



# No Reservations Required: Achieving Fairness between Wi-Fi and NR-U with Self- Deferral Only

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# Scope



MOTIVATION



DEVELOPED MODELS  
AND TESTBED



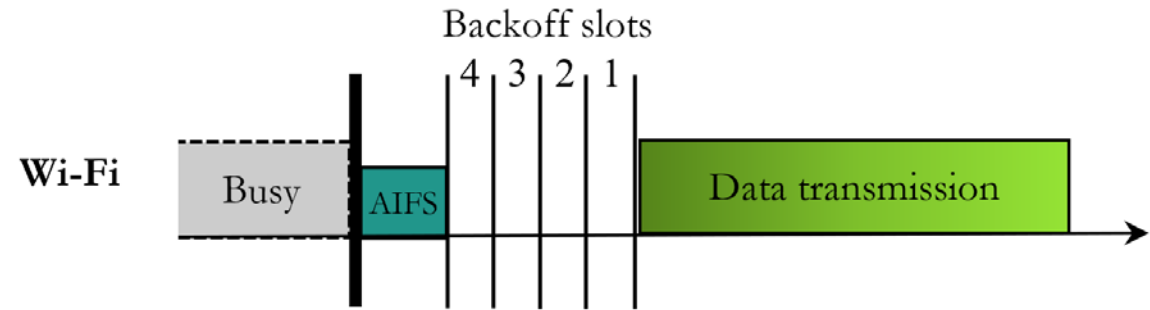
IMPORTANT  
OBSERVATIONS



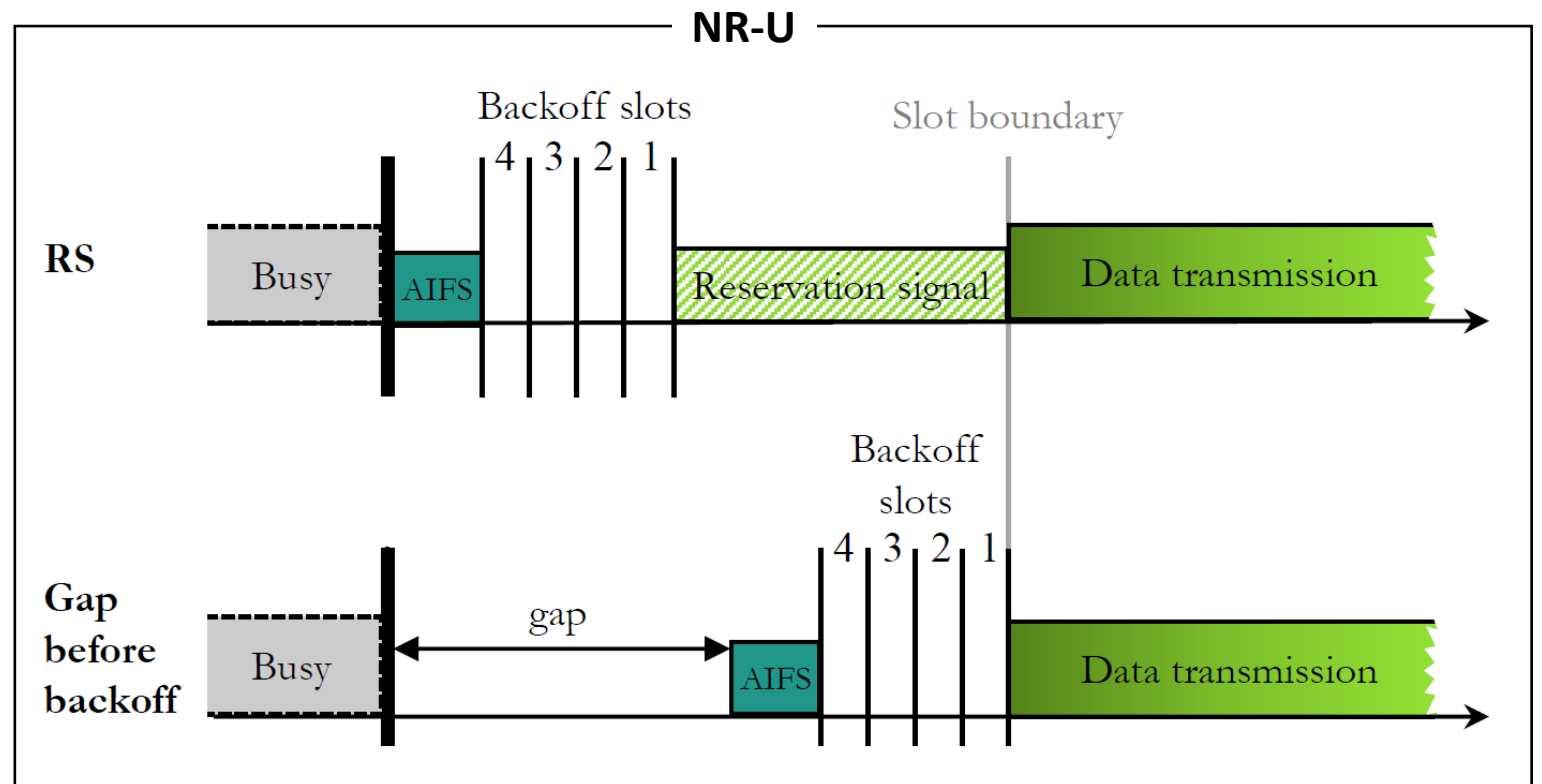
CONCLUSIONS

# Motivation

- Improved coexistence between Wi-Fi (random channel access) and NR-U (scheduled channel access)
- Fair channel access in unlicensed bands
- Improved usage of channel resources



