ARTIFICIAL AND COMPUTATIONAL INTELLIGENCE AND KNOWLEDGE ENGINEERING

K Nearest Neighbor Classifiers and their Variations



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K-Nearest Neighbors (KNN) is a classifier that belongs to the group of lazy algorithms, i.e. those that do not create an internal representation of knowledge about the problem based on the data, but search for a solution after the sample presentation. The method require to store all training patterns for which it determines the distance

The method require to store all training patterns for which it determines the distance to the test pattern.

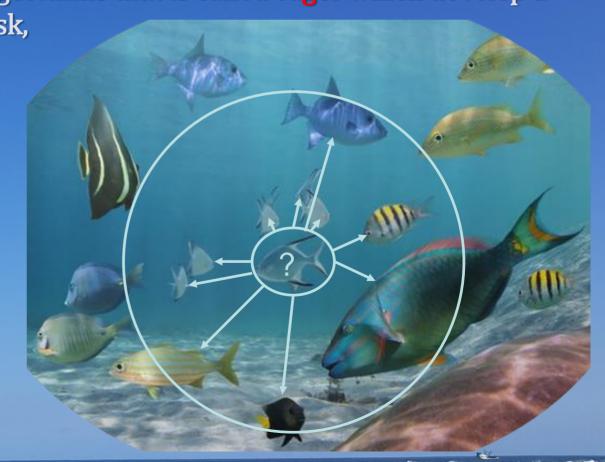
There is also another group learning algorithms that is called eager which develop a

model first, and next use it for a give task,

e.g. classification.

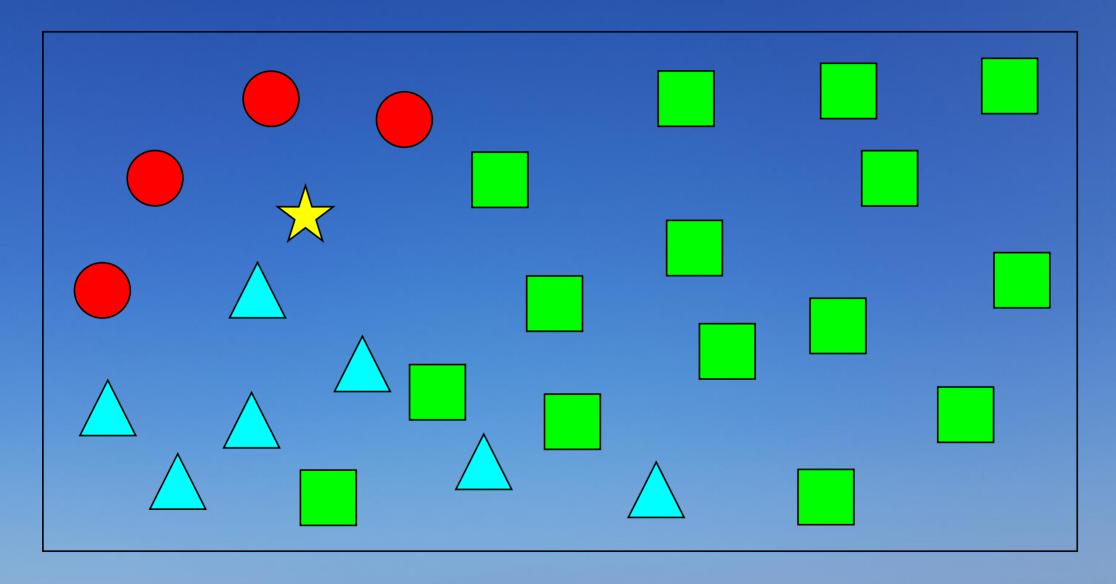
This group of methods includes all types of neural networks, fuzzy systems, decision trees, SVM, and many more.

After the training (adaptation) of such models, the training data can be deleted/removed because the classification process uses only the created model.





To which class belongs to the star: circles, triangles or squares?





The set of learning patterns (training patterns) consists of a set of pairs $\langle x^i, y^i \rangle$, where:

 x^{i} is an input vector $x^{i} = [x_{1}^{i}, ... x_{n}^{i}]$ defining objects (usually in the form of a vector or matrix), y^{i} is the predicted / related value, e.g. an index or name of the class to which the x^{i} belongs.

In the figure, we have objects belonging to three classes: circles, triangles, and squares.

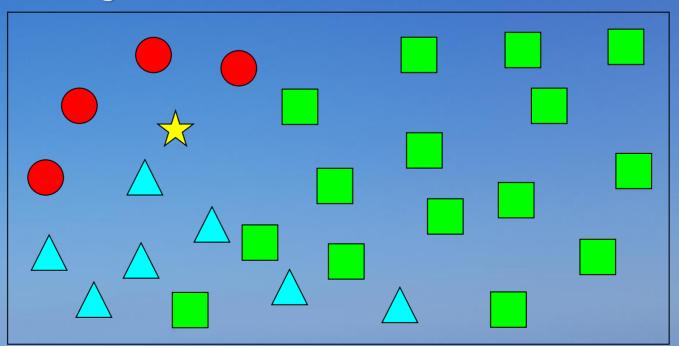
A star is a new object that we want to classify, i.e. assign it to one of the existing classes.

To which class belongs to the star: circles, triangles or squares? What intuition tells us?

For example, you can examine the distances of the star from other objects for which the class is known, using one of the known metrics, e.g. an Euclidian distance:

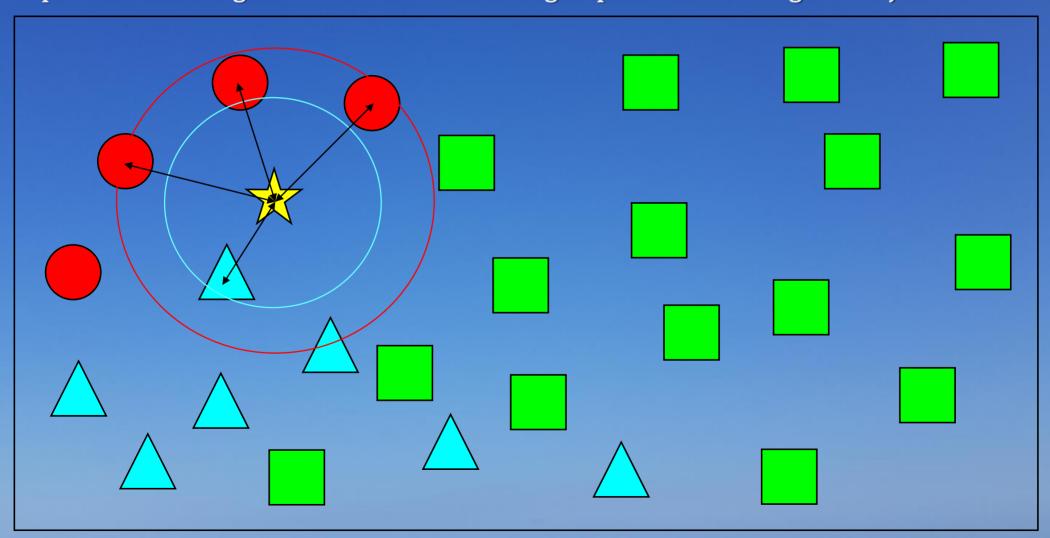
$$\|x - x^k\|_2 = \sqrt{\sum_{j=0}^{J} (x_j - x_j^k)^2}$$

and on this basis specify a class of the star.





