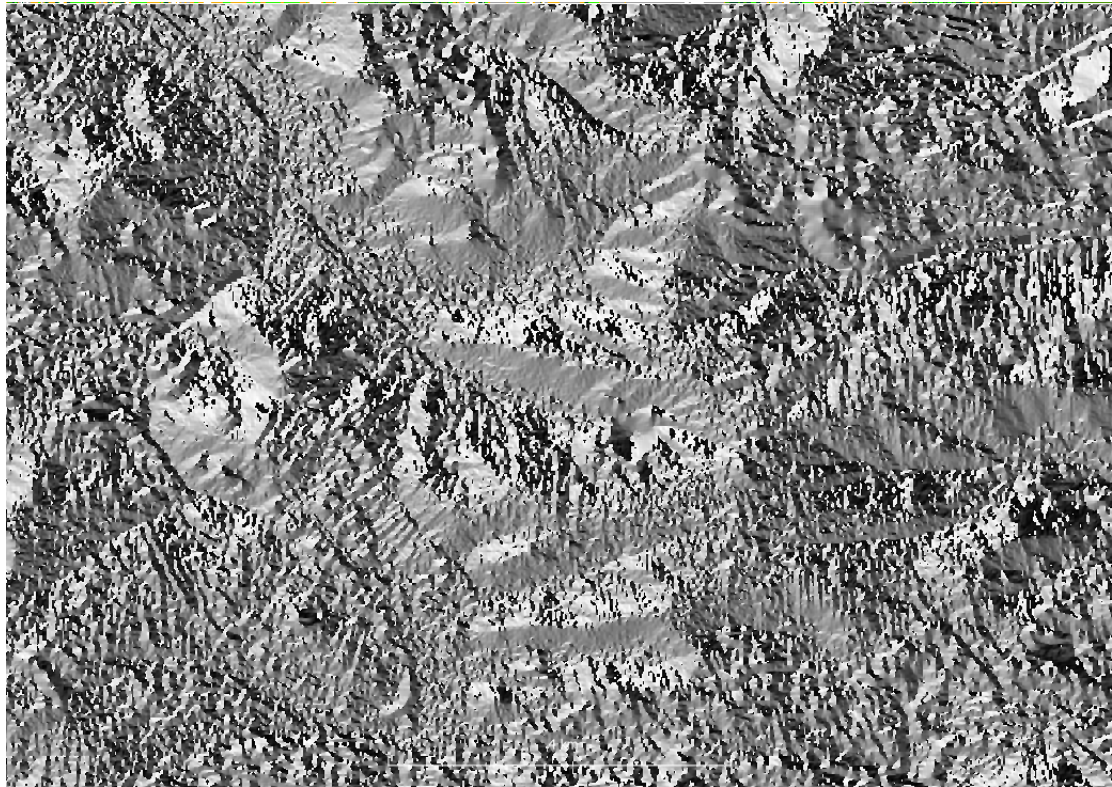


Figure A5. SRTM - slope

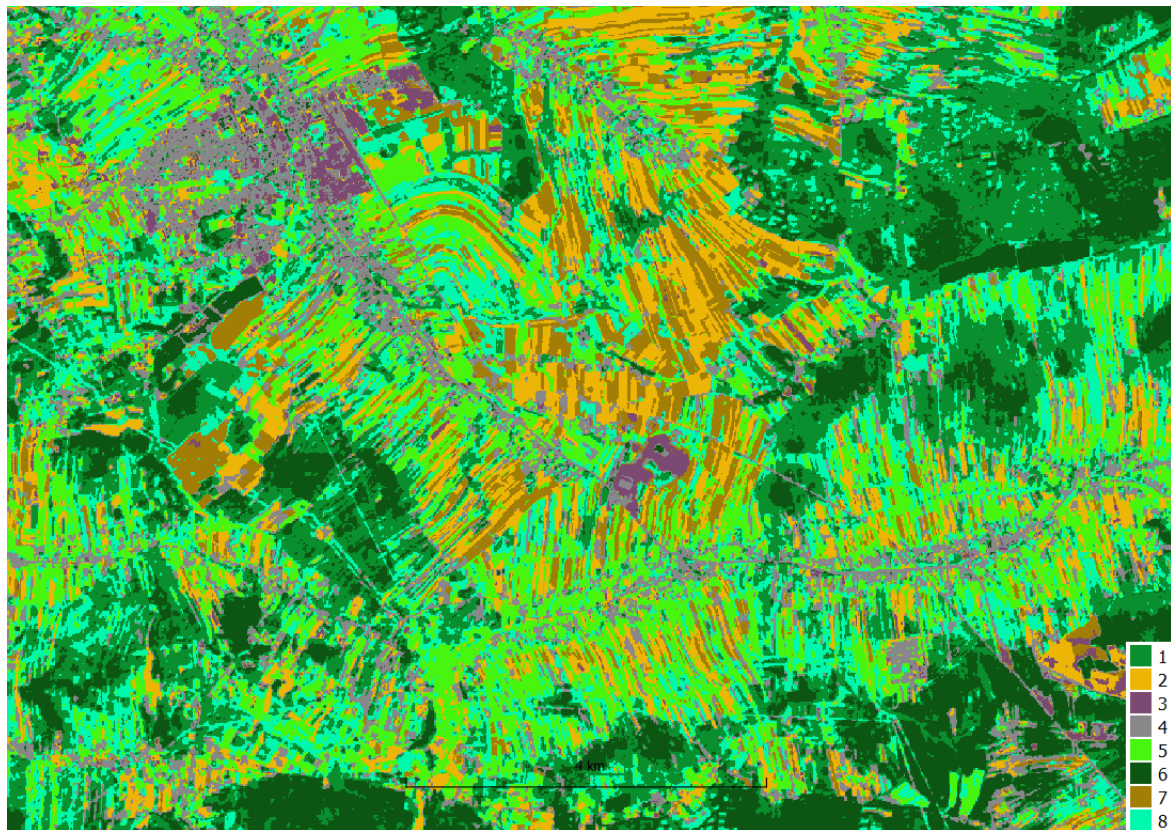




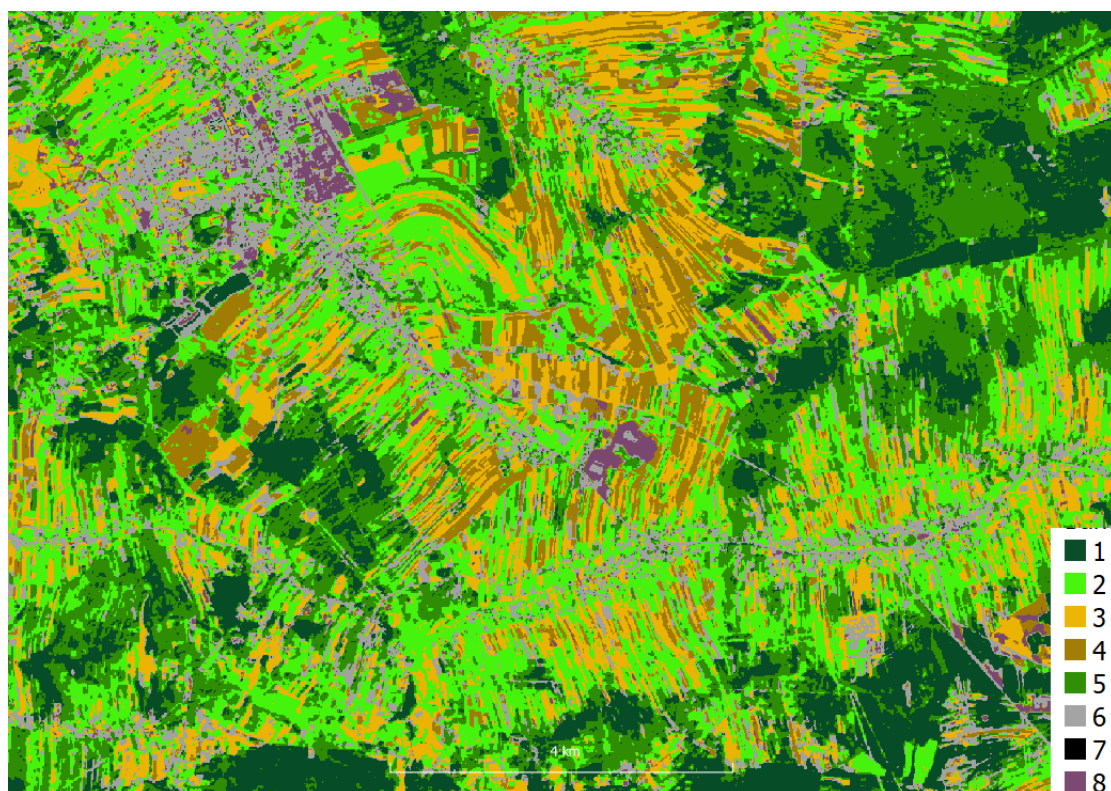
**Figure A6.** SRTM - aspect



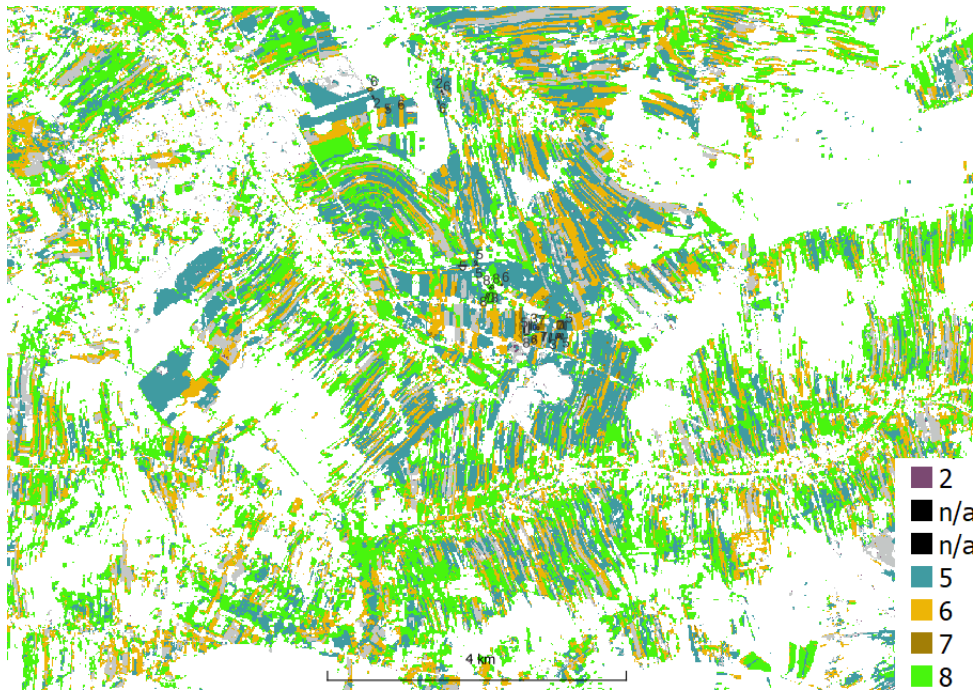
**Figure A7.** Cluster S2 0728; 1-deciduous forest, 2-maturing cereals, 3-conifer forest, 4-industrial, rocks, 5-mix forest, 6-mature cereals, urban, 7-green vegetation, 8-bare soils



**Figure A8.** Cluster S2 time series; 1-mix forest, 2-mature cereals, 3-industrial, 4-urban, 5-maturing cereals, green vegetation, 6-conifer forest, 7-mature cereals, green vegetation, 8-grass



**Figure A9.** Cluster S2 stack; 1-conifer forest, 2-grass, 3-maturing/mature cereals, 4-maturing/mature cereals, 5-deciduous forest, 6-urban, 7-residual class, 8-industrial