$$\text{Backoff Time} = \text{RandInt}(0, \text{CW}) \times \text{SlotTime}$$

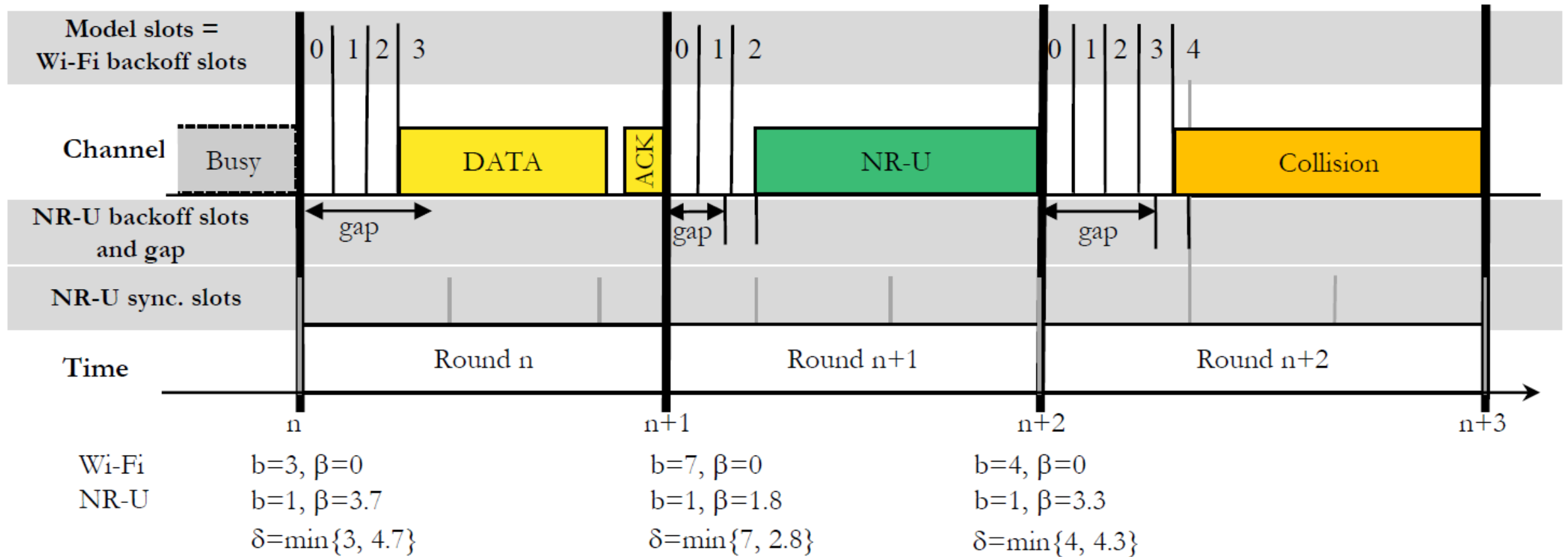


# Models & Testbed

- **Analytical model** for performance evaluation
  - Evolution of the backoff counter value at the end of each transmission/collision event delimiting the contention round
- **Simulation model** for performance evaluation & analytical model validation
  - Monte Carlo simulator developed in Matlab
- **Testbed** for simulator validation
  - SDR devices
- **Multi-input multi-output regression model** for performance prediction
  - Predicting settings resulting in fair channel access
  - Trained with data from our Matlab simulator

# Backoff evolution process

Coexistence scenario: 1 x Wi-Fi + 1 x NR-U



**b** – backoff counter, **beta** – additional gap interval, **delta** – idle time required for starting a new transmission, channel sensing time = 0.5 x backoff slot

# Simulator

- Existing simulators lack the gap-based approach
- Assumptions
  - No hidden nodes, network saturation, downlink traffic
- Iterations over consecutive contention rounds
- Contention rounds consists of
  - A waiting period
  - A transmission period
- The simulator logic determines which nodes win the contention
  - Wi-Fi node (lowest backoff value)
  - NR-U node (lowest backoff and gap value)
  - Set of transmitting nodes determines successful transmissions/collisions

# Measured metrics

- Normalized airtime (A)
  - Total channel occupancy time normalized to the total simulation time
- Fairness
  - Jain's fairness index
- **Joint airtime-fairness (F)**
  - Product of the aggregate normalized airtime of all nodes and the fairness

# Default simulation parameters (Downlink)

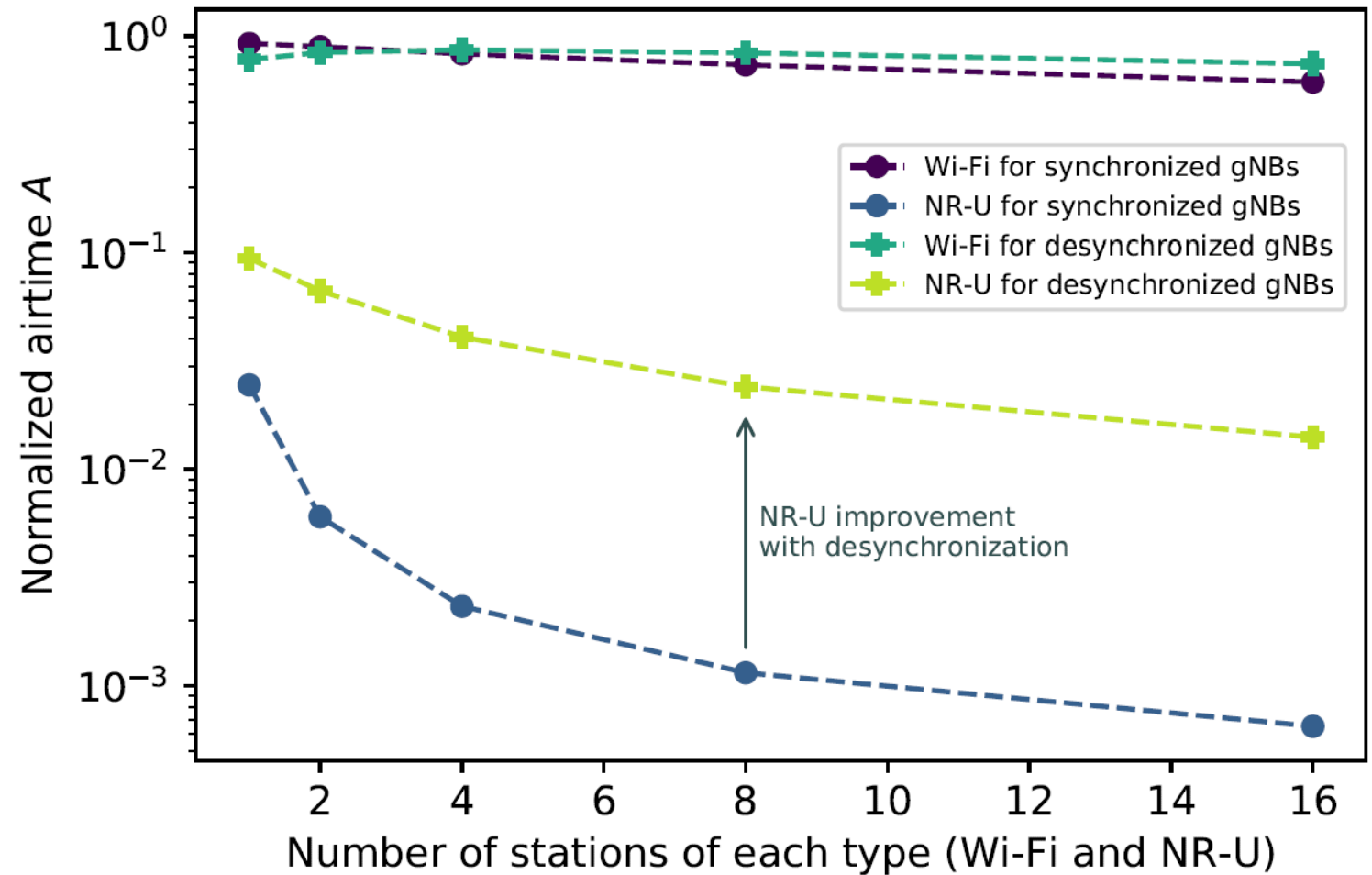
Parameter	Value
Operation band	5 GHz
Wi-Fi CWmin, CWmax	15, 63
NR-U CWmin, CWmax	15, 63
Wi-Fi transmission duration (w/o ACK)	2 ms
NR-U transmission duration	2 ms
NR-U synchronization slot duration	1000 $\mu$ s