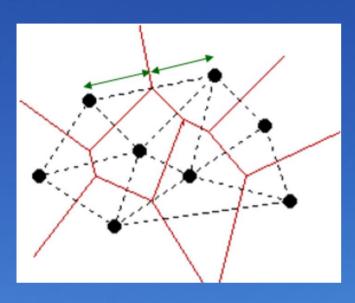
Classic K Nearest Neighbor algorithm determines k neighbors to which the classified objects is the closest in the selected metric (e.g. Euclidean), and then determines the classification result on the basis of the majority of votes of these k nearest neighbors taking into account which class is represented the largest number of times in the group of k nearest neighbor objects.

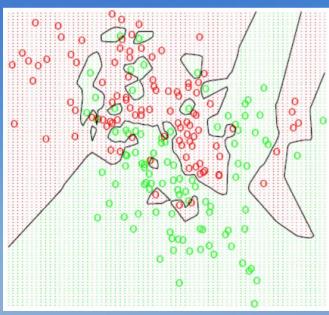


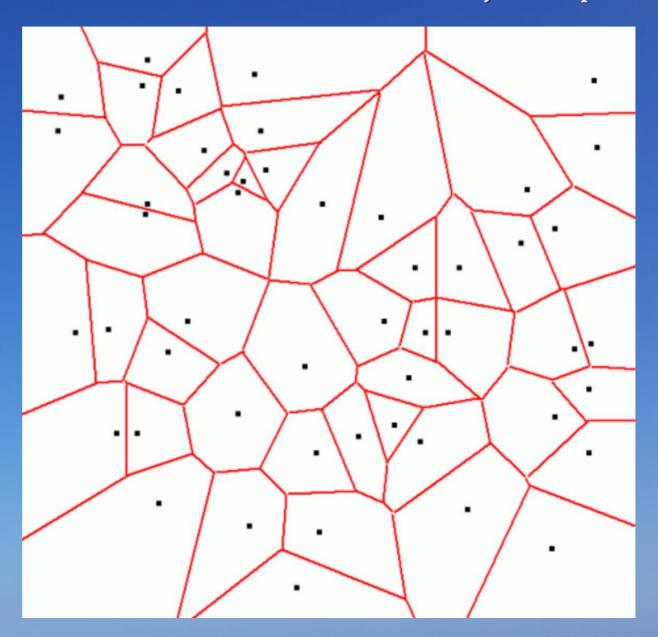
K Nearest Neighbor Classifying Regions



Voronoi diagrams are used to illustrate the areas of attraction to the nearest objects in space.







K Nearest Neighbor Classifying Regions



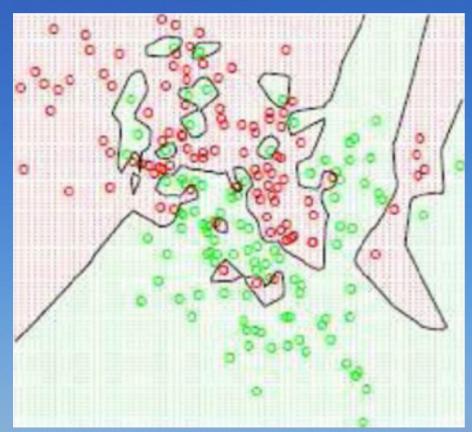
K Nearest Neighbor algorithm gives different results for different K.

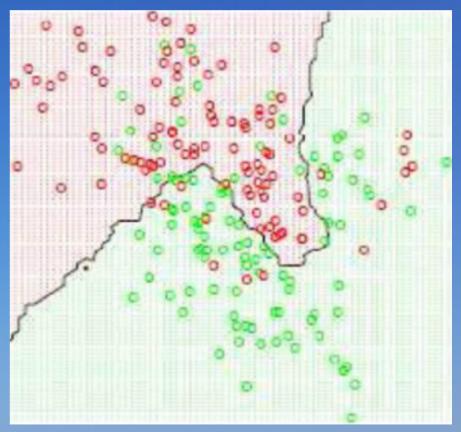
The areas of attraction determine the result of the classification.

Larger K values allow smoothing of the dividing areas, removing noise and artifacts (can better generalize), but also lead to errors in the classification of thinner patterns.

$$K = 1$$







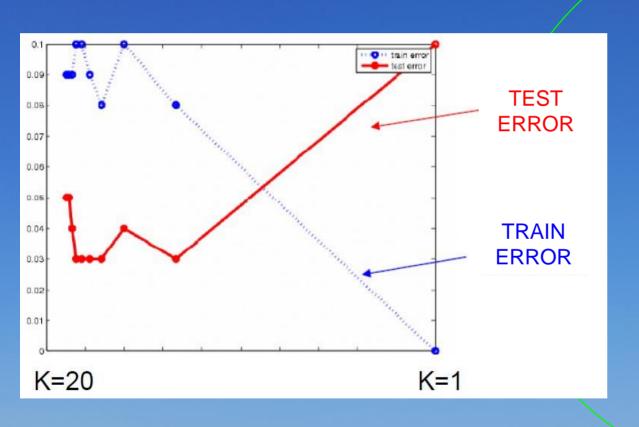
(from Hastie, Tibshirani and Friedman (Elements of Statistical Learning)

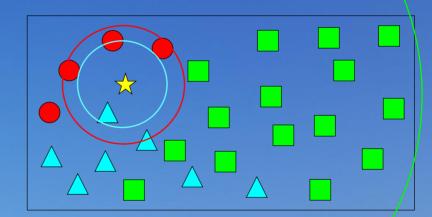
How to choose K?



If we select K = N, where N is the number of all training objects (patterns), then the result of the classification will always be determined by the most frequent class:

The train error is always 0 for K = 1.



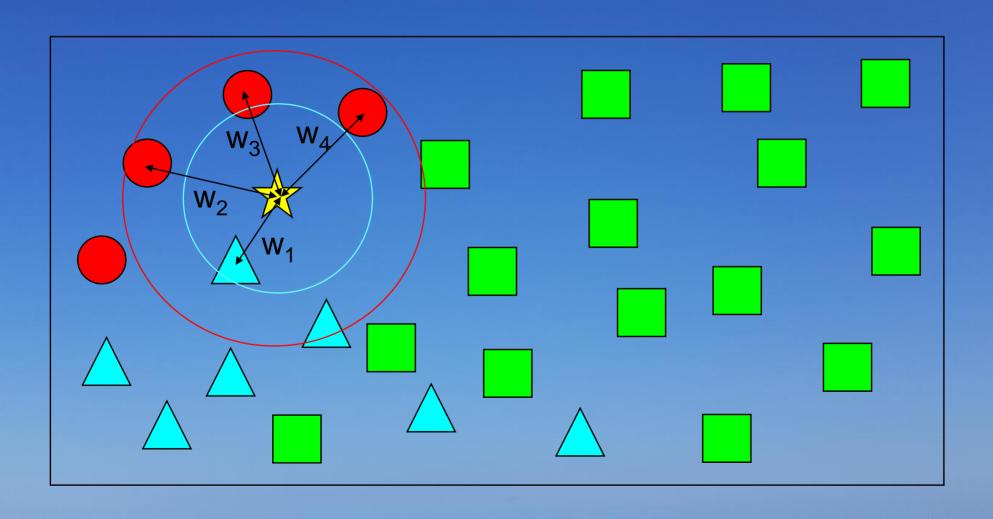


Variations of KNN



The Distance Weighted Nearest Neighbors method leads to voting on the star classification taking into account weighted distances to k nearest neighbors for the selected metric.