**Figure A10.** RF S2 stack masked, classes according Table 5, 2-soil, 5-oats, 6-winter rye, 7- wheat winter, 8-grass

**Table A1.** Object accuracy analysis Sentinel-2 cluster - class mapping

Id crop	S2 stack	S2 time series	S2 0728
2	4	2	8
2	3	2	4
2	8	3	4
2	4	7	2
2	3	2	8
2	8	3	8
5	4	7	6
5	6	4	2
5	4	7	6
5	4	7	6
5	4	7	6
5	4	7	6
5	4	7	6
5	4	7	6
5	4	7	6
5	4	7	2
6	3	2	6
6	3	2	6
6	3	2	8
6	3	2	6
6	3	2	6
6	3	4	6
6	3	2	6
7	4	2	6
7	3	2	8
7	4	7	8
7	4	7	6
8	2	5	7
8	2	5	7
8	2	5	2
8	2	5	7
8	2	5	7
OA	0.74	0.68	0.39
OA without bare soils	0.92	0.84	0.48

	Reference Class								Sum
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
(1) n/a	0	0	0	0	0	0	0	0	0
(2) 2	0	12	0	0	0	0	0	0	12
(3) n/a	0	0	0	0	0	0	0	0	0
(4) n/a	0	0	0	0	0	0	0	0	0
(5) 5	0	0	0	0	53	3	0	0	56
(6) 6	0	1	0	0	0	43	0	0	44
(7) 7	0	0	0	0	0	0	9	0	9
(8) 8	0	0	0	0	0	0	0	27	27
Sum	0	13	0	0	53	46	9	27	148

**Figure A11.** Pixel accuracy analysis, confusion matrix S2 stack RF, train, OA=0.97

		Test				
RF		2	5	6	7	8
c	2	3	0	0	3	0
l	5	6	54	4	52	0
a	6	24	0	33	2	0
s	7	0	0	0	34	0
s	8	0	0	1	0	89
	OA	0.70				

Figure A12. Pixel accuracy analysis, confusion matrix S2 stack RF, test

Table A2. Object accuracy analysis S2 stack RF, test

Id crop	S2 stack RF
2	5
2	6
2	2
5	5
5	5
5	5
5	5
5	5
5	5
6	6
6	6
6	5
7	5
7	5
8	8
8	8
8	8
OA	0.69
OA without bare soils and corn merged	1.00