# Impact of gNB synchronization on NR-U performance

## Observation #1

NR-U gNBs contending in shared channels should be desynchronized

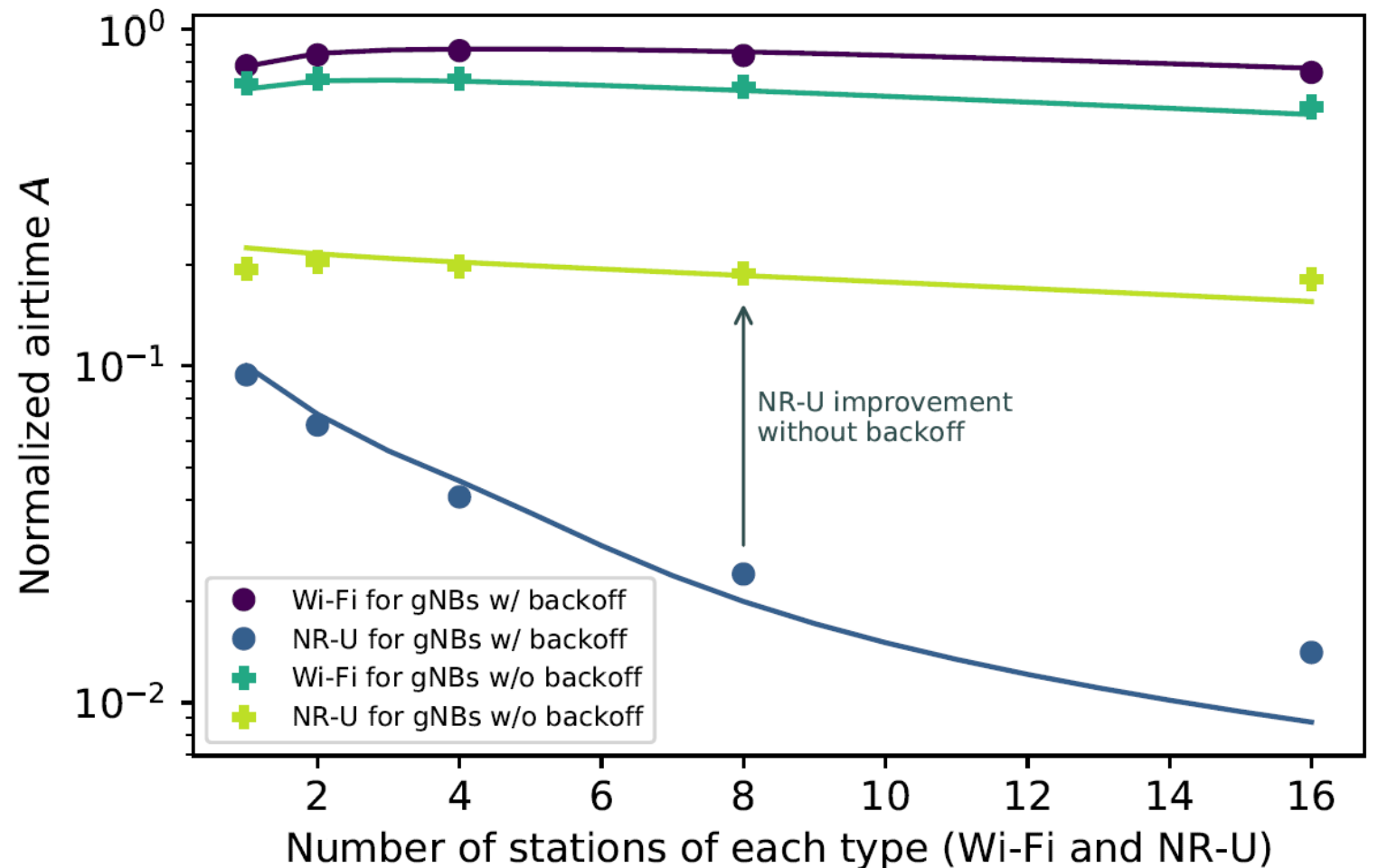


Settings: synchronization slot = 1000  $\mu$ s

# Impact of disabling backoff at gNBs on NR-U performance

## Observation #2

Backoff can be disabled for gap-based channel access