Therefore, the closest objects (patterns) will have the greatest impact on the classification result.



Drawbacks of KNN Classifiers

KNN classifiers are robust to noisy training data and very easy to implement, but they are:

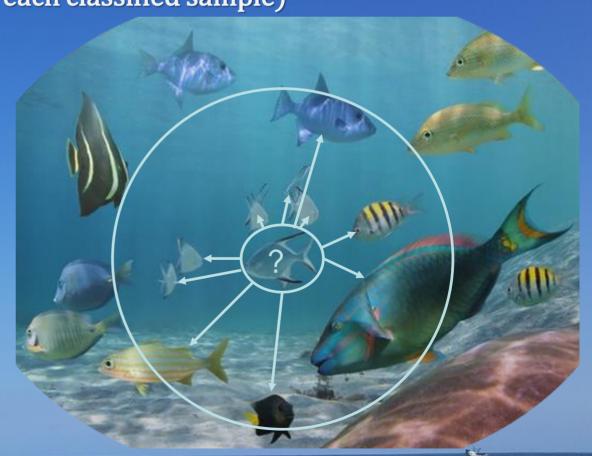
- » Lazy because they do not create a computational model,
- High computational cost because they require to compute the distance of each classified sample to all training data (linear computational complexity for each classified sample)

while other classifiers usually have constant computational complexity when classifying samples.

» The method is sensitive to the variability of patterns representing different classes.

Therefore, KNN cannot be efficiently used to Big Data!





Why Storing Data in the Tables?

We mostly use tables to store, organize and manage data

in computer science:

nce.	ATTRIBUTES				
SAMPLE	SEPAL	SEPAL	PETAL	PETAL	CLASS
OBJECTS	LENGTH	WIDTH	LENGTH	WIDTH	LABEL
01	5.4	3.0	4.5	1.5	Versicolor
02	6.3	3.3	4.7	1.6	Versicolor
03	6.0	2.7	5.1	1.6	Versicolor
04	6.7	3.0	5.0	1.7	Versicolor
O5	6.0	2.2	5.0	1.5	Virginica
06	5.9	3.2	4.8	1.8	Versicolor
07	6.0	3.0	4.8	1.8	Virginica
08	5.7	2.5	5.0	2.0	Virginica
09	6.5	3.2	5.1	2.0	Virginica

However, common relationships like minima, maxima, identity, similarity, neighborhood, number of duplicates must be found in loops that search for them and evaluate various conditions. The more data we have, the longer time requirements we face!

What can be done to achieve better efficiency?



Objectives of the Presented Research



Associative Graph Data Structures (AGDS) can be easily and quickly created for any data and allow for:

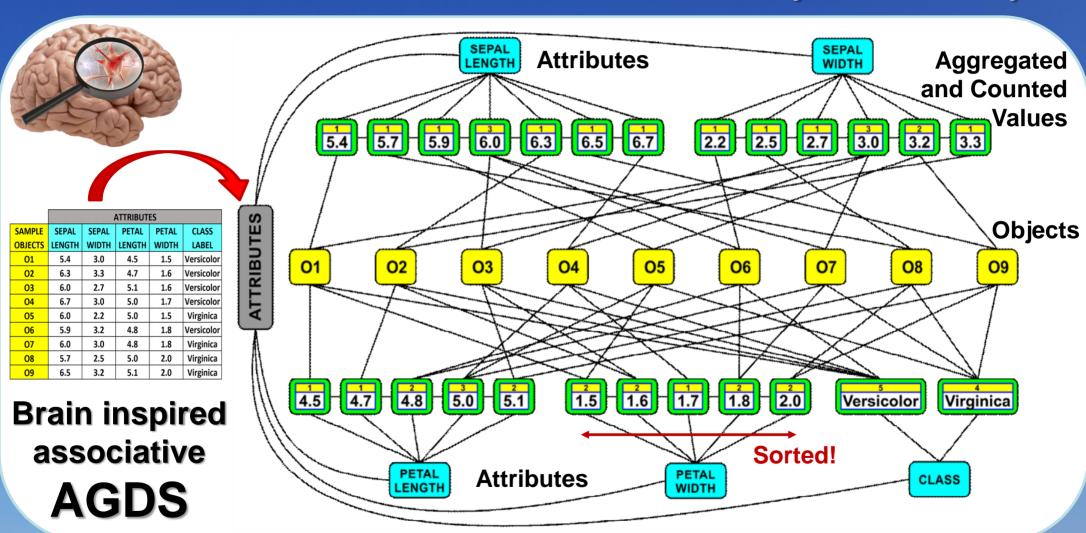
- » Rising the computational efficiency of kNN classification typically tens or hundreds of times in comparison to the classic kNN approaches.
- » Transforming lazy KNN classifiers to eager KNN+AGDS classifiers.
- » Defining an efficient computational model for KNNs.
- » Aggregating duplicates of values defining training patterns and their defining attribute values smartly, saving time and memory.
- » Avoiding looking through all training data during the classification.
- » Finding k nearest neighbors always in constant time because neighbors are searched locally only in the nearest neighborhood.
- » Making KNN suitable and efficient for the classification of Big Data!



Associative Graph Data Structure (AGDS)



AGDS links related data of various kinds horizontally and vertically:

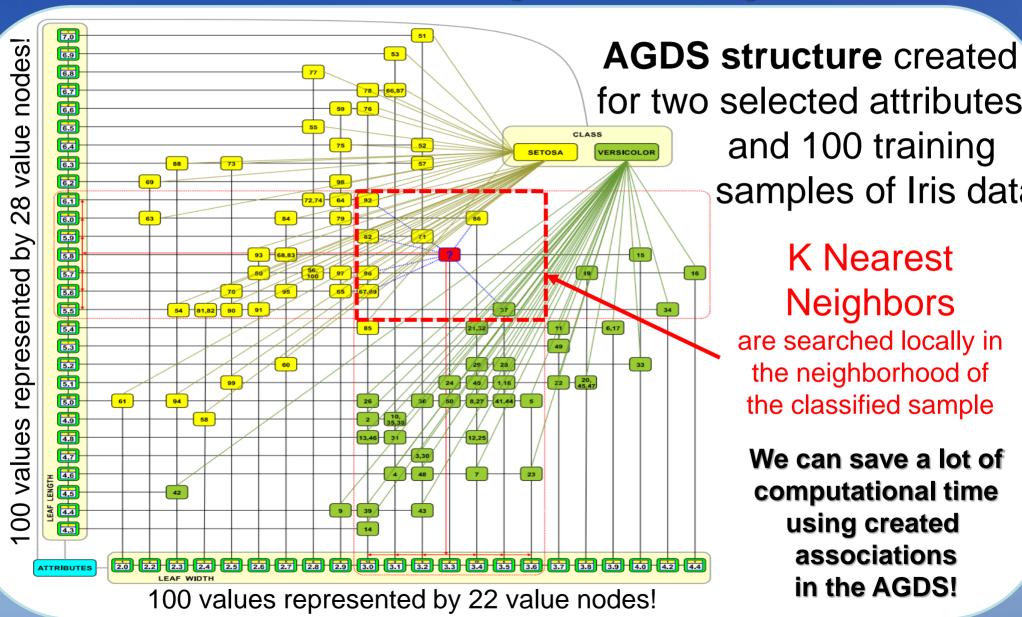


The connections represent various relations between AGDS elements like similarity, proximity, neighborhood, order, definition etc.