429 Appendix A.1.1. Clustering - distinguish between industrial areas/mining pits and bare soils

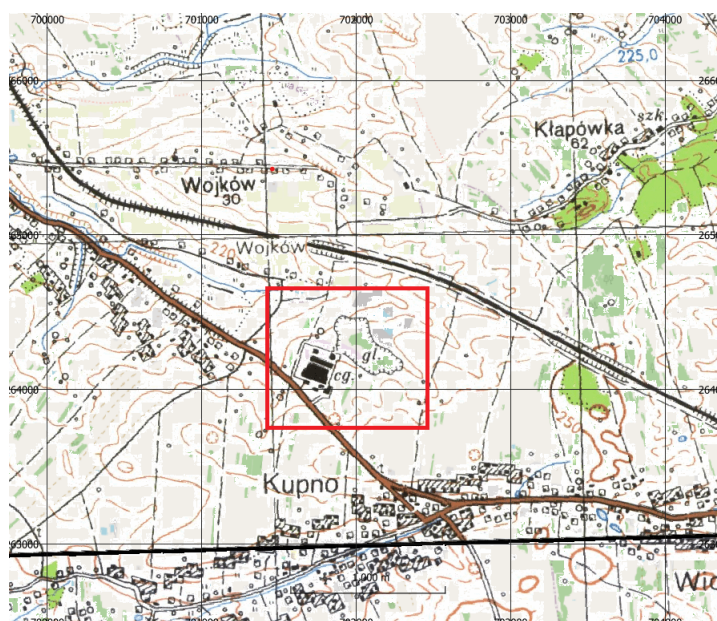
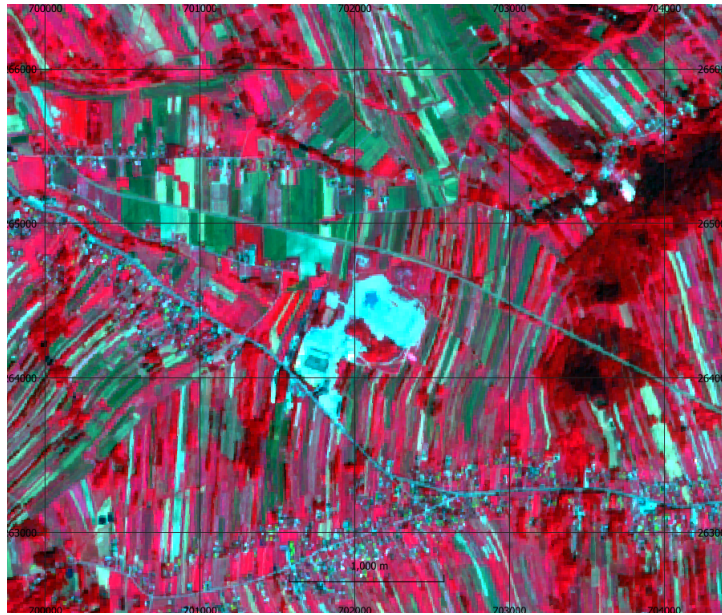
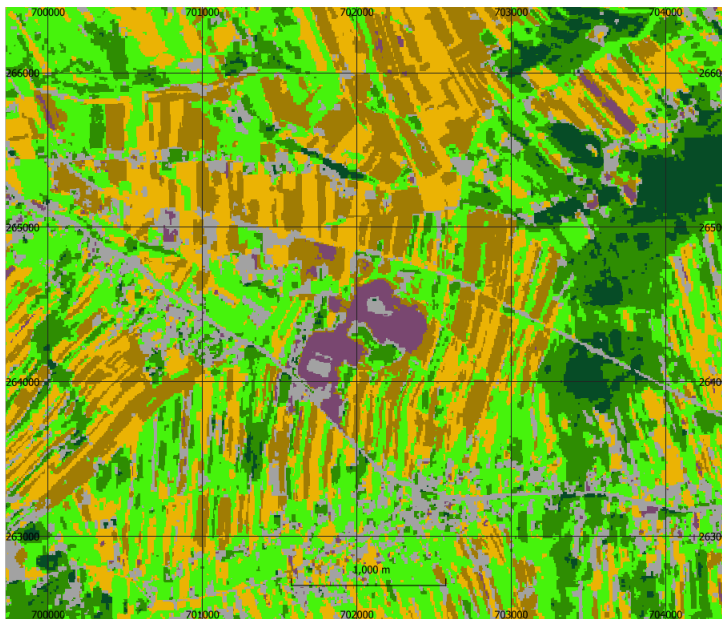


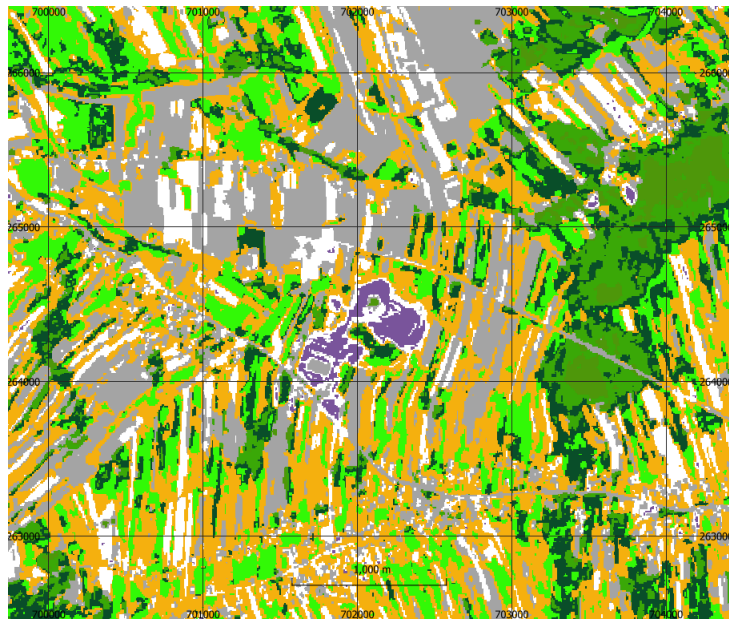
Figure A13. Topographical map (geoportal.gov.pl)