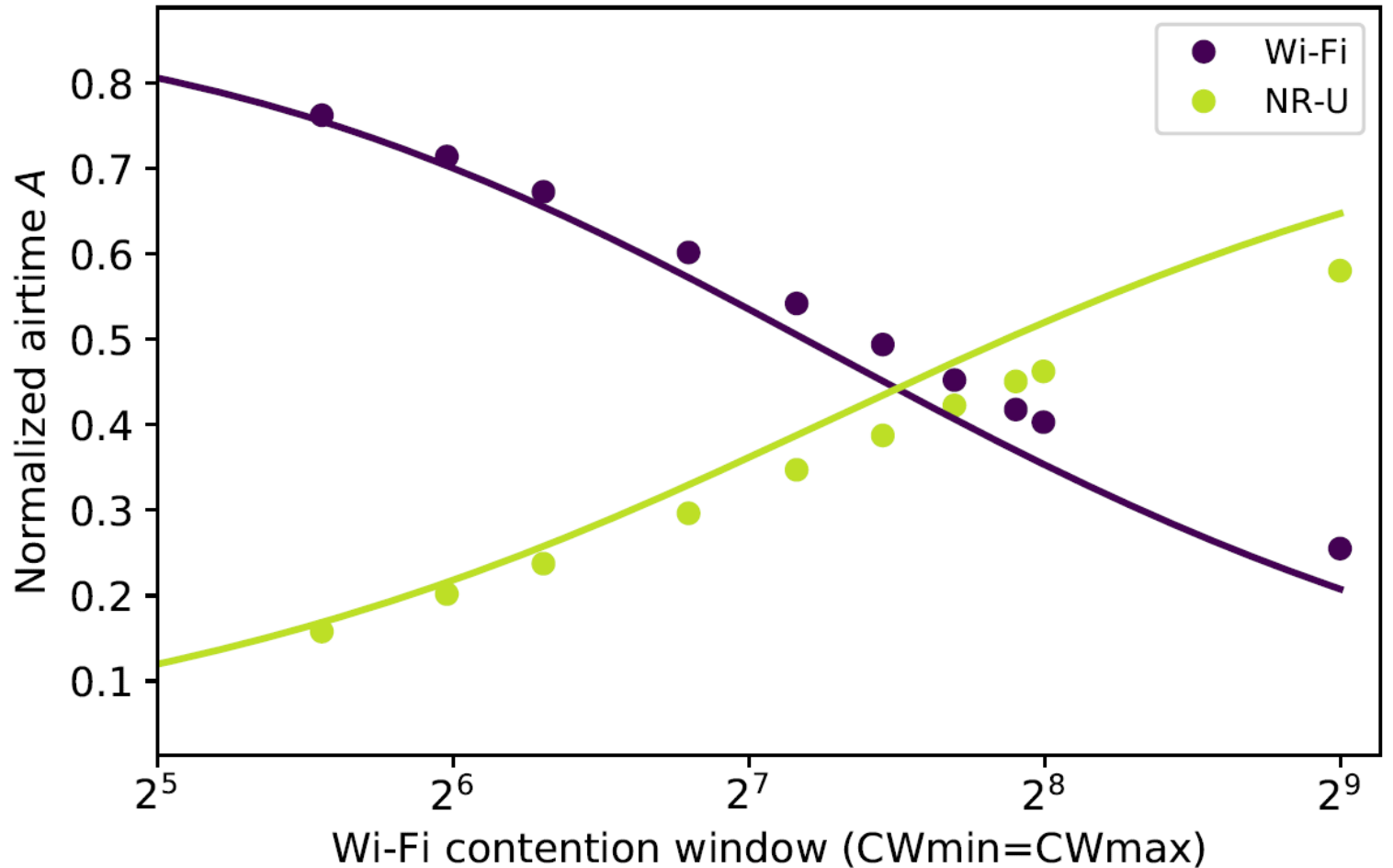
Settings: synchronization slot = 1000  $\mu$ s

Achieving equal  
airtime distribution by  
**equalizing the channel  
access delay**

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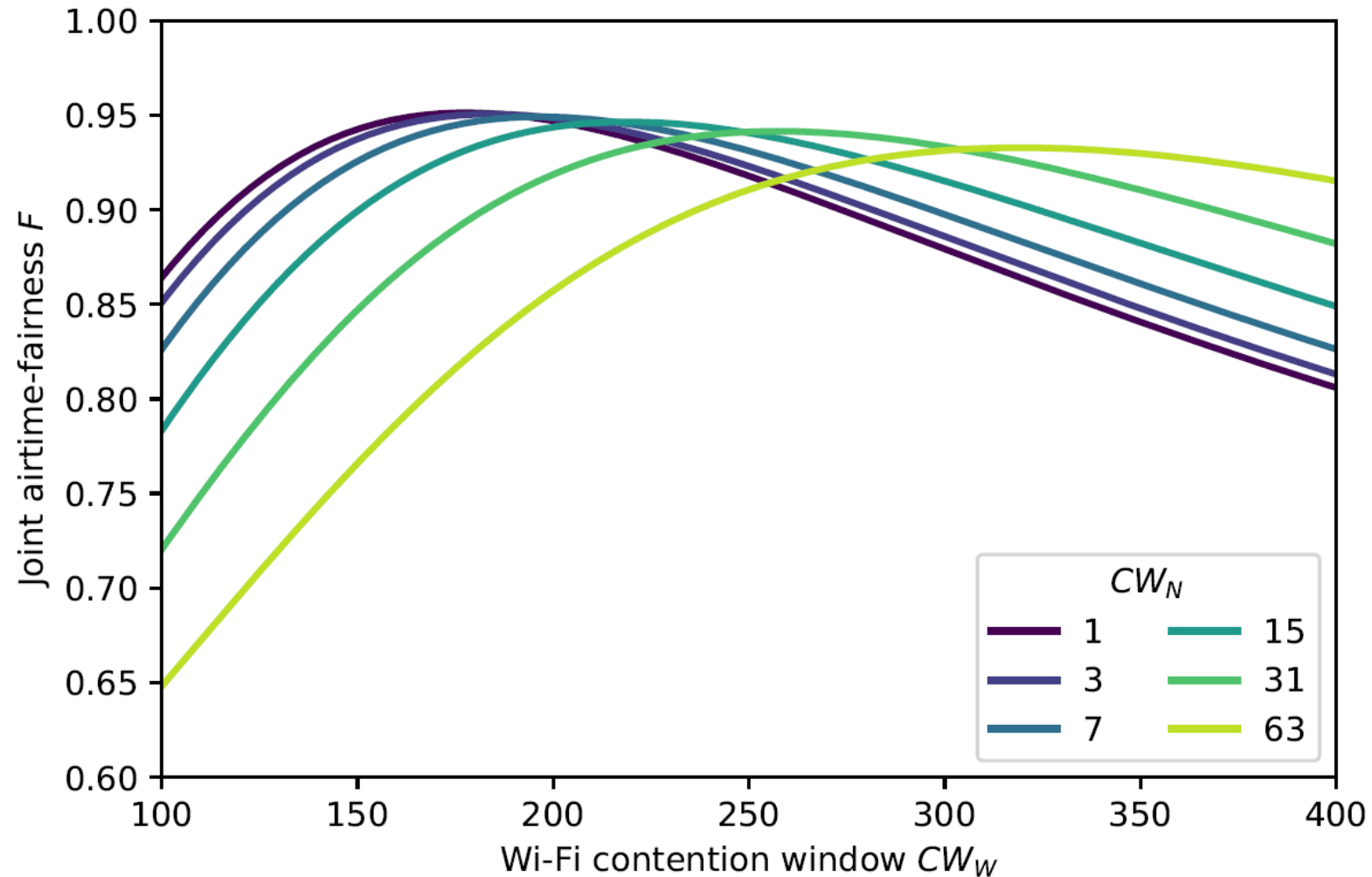
### Observation #3