K Nearest Neighbors using AGDS Structures 🎘



The search is limited to a small region where neighbors are found:



for two selected attributes and 100 training samples of Iris data

> **K** Nearest **Neighbors** are searched locally in the neighborhood of the classified sample

We can save a lot of computational time using created associations in the AGDS!

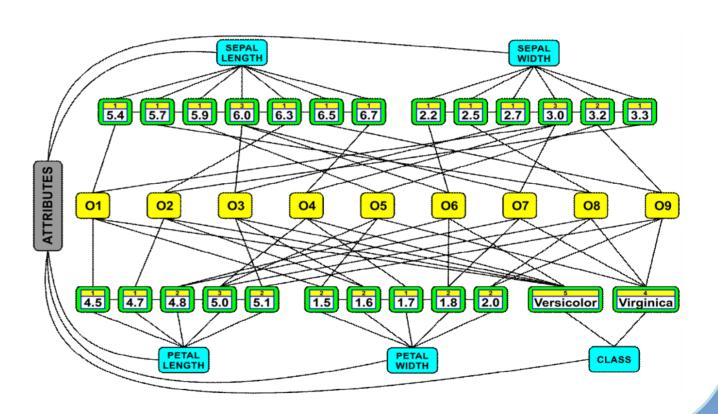
Rank table

1.

2.

3.





1. Create an empty k-row rank table that will consist of the pointers to the k nearest neighbors and their distances to the classified object.

Rank table

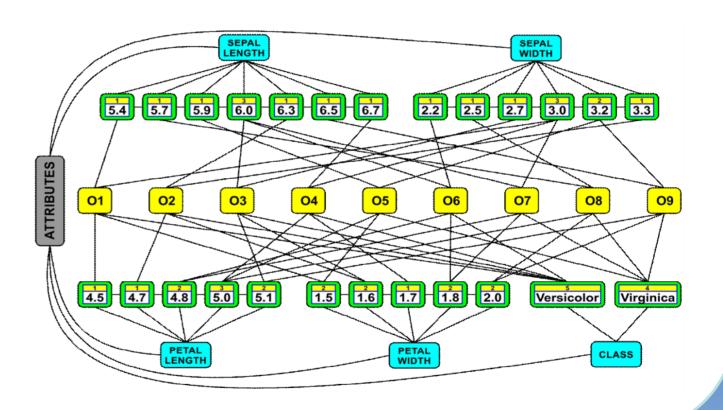
1.

2.

3.

Classify [5.7;)2.5; 4.8; 1.6]





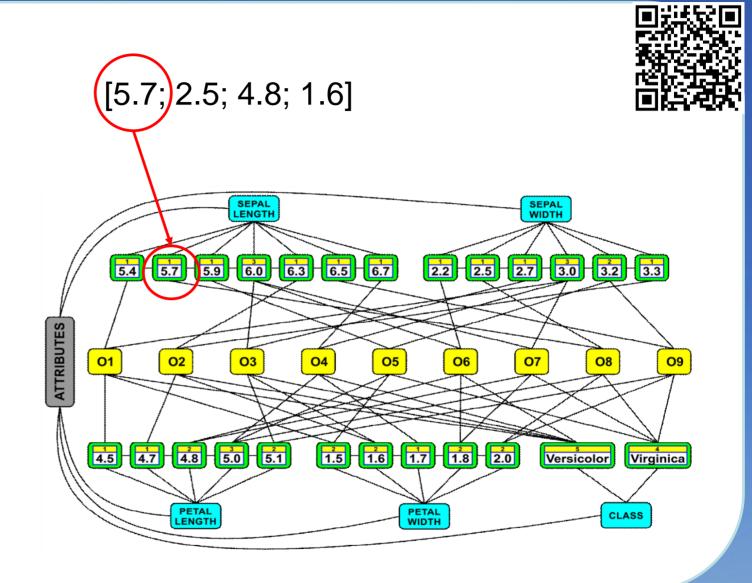
2. For the first attribute value of the classified object, find the closest attribute value in the constructed AGDS structure.

Rank table

1.

2.

3.



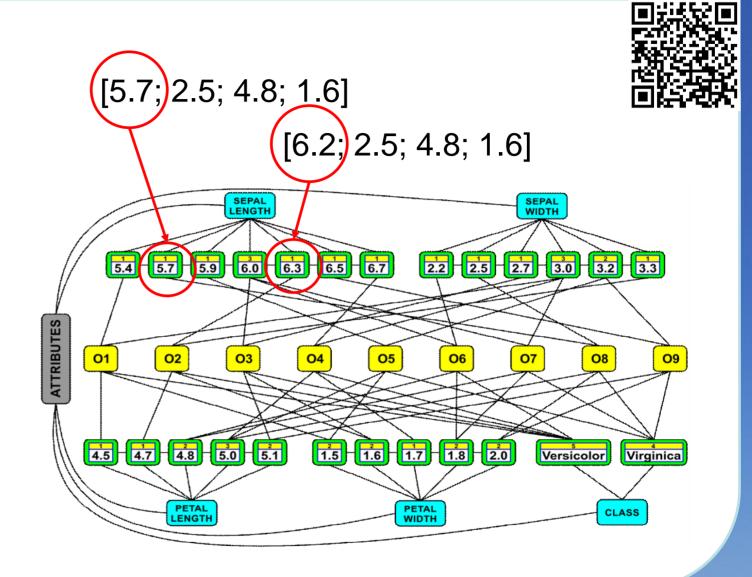
3. When the first attribute value of the classified object **is represented** by an existing value node of the AGDS structure, go to step 5, else go to step 4.

Rank table

1.

2.

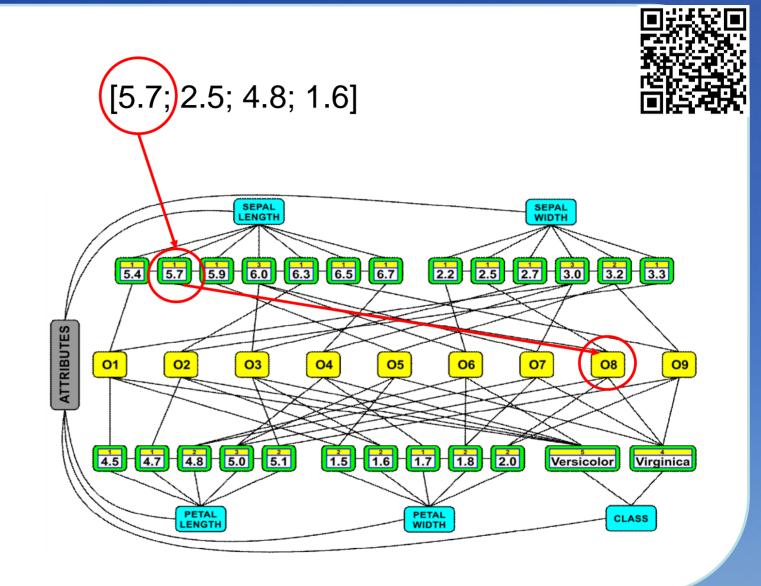
3.



4. When the first attribute value of the classified object **is not represented** by any value node of this first attribute, then the closest value is represented by the value node representing the nearest lower or the nearest bigger value or both. Choose the nearest value or one of the nearest values and go to step 5.