**Figure A14.** FCC S2

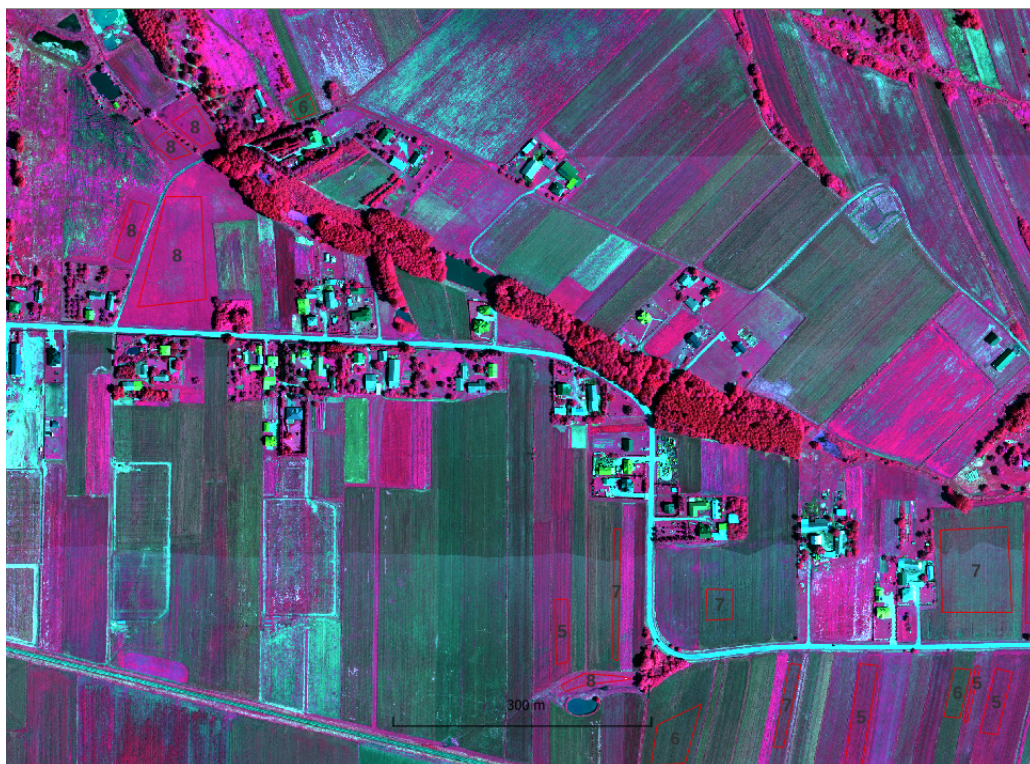


**Figure A15.** Clustering S2 stack



**Figure A16.** Clustering S20728

430 *Appendix A.2. Airborne level*



**Figure A17.** HySex FCC 1m



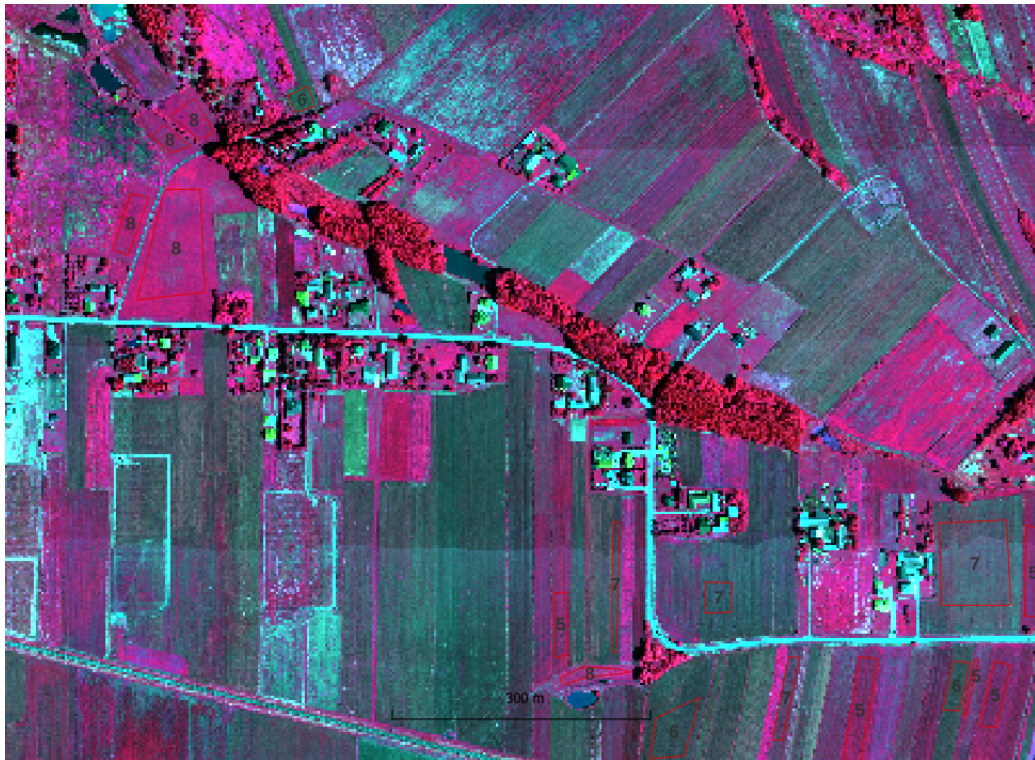


Figure A18. HySpex FCC 3m



Figure A19. HySex FCC 1m K-means

**Table A3.** Object accuracy analysis HySpex cluster - class mapping

Id crop	HySpex 1m	HySpex 3m	HySpex stack
2	6	6	4
2	1	3	2
2	7	3	2
2	1	3	2
2	1	3	2
2	7	3	2
5	5	4	1
5	5	4	1
5	5	4	1
5	5	4	1
5	5	4	1
5	5	4	1
5	5	4	1
5	5	4	1
5	5	4	1
5	5	4	1
6	6	1	6
6	5	4	1
6	3	1	6
6	6	1	6
6	6	1	6
6	6	1	6
6	6	1	6
6	6	1	6
7	6	1	6
7	6	1	6
7	6	1	6
7	6	1	6
8	5	2	3
8	5	2	3
8	5	2	3
8	5	2	3
8	5	2	3
OA	0.55	0.81	0.81
OA corn together	0.71	0.97	0.97

	Reference Class								Sum
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
<b>(1) n/a</b>	0	0	0	0	0	0	0	0	0