It is possible to achieve a fair  
airtime distribution between  
Wi-Fi and NR-U by finding  
optimal CW settings for both  
technologies



Settings:  $N_W = N_N = 2, CW_N = 0$

Maximizing joint-airtime fairness  $F$  with different  $(CW_W, CW_N)$  pairs for  $N_W = N_N = 2$

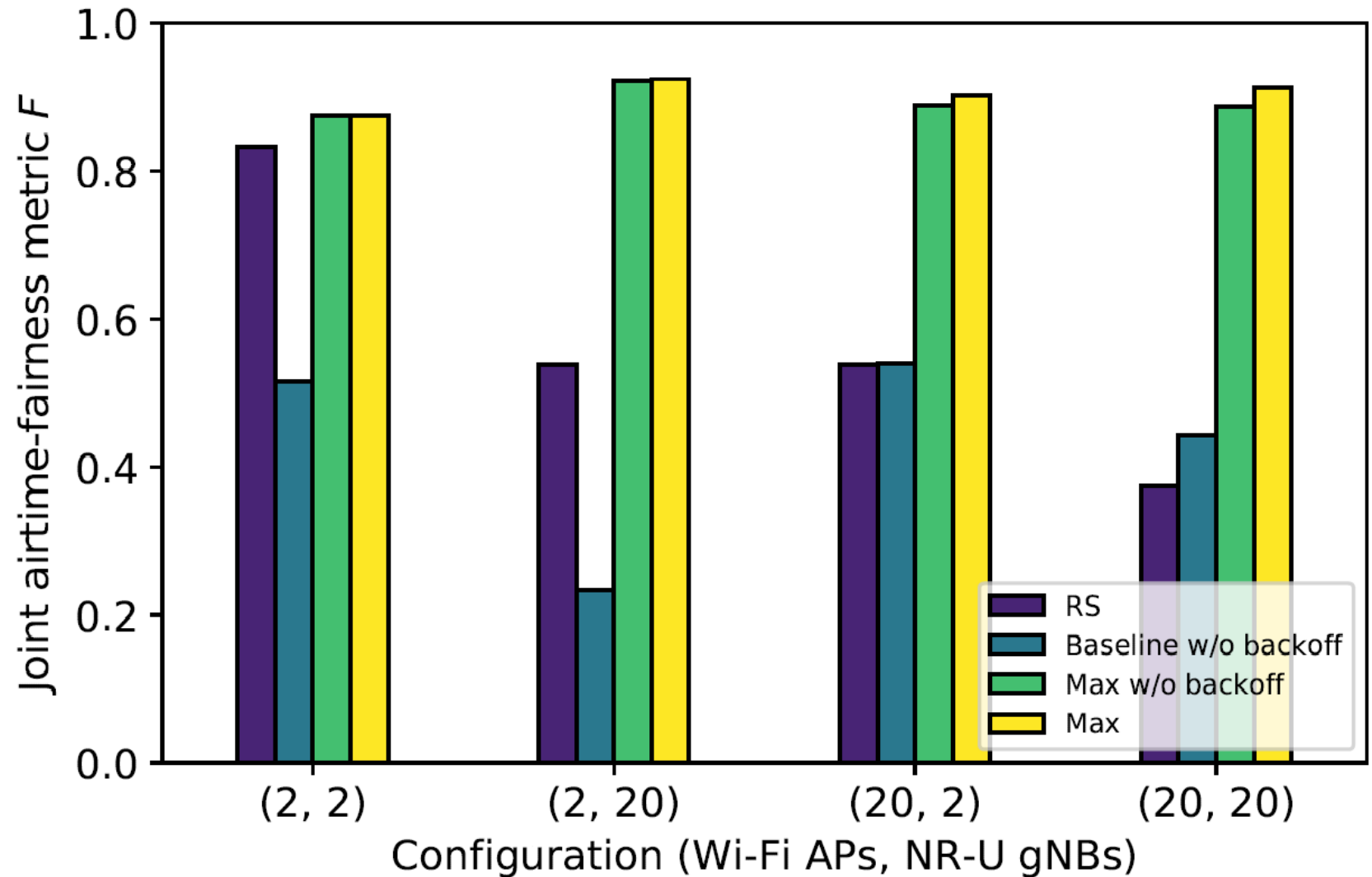


# Joint airtime-fairness metric

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## Observation #4

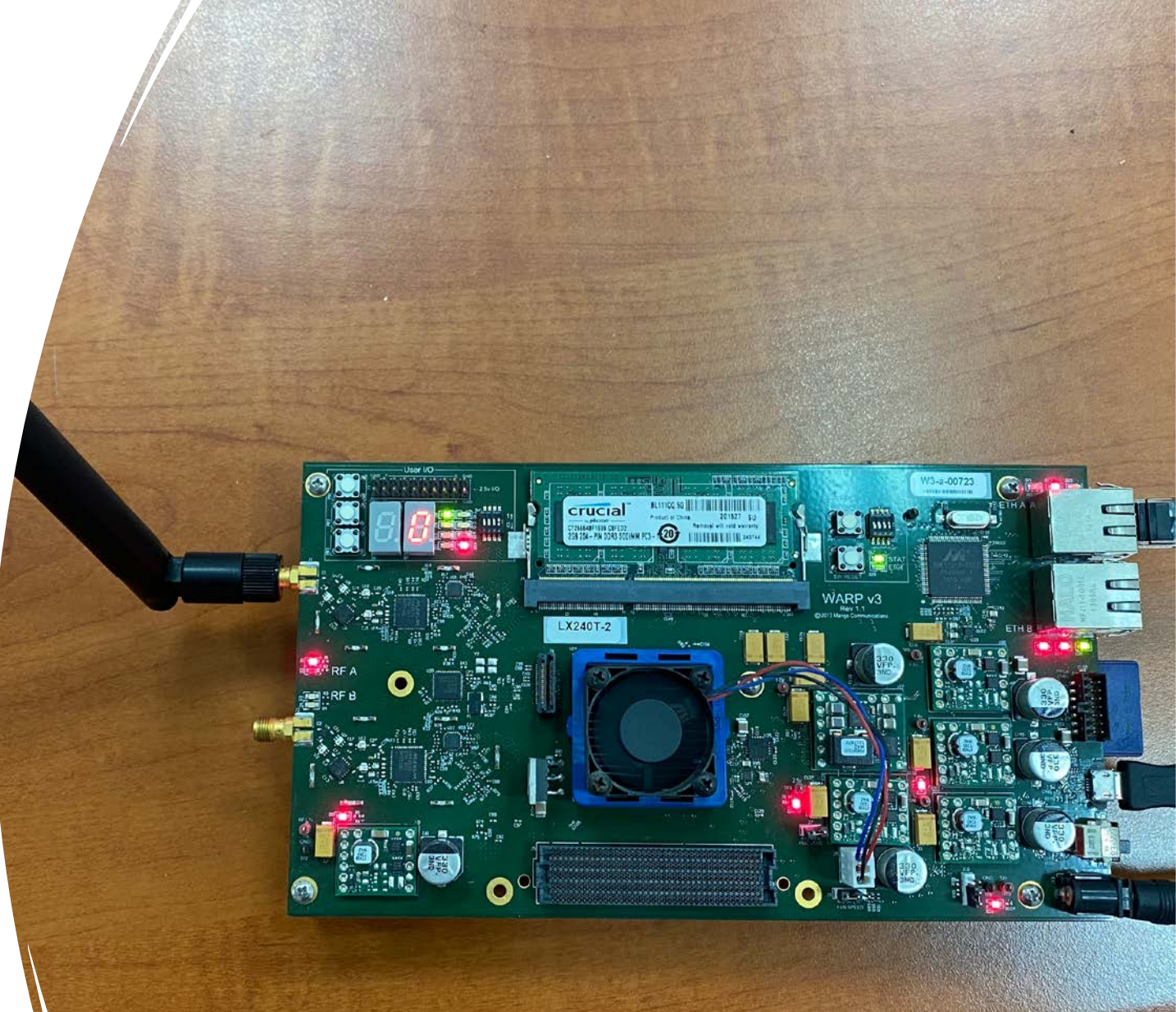
CW tuning is better in terms of airtime-fairness for both Wi-Fi and NR-U in comparison to the case of NR-U using RSs



# Testbed

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- 5 WARPs
- 2 Wi-Fi transmitters
- 1 Wi-Fi receiver
- 2 NR-U transmitters\*



\*K. Kosek-Szott, A. Lo Valvo, S. Szott, P. Gallo, and I. Tinnirello. Downlink channel access performance of NR-U: Impact of numerology and mini-slots on coexistence with Wi-Fi in the 5 GHz band. *Computer Networks*, 2021. <https://doi.org/10.1016/j.comnet.2021.108188>