Rank table

- 1.
- 2.
- 3.



5. **Go along all edges** of the selected value node to all connected object nodes and perform step 6 for all these object nodes.

Rank table

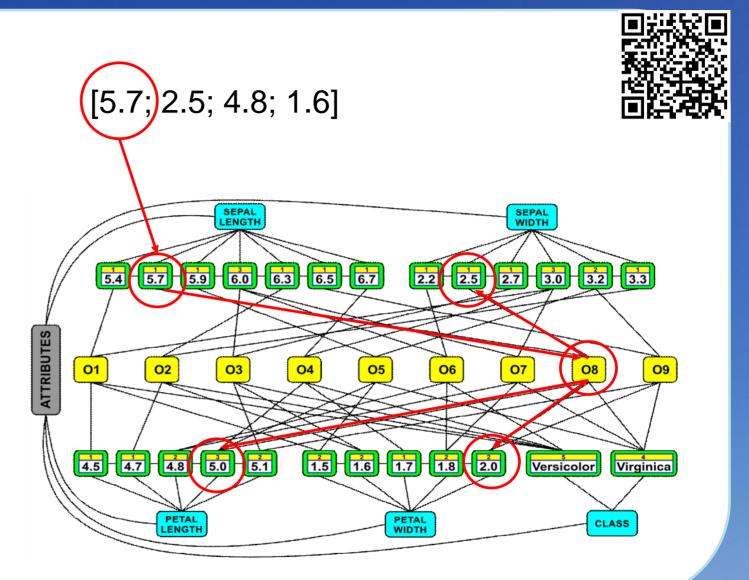
- 1.
- 2.
- 3.

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

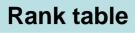
$$d_m(x, y) = \sum_{i=1}^n |x_i - y_i|$$
 (2)

$$d_e(x, y) = 0.45$$

$$d_m(x,y) = 0.60$$



6. For the reached object node, go to all connected value nodes, except the value node from which this object node was reached, and **compute the distance** according to (1) or (2). Next, try to insert this object node to the rank table in step 7.



1.

2

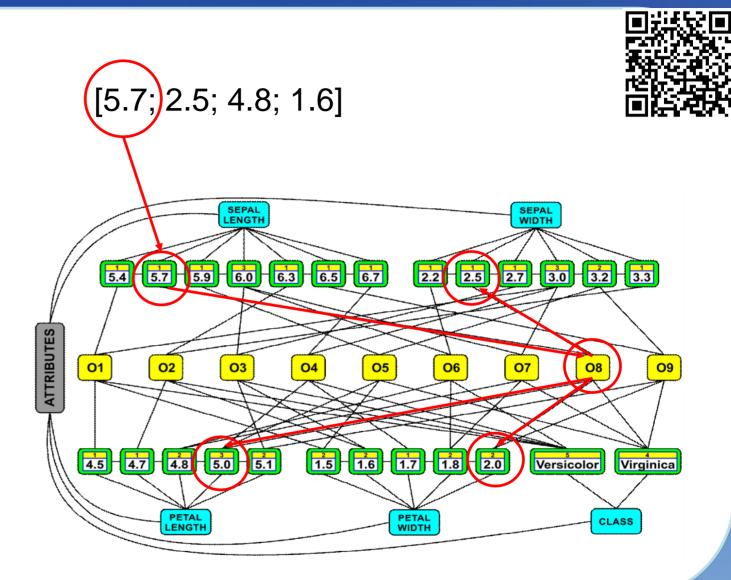
3.

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

$$d_m(x,y) = \sum_{i=1}^{n} |x_i - y_i|$$
 (2)

$$d_e(x, y) = 0.45$$

$$d_m(x,y) = 0.60$$



7. If the k-th row of the rank table is empty or the computed distance is shorter than the distance to the object node stored in the last (k-th) row of the rank table, go to step 8, else go to step 9.

Rank table

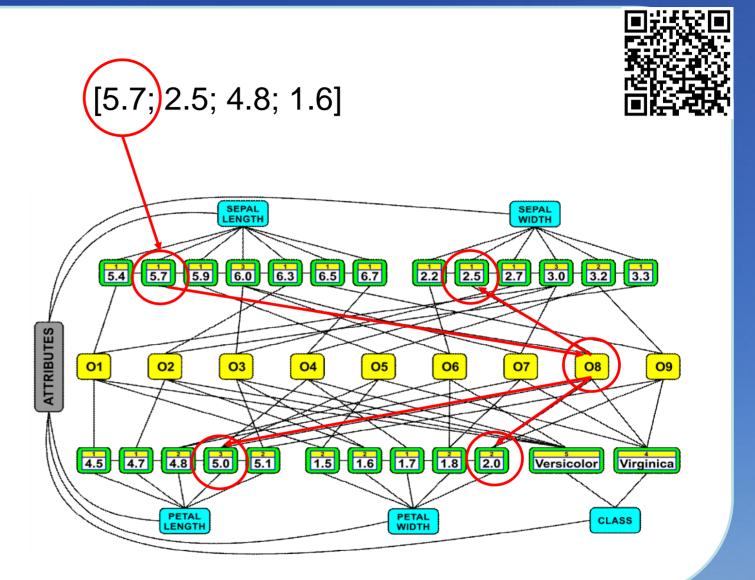
- 1.
- **º** 0.45
- 2.
- 3.

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

$$d_m(x, y) = \sum_{i=1}^{n} |x_i - y_i|$$
 (2)

$$d_e(x, y) = 0.45$$

$$d_m(x, y) = 0.60$$



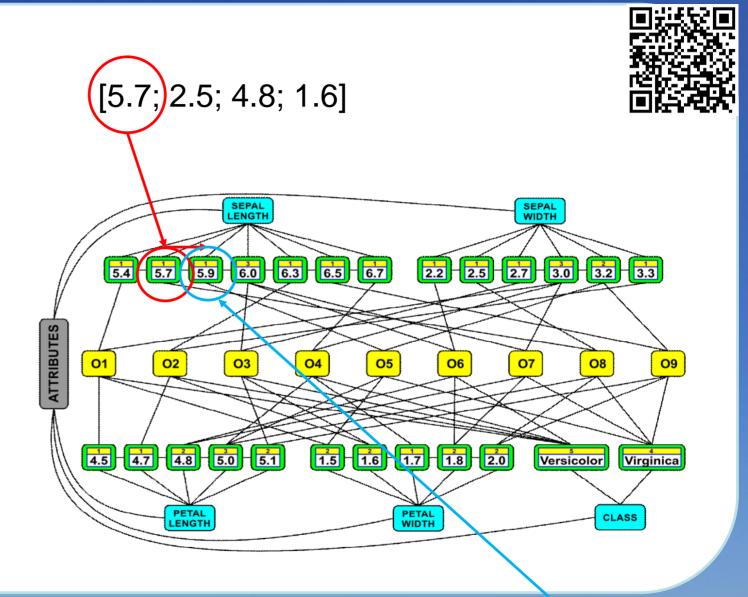
8. Insert this node and its distance to the rank table in the ascendant order (using (half) insertion sort algorithm), and if necessary (if the table is overfilled) remove the last (i.e. the most distant) object node together with its distance from this table.

Rank table

- 1.
- **0.45**
- 2.
- 3.