<b>(2) 2</b>	0	117	0	0	0	0	0	0	117
<b>(3) n/a</b>	0	0	0	0	0	0	0	0	0
<b>(4) n/a</b>	0	0	0	0	0	0	0	0	0
<b>(5) 5</b>	0	0	0	0	562	117	4	8	691
<b>(6) 6</b>	0	28	0	0	5	319	22	0	374
<b>(7) 7</b>	0	13	0	0	2	59	205	0	279
<b>(8) 8</b>	0	0	0	0	10	0	0	279	289
<b>Sum</b>	0	158	0	0	579	495	231	287	1750

**Figure A20.** Pixel accuracy assessment, confusion matrix HySpex 3m stack RE, train, OA=0.85

		Test				
RF	Id crop	2	5	6	7	8
c	2	367	0	16	16	0
l	5	5	725	131	526	43
a	6	0	4	117	17	0
s	7	0	7	133	427	0
s	8	8	4	22	0	927
<b>OA</b>	<b>0.73</b>					

**Figure A21.** Pixel accuracy assessment, confusion matrix HySpex 3m stack RF, test, OA=0.73

**Table A4.** Object accuracy analysis RF HySpex 3m stack, test

Id crop	HySpex 3m stack RF
2	2
2	2
2	2
5	5
5	5
5	5
5	5
5	5
5	5
6	6
6	5
6	7
7	5
7	5
8	8
8	8
8	8
OA	0.75
OA corn merged	1.00

## 431 References

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