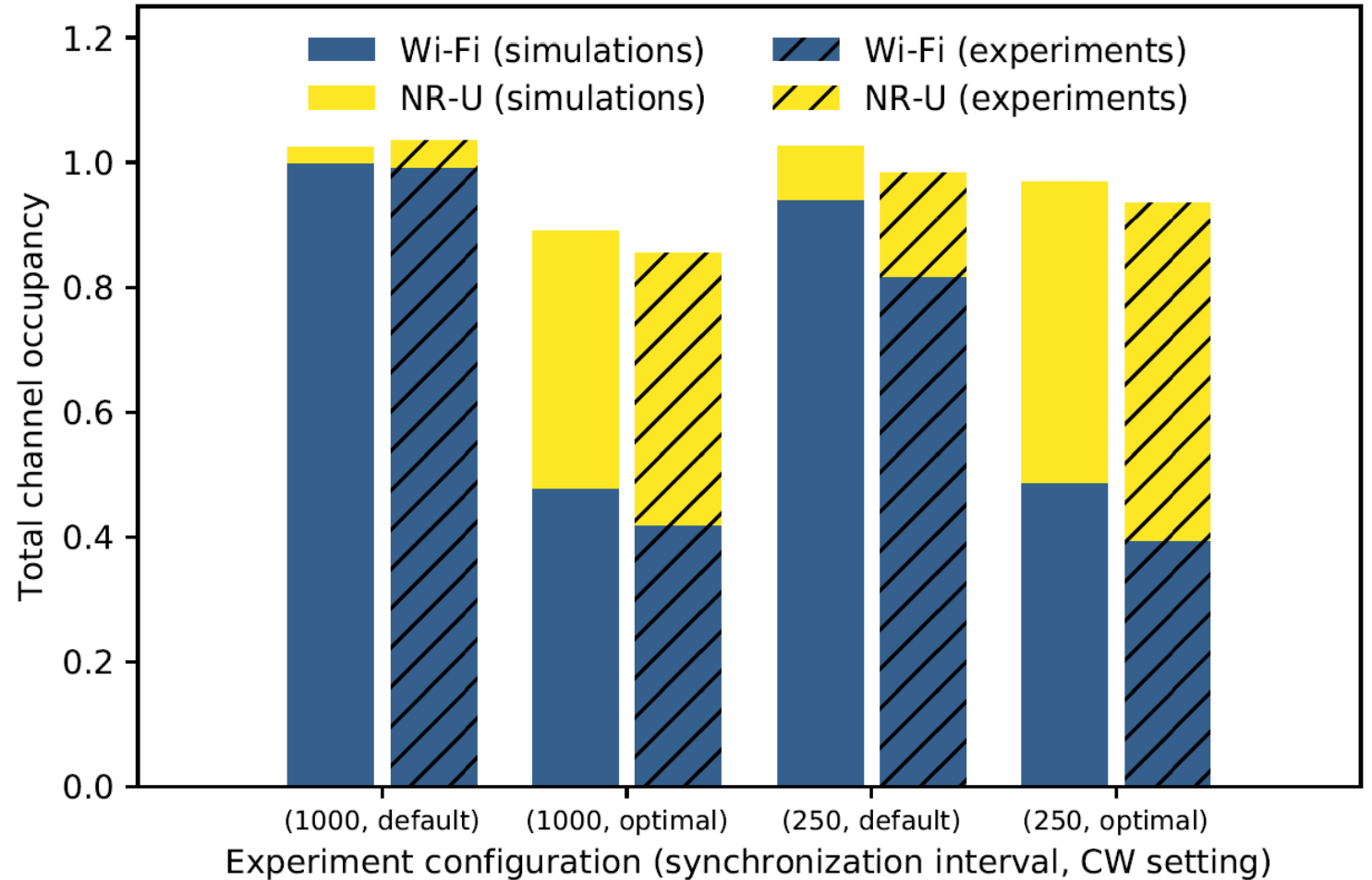
# Comparison of simulation and testbed results

## Settings

- Sync: 250, 1000 [ $\mu$ s]
- CWs: default, optimal

## Results

- Under optimal CW values the airtime-fairness is improved
- Validation of the simulation model



# Regression model



Keras



- LSTM-based
- Grid search to find hyper-parameters
- Model training
  - 40,800 samples
- Model testing
  - 9,792 samples