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

$$d_m(x, y) = \sum_{i=1}^{n} |x_i - y_i|$$
 (2)



Rank table

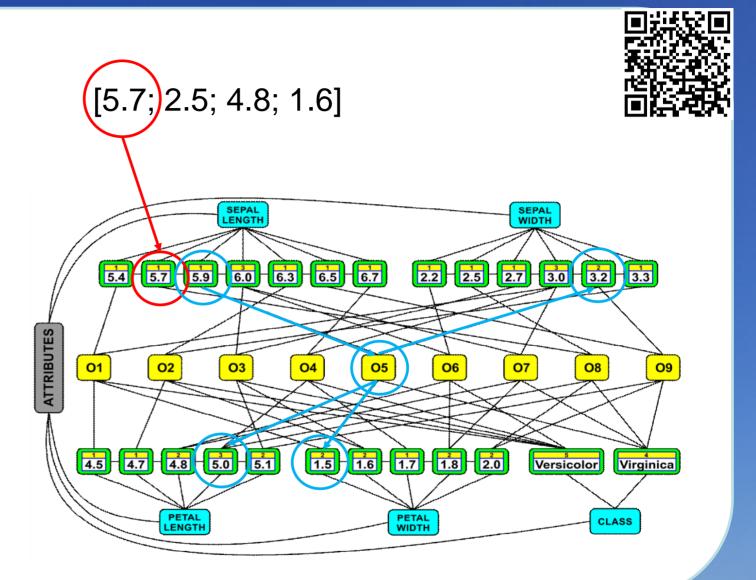
- 1.
- **º** 0.45
- 2.
- 3.

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

$$d_m(x, y) = \sum_{i=1}^n |x_i - y_i|$$
 (2)

$$d_e(x, y) = 0.48$$

$$d_m(x, y) = 0.90$$



Rank table

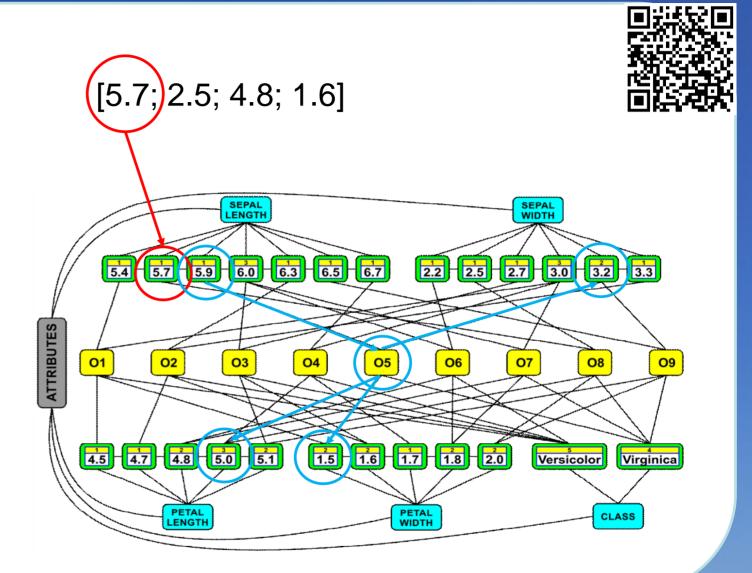
- 1.
- **º** 0.45
- 2.
- 05 0.48
- 3.

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

$$d_m(x, y) = \sum_{i=1}^n |x_i - y_i|$$
 (2)

$$d_{e}(x, y) = 0.48$$

$$d_m(x, y) = 0.90$$



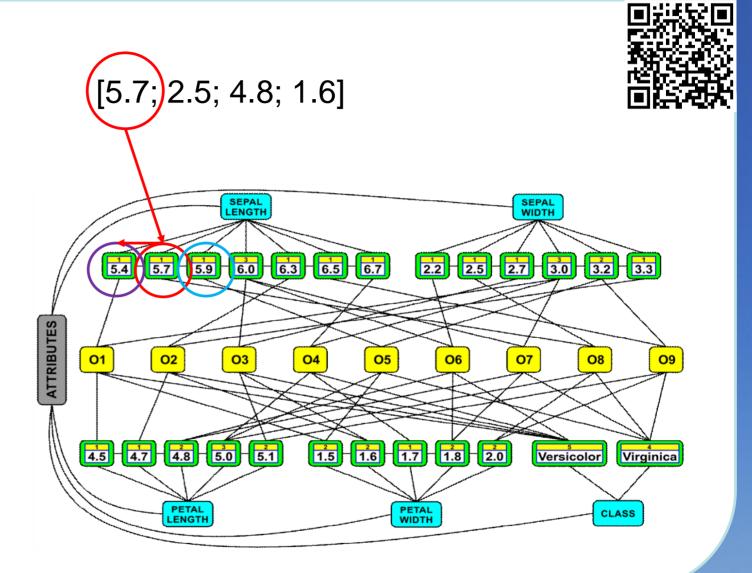
Rank table

- 0.45

- 05 0.48
- 3.

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

$$d_m(x, y) = \sum_{i=1}^{n} |x_i - y_i|$$
 (2)



Rank table

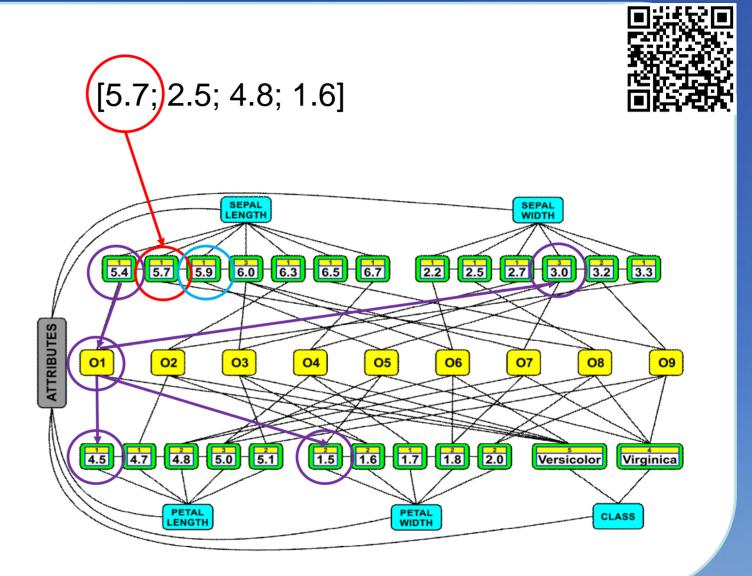
- 1. 08 0.45
- 2. **o** 0.48
- 3. 01 0.66