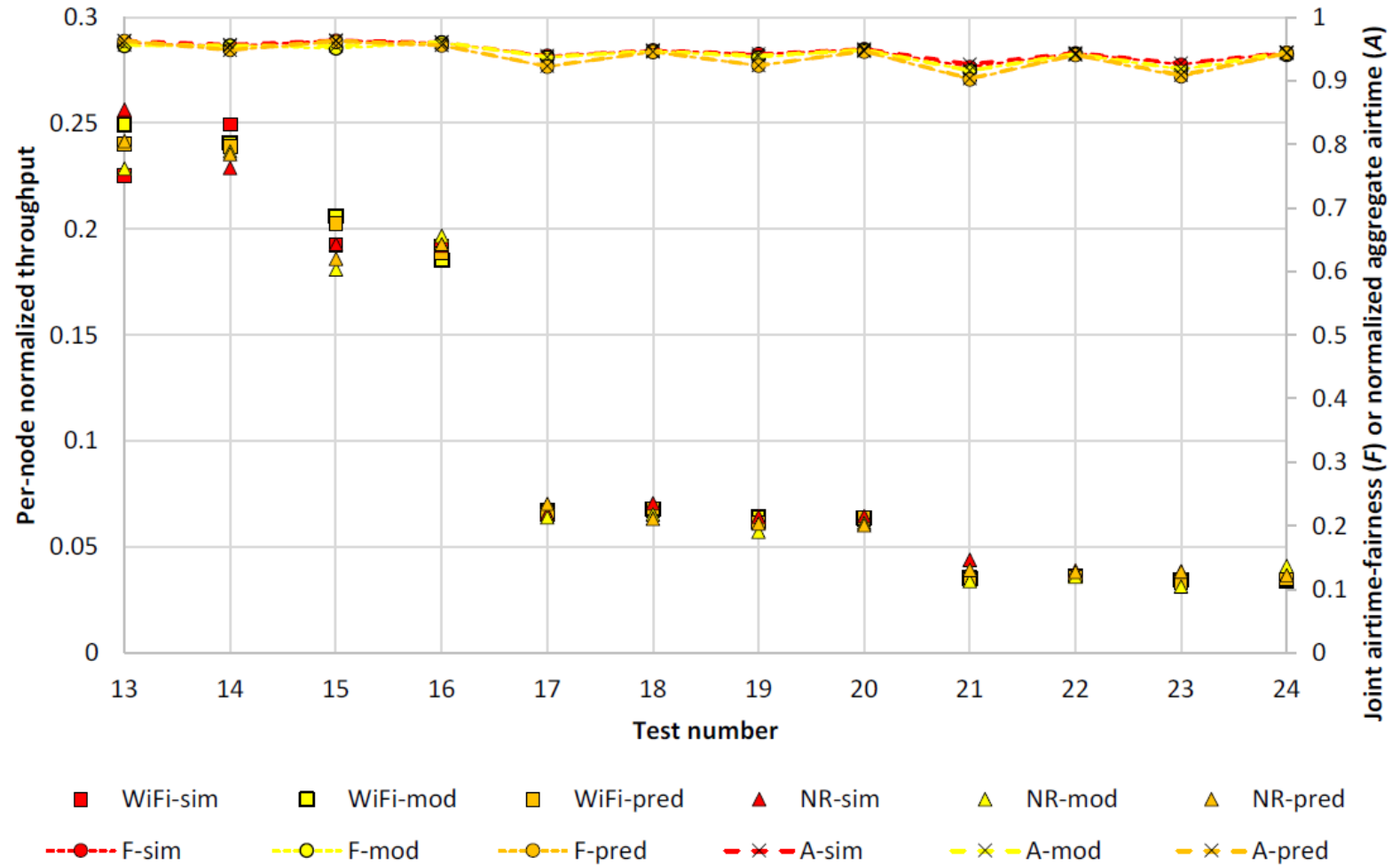
No.	$N_W$	$N_N$	$\Delta$	$L$	No.	$N_W$	$N_N$	$\Delta$	$L$
1	2	2	250	2.1	13	2	2	250	6
2	2	2	1000	2.1	14	2	2	1000	6
3	2	3	250	2.1	15	2	3	250	6
4	2	3	1000	2.1	16	2	3	1000	6
5	12	2	250	2.1	17	12	2	250	6
6	12	2	1000	2.1	18	12	2	1000	6
7	12	3	250	2.1	19	12	3	250	6
8	12	3	1000	2.1	20	12	3	1000	6
9	24	2	250	2.1	21	24	2	250	6
10	24	2	1000	2.1	22	24	2	1000	6
11	24	3	250	2.1	23	24	3	250	6
12	24	3	1000	2.1	24	24	3	1000	6