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

$$d_m(x, y) = \sum_{i=1}^n |x_i - y_i|$$
 (2)

$$d_e(x, y) = 0.66$$

$$d_m(x, y) = 1.2$$

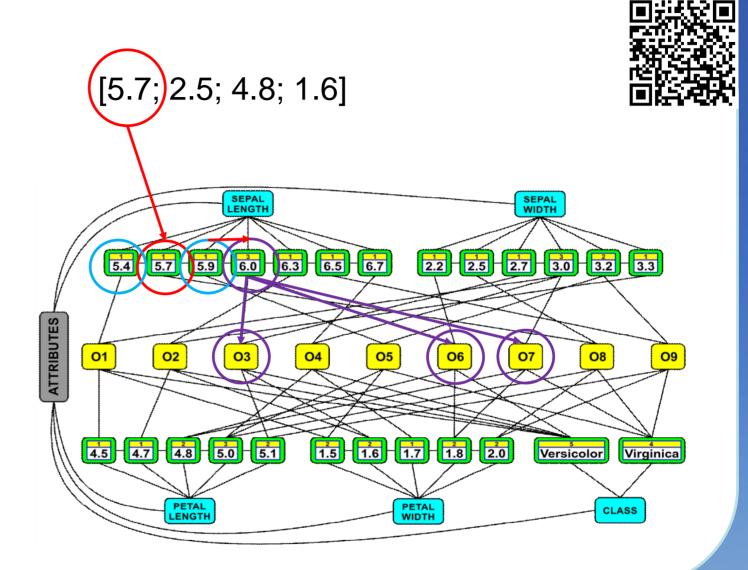


Rank table

- 1. 0.45
- 2. 05 0.48
- 3. 01 0.66

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

$$d_m(x, y) = \sum_{i=1}^{n} |x_i - y_i|$$
 (2)



Rank table

- 1. 08 0.45
- 2. **03** 0.47
- 3. 05 0.48
 - 01 0.66

$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

$$d_m(x, y) = \sum_{i=1}^n |x_i - y_i|$$
 (2)

$$d_e(x,y) = 0.47$$

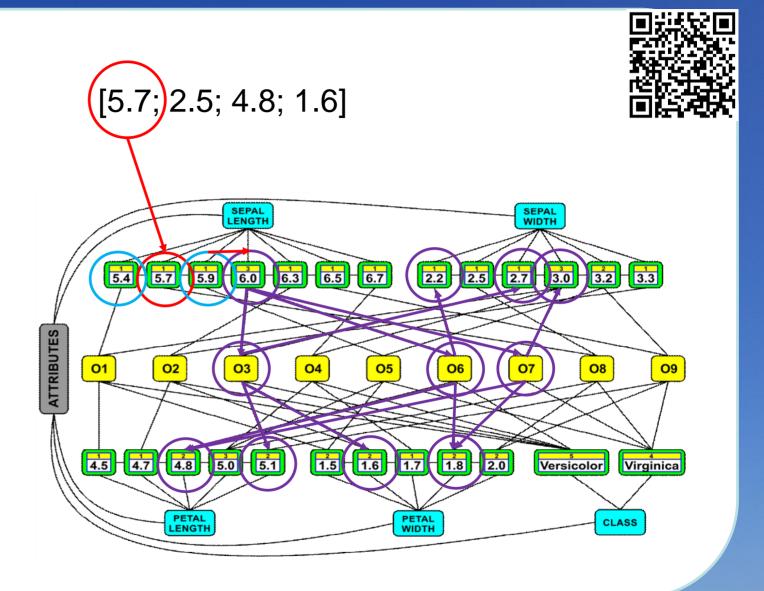
$$d_m(x,y) = 0.8$$

$$d_e(x,y) = 0.75$$

$$d_m(x,y) = 1.1$$

$$d_e(x, y) = 0.62$$

$$d_m(x,y) = 1.0$$



Rank table

- 1. 08 0.45
- 2. <mark>03</mark> 0.47
- 3. 05 0.48

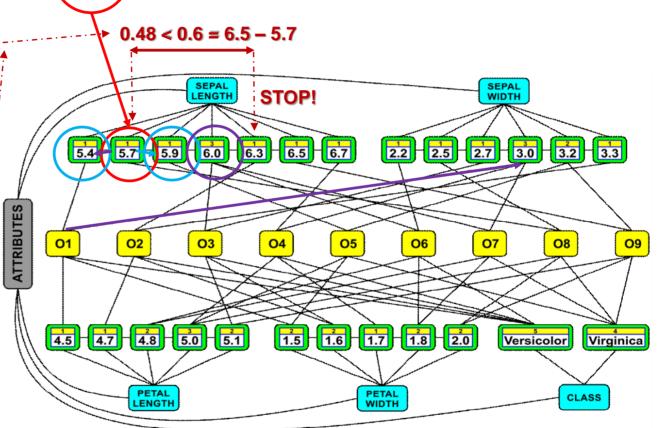
$$d_e(x, y) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
 (1)

K=3 Nearest

Neighbors

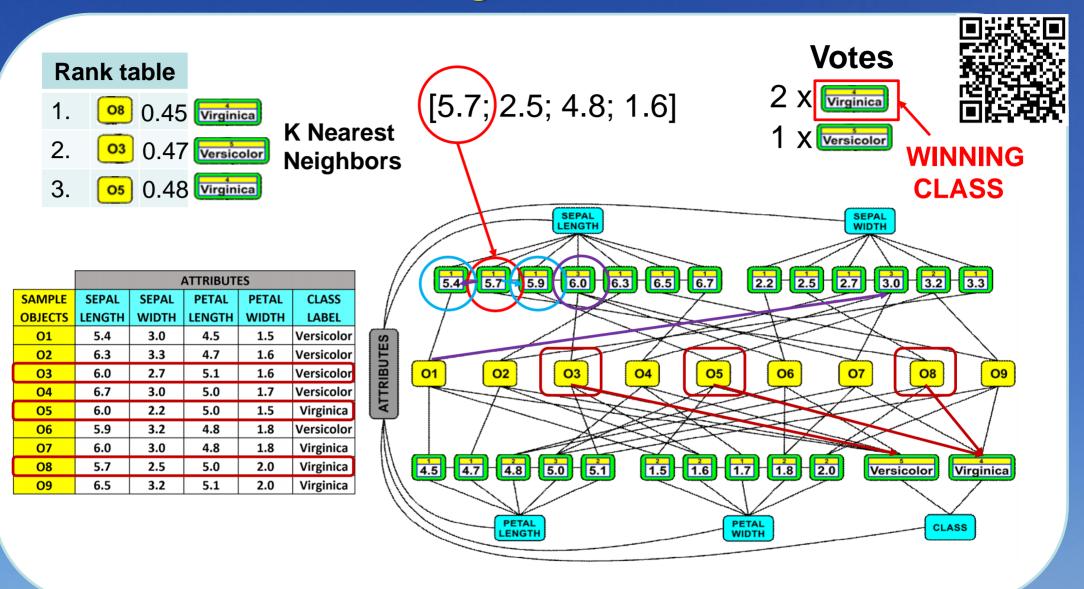
$$d_m(x, y) = \sum_{i=1}^{n} |x_i - y_i|$$
 (2)





STOP CONDITON

10. Next, go to step 5 **if the difference between these two values is less than the distance of the last object node stored in the rank table**; else finish the algorithm because the rank table already contains k nearest neighbors together with their distances to the classified object.



11. Count votes and check which class has the most votes to establish the winning class to get the KNN classification.

Comparison of Results and Efficiencies



Time Efficiency:

Table 2. Comparison of classification time using kNN and kNN+AGDS.

Dataset	Number	Number	kNN	kNN+AGDS	kNN+AGDS
	of	of	classification	classification	construction
	instances	attributes	time [ms]	time [ms]	time [ms]
Iris	150	4	0.10	0.08	1
Banknote	1372	4	0.29	0.09	5
HTRU2	17898	8	3.14	0.09	134
Shuttle	43500	9	7.67	1.06	278
Credit Card	30000	23	8.69	1.07	499
Skin	245057	3	26.87	1.10	683
Drive	58509	48	46.15	1.24	2224
HEPMASS	1048576	28	362.32	1.41	31214

Classification time for KNN+AGDS is almost constant regardless of the size of the used training data sets.

Comparison of Results and Efficiencies



Time Efficiency as a function of the number of instances and attributes:

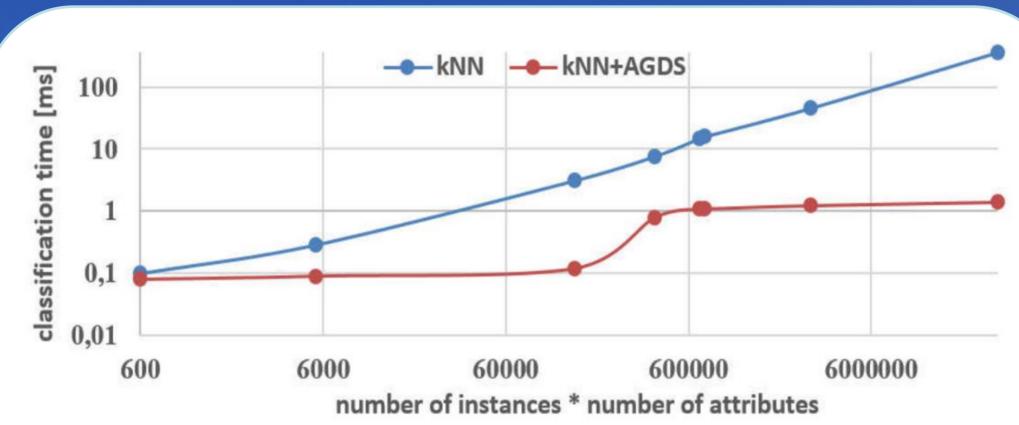


Fig. 2. Classification time as a function of the number of the instances multiplied by the number of attributes, i.e. the number of data stored in the training data tables.

The size of training data and the number of attributes do not influence KNN+AGDS efficiency as is in the classic KNN classifiers.

Comparison of Results and Efficiencies



Memory Efficiency:

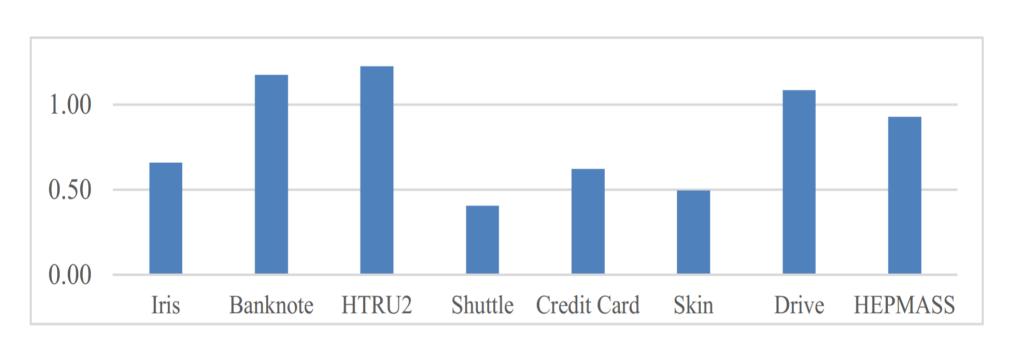


Fig. 3. Memory usage ratio of using AGDS structures to arrays for various training data.

KNN+AGDS classifiers also usually **use less memory** than KNN classifiers due to the number of duplicated values in training data.

Conclusions and Important Remarks



- ✓ AGDS structures provide <u>high-speed access to neighbor values</u> and <u>similar objects</u> because of the aggregations and ordering of all values simultaneously for all attributes.
- ✓ AGDS stores data together with the most common <u>vertical and</u> <u>horizontal relations</u>, so there is no need to loop and search for these relations wasting resources.
- ✓ Typical operations on the AGDS structures take <u>logarithmic time of</u> <u>the number of unique attribute values</u> that are operated, but the expected complexity on real data containing many duplicates is usually <u>constant</u>.
- ✓ <u>The efficiency</u> of the presented classification algorithm using AGDS structures grows with the amount of the training data.

Algorithm, pseudocode ... can be found in the paper



Associative Graph Data Structures Used for Acceleration of K Nearest Neighbor Classifiers

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Abstract. This paper introduces a new associative approach for significant acceleration of k Nearest Neighbor classifiers (kNN). The kNN classifier is a lazy method, i.e. it does not create a computational model, so it is inefficient during classification using big training data sets because it requires going through all training patterns when classifying each sample. In this paper, we propose to use Associative Graph Data Structures (AGDS) as an efficient model for storing training patterns and their relations, allowing for fast access to nearest neighbors during classification made by kNNs. Hence, the AGDS significantly accelerates the classification made by kNNs, especially for large and huge training datasets. In this paper, we introduce an Associative Acceleration Algorithm and demonstrate how it works on this associative structure substantially reducing the number of checked patterns and quickly selecting k nearest neighbors for kNNs. The presented approach was compared to classic kNN approaches successfully.

```
FindNextClosest(val)
if (valueNodeLessClosest == null) and (valueNodeGreaterClosest == null)
  valueNodeLessClosest = BinSearchEqualOrLess(val)
   if (valueNodeLessClosest == null)
   then valueNodeGreaterClosest = First
       return valueNodeGreaterClosest
  else if (valueNodeLessClosest.Val == val)
     then valueNodeGreaterClosest = valueNodeLessClosest
          return valueNodeGreaterClosest
     else if (valueNodeLessClosest.IsNotMax)
           then valueNodeGreaterClosest = valueNodeLessClosest.Next
               if (val-valueNodeLessClosest.Val < valueNodeGreaterClosest.Val-
               then return valueNodeLessClosest
               else return valueNodeGreaterClosest
           else valueNodeGreaterClosest = null
                return valueNodeLessClosest
else if (val - valueNodeLessClosest.Val < valueNodeGreaterClosest.Val - val)
  then if (valueNodeLessClosest.IsNotMin)
        then valueNodeLessClosest = valueNodeLessClosest.Prev
        else if (valueNodeGreaterClosest.IsNotMax)
             then valueNodeGreaterClosest = valueNodeGreaterClosest.Next
             else return null
  else if (valueNodeGreaterClosest.IsNotMax)
        then valueNodeGreaterClosest = valueNodeGreaterClosest.Next
        else if (valueNodeLessClosest.IsNotMin)
             then valueNodeLessClosest = valueNodeLessClosest.Prev
             else return null
   if (val - valueNodeLessClosest.Val < valueNodeGreaterClosest.Val - val)
   then return valueNodeLessClosest
  else return valueNodeGreaterClosest
```

A. Horzyk and K. Gołdon, Associative Graph Data Structures Used for Acceleration of K Nearest Neighbor Classifiers, In: 27th International Conference on Artificial Neural Networks (ICANN 2018), Springer-Verlag, LNCS 11139, pp. 648-658, 2018.

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- 3. R. Rojas, Neural Networks, Springer-Verlag, Berlin, 1996.
- 4. A. Horzyk, Associative Graph Data Structures with an Efficient Access via AVB+trees, In: 2018 11th International Conference on Human System Interaction (HSI), 2018, IEEE Xplore, pp. 169 175.
- 5. A. Horzyk and K. Gołdon, Associative Graph Data Structures Used for Acceleration of K Nearest Neighbor Classifiers, In: 27th International Conference on Artificial Neural Networks (ICANN 2018), Springer-Verlag, LNCS 11139, pp. 648-658, 2018.





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