# Comparison of results

## Results for parameters

- predicted by the regression model (**pred**)
- tuned using the analytical model (**mod**)
- resulting with maximum test results (**sim**)



$L = 6 \text{ ms}$

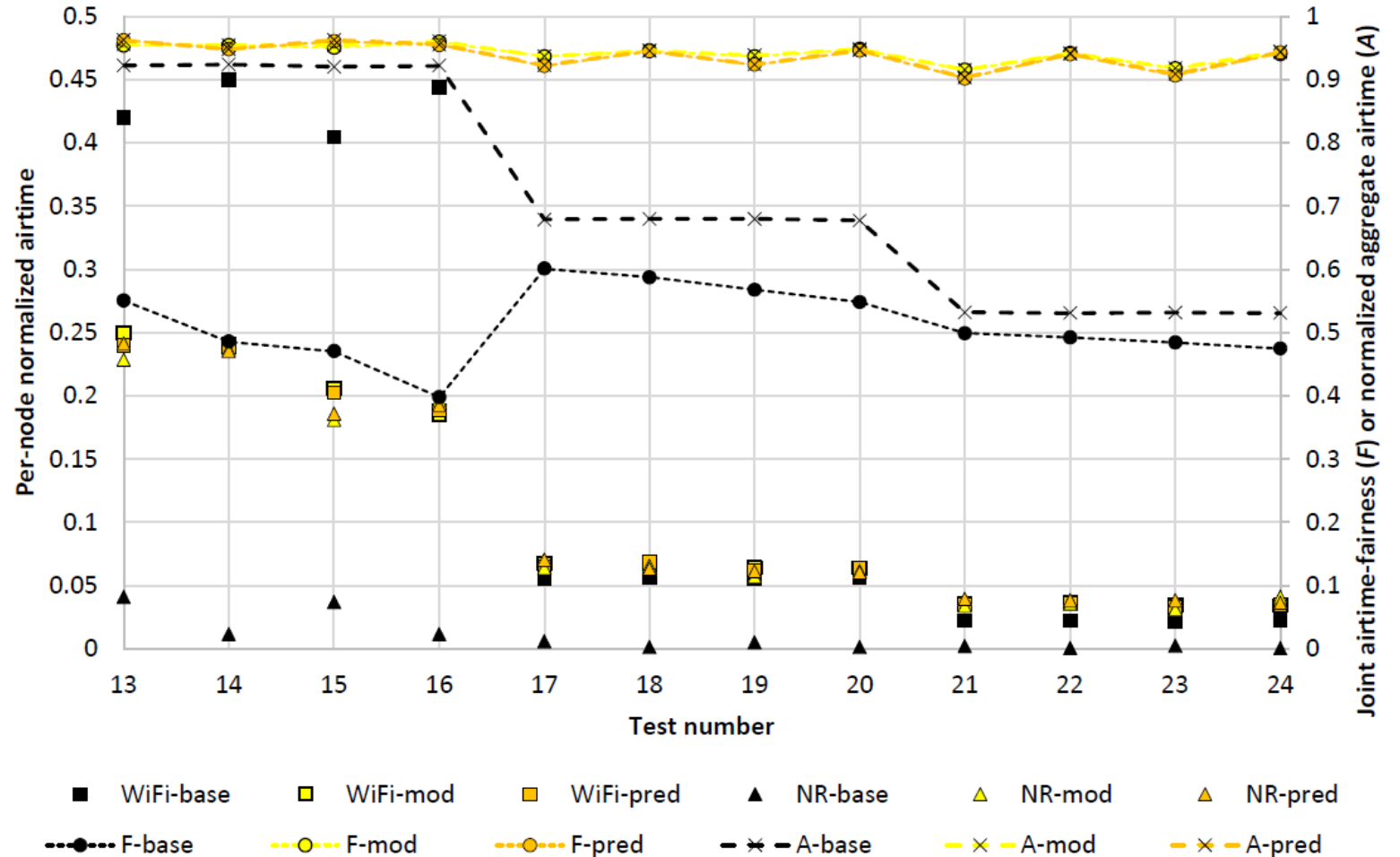
# Comparison of results

## Result for parameters

- predicted by the regression model (**pred**)
- tuned using the analytical model (**mod**)

vs.

Legacy operation (**base**)



$L = 6$  ms

# Conclusions

- **Re-evaluation of the gap mechanism**
  - Gap periods can serve as a partial backoff
  - Preserves fairness between Wi-Fi and NR-U
  - Allows resigning from RS
- **Especially useful for long synchronization periods**
  - For short synchronization periods the behavior of Wi-Fi and NR-U converge
  - Can symbol-based scheduling be implemented in practical NR-U deployments?

\*K. Kosek-Szott, A. Lo Valvo, S. Szott, P. Gallo, and I. Tinnirello. Downlink channel access performance of NR-U: Impact of numerology and mini-slots on coexistence with Wi-Fi in the 5 GHz band. Computer Networks, 2021.  
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Thank you for your attention!

Q&A

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